The Ontario Securities Commission

OSC Bulletin

September 6, 2012

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The Ontario Securities Commission administers the
Securities Act of Ontario (R.S.O. 1990, c. S.5) and the
Commodity Futures Act of Ontario (R.S.O. 1990, c. C.20)

The Ontario Securities Commission
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Toronto, Ontario
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Chapter 1

Notices / News Releases

1.1 Notices

1.1.1 Current Proceedings Before The Ontario Securities Commission

September 6, 2012

CURRENT PROCEEDINGS

BEFORE

ONTARIO SECURITIES COMMISSION

- -

Unless otherwise indicated in the date column, all hearings will take place at the following location:

The Harry S. Bray Hearing Room
Ontario Securities Commission
Cadillac Fairview Tower
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20 Queen Street West
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Vern Krishna	—	VK
Christopher Portner	—	CP
Judith N. Robertson	—	JNR
Charles Wesley Moore (Wes) Scott	—	CWMS

SCHEDULED OSC HEARINGS

September 12-14 & September 19-21, 2012	**Vincent Ciccone and Medra Corp.** s. 127
10:00 a.m.	M. Vaillancourt in attendance for Staff Panel: VK
September 11, 2012	**Systematech Solutions Inc., April Vuong and Hao Quach**
3:00 p.m.	s. 127 J. Feasby in attendance for Staff Panel: EPK
September 12, 2012 9:00 a.m.	**Sage Investment Group, C.A.D.E Resources Group Inc., Greenstone Financial Group, Fidelity Financial Group, Antonio Carlos Neto David Oliveira, and Anne Marie Ridley** s. 127 C. Watson in attendance for Staff Panel: EPK
September 13, 2012 10:00 a.m.	**Paul Donald** s. 127 C. Price in attendance for Staff Panel: CP/PLK
September 17-18, 2012 10:00 a.m.	**Anna Pyasetsky** s. 8 S. Chandra in attendance for Staff Panel: EPK
September 18, 2012 10:00 a.m.	**Roger Carl Schoer** s. 21.7 C. Johnson in attendance for Staff Panel: JDC

September 18-19, 2012	**Crown Hill Capital Corporation and Wayne Lawrence Pushka**
10:00 a.m.	s. 127
	A. Perschy/A. Pelletier in attendance for Staff
	Panel: JEAT/CP/JNR
September 20, 2012	**Morgan Dragon Development Corp., John Cheong (aka Kim Meng Cheong), Herman Tse, Devon**
10:00 a.m.	**Ricketts and Mark Griffiths**
	s. 127
	J. Feasby in attendance for Staff
	Panel: EPK
September 21, 2012	**Oversea Chinese Fund Limited Partnership, Weizhen Tang and Associates Inc., Weizhen Tang**
10:00 a.m.	**Corp., and Weizhen Tang**
	s. 127 and 127.1
	H. Craig in attendance for Staff
	Panel: TBA
September 21, 2012	**Shaun Gerard McErlean and Securus Capital Inc.**
10:00 a.m.	s. 127
	M. Britton in attendance for Staff
	Panel: VK/JDC
September 24, September 26- October 5 & October 10-19, 2012	**New Found Freedom Financial, Ron Deonarine Singh, Wayne Gerard Martinez, Pauline Levy, David Whidden, Paul Swaby and Zompas Consulting**
10:00 a.m.	s. 127
	A. Heydon in attendance for Staff
	Panel: JDC
October 2, 2012	**Energy Syndications Inc., Green Syndications Inc., Syndications**
10:30	**Canada Inc., Land Syndications Inc. and Douglas Chaddock**
	s. 127
	C. Johnson in attendance for Staff
	Panel: MGC
October 2 & October 4, 2012	**Portus Alternative Asset Management Inc., Portus Asset Management Inc., Boaz Manor,**
10:00 a.m.	**Michael Mendelson, Michael Labanowich and John Ogg**
	s. 127
	H Craig in attendance for Staff
	Panel: EPK
October 10, 2012	**Sino-Forest Corporation, Allen Chan, Albert Ip, Alfred C.T. Hung,**
10:00 a.m.	**George Ho and Simon Yeung**
	s.127
	H. Craig in attendance for Staff
	Panel: MGC
October 10, 2012	**Sino-Forest Corporation, Allen Chan, Albert Ip, Alfred C.T. Hung,**
10:00 a.m	**George Ho, Simon Yeung and David Horsley**
	s.127
	H. Craig in attendance for Staff
	Panel: MGC
October 10, 2012	**Empire Consulting Inc. and Desmond Chambers**
10:00 a.m.	s. 127
	D. Ferris in attendance for Staff
	Panel: EPK

October 11, 2012 9:00 a.m.	**New Solutions Capital Inc., New Solutions Financial Corporation, New Solutions Financial (II) Corporation, New Solutions Financial (III) Corporation, New Solutions Financial (VI) Corporation and Ron Ovenden** s.127 S. Horgan in attendance for Staff Panel: TBA
October 19, 2012 10:00 a.m.	**Global Energy Group, Ltd., New Gold Limited Partnerships, Christina Harper, Howard Rash, Michael Schaumer, Elliot Feder, Vadim Tsatskin, Oded Pasternak, Alan Silverstein, Herbert Groberman, Allan Walker, Peter Robinson, Vyacheslav Brikman, Nikola Bajovski, Bruce Cohen and Andrew Shiff** s. 127 C. Watson in attendance for Staff Panel: PLK
October 22 & October 24-November 5, 2012 10:00 a.m.	**MBS Group (Canada) Ltd., Balbir Ahluwalia and Mohinder Ahluwalia** s. 37, 127 and 127.1 C. Rossi in attendance for staff Panel: CP
October 22 & October 24-29, 2012 10:00 a.m.	**Nest Acquisitions and Mergers, IMG International Inc., Caroline Myriam Frayssignes, David Pelcowitz, Michael Smith, and Robert Patrick Zuk**
October 23, 2012 2:30 p.m.	s. 37, 127 and 127.1 C. Price in attendance for Staff Panel: JDC/MCH
October 29-31, 2012 10:00 a.m.	**Shallow Oil & Gas Inc., Eric O'Brien, Abel Da Silva and Abraham Herbert Grossman aka Allen Grossman and Kevin Wash** s. 127 H. Craig/S. Schumacher in attendance for Staff Panel: JDC
October 31-November 5, November 7-9, December 3, December 5-17 and December 19, 2012 10:00 a.m.	**Rezwealth Financial Services Inc., Pamela Ramoutar, Justin Ramoutar, Tiffin Financial Corporation, Daniel Tiffin, 2150129 Ontario Inc., Sylvan Blackett, 1778445 Ontario Inc. and Willoughby Smith** s.127(1) & (5) A. Heydon in attendance for Staff Panel: EPK
November 5, 2012 10:00 a.m.	**Heir Home Equity Investment Rewards Inc.; FFI First Fruit Investments Inc.; Wealth Building Mortgages Inc.; Archibald Robertson Eric Deschamps; Canyon Acquisitions, LLC; Canyon Acquisitions International, LLC; Brent Borland; Wayne D. Robbins; Marco Caruso; Placencia Estates Development, Ltd.; Copal Resort Development Group, LLC; Rendezvous Island, Ltd.; The Placencia Marina, Ltd.; and The Placencia Hotel and Residences Ltd.** s.127 B. Shulman in attendance for Staff Panel: TBA
November 8, 2012 10:00 a.m.	**Global RESP Corporation and Global Growth Assets Inc.** s. 127 D. Ferris in attendance for Staff Panel: JEAT

November 12-19 and November 21, 2012 10:00 a.m.	**Sandy Winick, Andrea Lee Mccarthy, Kolt Curry, Laura Mateyak, Gregory J. Curry, American Heritage Stock Transfer Inc., American Heritage Stock Transfer, Inc., BFM Industries Inc., Liquid Gold International Inc., and Nanotech Industries Inc.** s. 127 J. Feasby in attendance for Staff Panel: TBA
November 13, 2012 10:00 a.m.	**Knowledge First Financial Inc.** s. 127 M. Vaillancourt/D. Ferris in attendance for Staff Panel: JEAT
November 21-December 3 & December 5-December 14, 2012 10:00 a.m.	**Bernard Boily** s.127 and 127.1 M. Vaillancourt/U. Sheikh in attendance for Staff Panel: TBA
November 22, 2012 11:30 a.m.	**Heritage Education Funds Inc.** s. 127 M. Vaillancourt/D. Ferris in attendance for Staff Panel: JEAT
November 27-28, 2012 10:00 a.m.	**Simply Wealth Financial Group Inc., Naida Allarde, Bernardo Giangrosso, K&S Global Wealth Creative Strategies Inc., Kevin Persaud, Maxine Lobban and Wayne Lobban** s. 127 and 127.1 C. Johnson in attendance for Staff Panel: JDC
December 4, 2012 3:30 p.m.	**Global Consulting and Financial Services, Crown Capital Management Corporation, Canadian Private Audit Service, Executive Asset Management, Michael Chomica, Peter Siklos Known As Peter Kuti), Jan Chomica Lorne Banks** s.127 H. Craig/C. Rossi in attendance for Staff Panel: CP
December 5, 2012 10:00 a.m.	**Irwin Boock, Stanton Defreitas, Jason Wong, Saudia Allie, Alena Dubinsky, Alex Khodjaiants Select American Transfer Co., Leasesmart, Inc., Advanced Growing Systems, Inc., International Energy Ltd., Nutrione Corporation, Pocketop Corporation, Asia Telecom Ltd., Pharm Control Ltd., Cambridge Resources Corporation, Compushare Transfer Corporation, Federated Purchaser, Inc., TCC Industries, Inc., First National Entertainment Corporation, WGI Holdings, Inc. and Enerbrite Technologies Group** s. 127 & 127.1 D. Campbell in attendance for Staff Panel: VK
December 20, 2012 10:00 a.m.	**New Hudson Television Corporation, New Hudson Television L.L.C. & James Dmitry Salganov** s. 127 C. Watson in attendance for Staff Panel: TBA
January 7-14, January 16-28 & January 30-February 5, 2013 10:00 a.m.	**Jowdat Waheed and Bruce Walter** s. 127 J. Lynch in attendance for Staff Panel: TBA

January 21-28 & January 30-February 1, 2013 10:00 a.m.	**Moncasa Capital Corporation and John Frederick Collins** s. 127 T. Center in attendance for Staff Panel: TBA
January 23-25 & January 30-31, 2013 10:00 a.m.	**Sage Investment Group, C.A.D.E Resources Group Inc., Greenstone Financial Group, Fidelity Financial Group, Antonio Carlos Neto David Oliveira, and Anne Marie Ridley** s. 127 C. Watson in attendance for Staff Panel: TBA
February 1, 2013 10:00 a.m.	**Ground Wealth Inc., Armadillo Energy Inc., Paul Schuett, Doug DeBoer, James Linde, Susan Lawson, Michelle Dunk, Adrion Smith, Bianca Soto and Terry Reichert** s. 127 S. Schumacher in attendance for Staff Panel: TBA
February 4-11 & February 13, 2013 10:00 a.m.	**Alexander Christ Doulis (aka Alexander Christos Doulis, aka Alexandros Christodoulidis) and Liberty Consulting Ltd.** s. 127 J. Feasby in attendance for Staff Panel: TBA
February 11, February 13-15, February 19-25 & February 27-March 6, 2013 10:00 a.m.	**David Charles Phillips and John Russell Wilson** s. 127 Y. Chisholm in attendance for Staff Panel: TBA
March 18-25, March 27-28, April 1-5 & April 24-25, 2013 10:00 a.m.	**Peter Sbaraglia** s. 127 J. Lynch in attendance for Staff Panel: CP
April 29-May 6 & May 8-10, 2013 10:00 a.m.	**North American Financial Group Inc., North American Capital Inc., Alexander Flavio Arconti, and Luigino Arconti** s. 127 M. Vaillancourt in attendance for Staff Panel: TBA
TBA	**Yama Abdullah Yaqeen** s. 8(2) J. Superina in attendance for Staff Panel: TBA
TBA	**Microsourceonline Inc., Michael Peter Anzelmo, Vito Curalli, Jaime S. Lobo, Sumit Majumdar and Jeffrey David Mandell** s. 127 J. Waechter in attendance for Staff Panel: TBA
TBA	**Frank Dunn, Douglas Beatty, Michael Gollogly** s.127 K. Daniels in attendance for Staff Panel: TBA
TBA	**MRS Sciences Inc. (formerly Morningside Capital Corp.), Americo DeRosa, Ronald Sherman, Edward Emmons and Ivan Cavric** s. 127 & 127(1) D. Ferris in attendance for Staff Panel: TBA
TBA	**Gold-Quest International, 1725587 Ontario Inc. carrying on business as Health and Harmoney, Harmoney Club Inc., Donald Iain Buchanan, Lisa Buchanan and Sandra Gale** s.127 H. Craig in attendance for Staff Panel: TBA

TBA

Gold-Quest International, Health and Harmoney, Iain Buchanan and Lisa Buchanan

s.127

H. Craig in attendance for Staff

Panel: TBA

TBA

Brilliante Brasilcan Resources Corp., York Rio Resources Inc., Brian W. Aidelman, Jason Georgiadis, Richard Taylor and Victor York

s. 127

H. Craig in attendance for Staff

Panel: TBA

TBA

Paul Azeff, Korin Bobrow, Mitchell Finkelstein, Howard Jeffrey Miller and Man Kin Cheng (a.k.a. Francis Cheng)

s. 127

T. Center/D. Campbell in attendance for Staff

Panel: TBA

TBA

Uranium308 Resources Inc., Michael Friedman, George Schwartz, Peter Robinson, and Shafi Khan

s. 127

H. Craig/C.Rossi in attendance for Staff

Panel: TBA

TBA

Axcess Automation LLC, Axcess Fund Management, LLC, Axcess Fund, L.P., Gordon Alan Driver, David Rutledge, 6845941 Canada Inc. carrying on business as Anesis Investments, Steven M. Taylor, Berkshire Management Services Inc. carrying on business as International Communication Strategies, 1303066 Ontario Ltd. Carrying on business as ACG Graphic Communications, Montecassino Management Corporation, Reynold Mainse, World Class Communications Inc. and Ronald Mainse

s. 127

Y. Chisholm in attendance for Staff

Panel: TBA

TBA

FactorCorp Inc., FactorCorp Financial Inc. and Mark Twerdun

s. 127

C. Price in attendance for Staff

Panel: TBA

TBA

2196768 Ontario Ltd carrying on business as Rare Investments, Ramadhar Dookhie, Adil Sunderji and Evgueni Todorov

s. 127

D. Campbell in attendance for Staff

Panel: TBA

TBA

York Rio Resources Inc., Brilliante Brasilcan Resources Corp., Victor York, Robert Runic, George Schwartz, Peter Robinson, Adam Sherman, Ryan Demchuk, Matthew Oliver, Gordon Valde and Scott Bassingdale

s. 127

H. Craig/C. Watson in attendance for Staff

Panel: TBA

TBA

Innovative Gifting Inc., Terence Lushington, Z2A Corp., and Christine Hewitt

s. 127

M. Vaillancourt in attendance for Staff

Panel: TBA

TBA

Firestar Capital Management Corp., Kamposse Financial Corp., Firestar Investment Management Group, Michael Ciavarella and Michael Mitton

s. 127

H. Craig in attendance for Staff

Panel: TBA

TBA

David M. O'Brien

s. 37, 127 and 127.1

B. Shulman in attendance for Staff

Panel: TBA

TBA

Eda Marie Agueci, Dennis Wing, Santo Iacono, Josephine Raponi, Kimberley Stephany, Henry Fiorillo, Giuseppe (Joseph) Fiorini, John Serpa, Ian Telfer, Jacob Gornitzki and Pollen Services Limited

s. 127

J, Waechter/U. Sheikh in attendance for Staff

Panel: TBA

TBA

American Heritage Stock Transfer Inc., American Heritage Stock Transfer, Inc., BFM Industries Inc., Denver Gardner Inc., Sandy Winick, Andrea Lee McCarthy, Kolt Curry and Laura Mateyak

s. 127

J. Feasby in attendance for Staff

Panel: TBA

TBA

Energy Syndications Inc. Green Syndications Inc. , Syndications Canada Inc., Daniel Strumos, Michael Baum and Douglas William Chaddock

s. 127

C. Johnson in attendance for Staff

Panel: TBA

TBA

Bunting & Waddington Inc., Arvind Sanmugam, Julie Winget and Jenifer Brekelmans

s. 127

S. Schumacher in attendance for Staff

Panel: TBA

TBA

Global Energy Group, Ltd., New Gold Limited Partnerships, Christina Harper, Vadim Tsatskin, Michael Schaumer, Elliot Feder, Oded Pasternak, Alan Silverstein, Herbert Groberman, Allan Walker, Peter Robinson, Vyacheslav Brikman, Nikola Bajovski, Bruce Cohen and Andrew Shiff

s. 37, 127 and 127.1

C. Watson in attendance for Staff

Panel: TBA

TBA

Colby Cooper Capital Inc. Colby Cooper Inc., Pac West Minerals Limited John Douglas Lee Mason

s. 127

B. Shulman in attendance for Staff

Panel: TBA

TBA

Normand Gauthier, Gentree Asset Management Inc., R.E.A.L. Group Fund III (Canada) LP, and CanPro Income Fund I, LP

s.127

B. Shulman in attendance for Staff

Panel: TBA

TBA **Beryl Henderson**

s. 127

S. Schumacher in attendance for Staff

Panel: TBA

TBA **Ciccone Group, Cabo Catoche Corp. (a.k.a Medra Corp. and Medra Corporation), 990509 Ontario Inc., Tadd Financial Inc., Cachet Wealth Management Inc., Vincent Ciccone (a.k.a. Vince Ciccone), Darryl Brubacher, Andrew J Martin, Steve Haney, Klaudiusz Malinowski and Ben Giangrosso**

s. 127

M. Vaillancourt in attendance for Staff

Panel: TBA

TBA **International Strategic Investments, International Strategic Investments Inc., Somin Holdings Inc., Nazim Gillani and Ryan J. Driscoll.**

s. 127

C. Watson in attendance for Staff

Panel: TBA

TBA **Majestic Supply Co. Inc., Suncastle Developments Corporation, Herbert Adams, Steve Bishop, Mary Kricfalusi, Kevin Loman and CBK Enterprises Inc.**

s. 37, 127 and 127.1

D. Ferris in attendance for Staff

Panel: TBA

TBA **David Charles Phillips**

s. 127

Y. Chisholm in attendance for Staff

Panel: TBA

TBA **Juniper Fund Management Corporation, Juniper Income Fund, Juniper Equity Growth Fund and Roy Brown (a.k.a. Roy Brown-Rodrigues)**

s.127 and 127.1

D. Ferris in attendance for Staff

Panel: TBA

ADJOURNED SINE DIE

Global Privacy Management Trust and Robert Cranston

Livent Inc., Garth H. Drabinsky, Myron I. Gottlieb, Gordon Eckstein, Robert Topol

LandBankers International MX, S.A. De C.V.; Sierra Madre Holdings MX, S.A. De C.V.; L&B LandBanking Trust S.A. De C.V.; Brian J. Wolf Zacarias; Roger Fernando Ayuso Loyo, Alan Hemingway, Kelly Friesen, Sonja A. McAdam, Ed Moore, Kim Moore, Jason Rogers and Dave Urrutia

Hollinger Inc., Conrad M. Black, F. David Radler, John A. Boultbee and Peter Y. Atkinson

1.2 Notices of Hearing

1.2.1 Staff of the Ontario Securities Commission and David Whidden – ss. 127, 127.1

IN THE MATTER OF
THE SECURITIES ACT
R.S.O. 1990, c. S.5, AS AMENDED

AND

IN THE MATTER OF
NEW FOUND FREEDOM FINANCIAL,
RON DEONARINE SINGH, WAYNE GERARD MARTINEZ,
PAULINE LEVY, DAVID WHIDDEN, PAUL SWABY
AND ZOMPAS CONSULTING

AND

IN THE MATTER OF
A SETTLEMENT AGREEMENT BETWEEN STAFF OF THE ONTARIO SECURITIES COMMISSION AND DAVID WHIDDEN

NOTICE OF HEARING
Sections 127 and 127.1

TAKE NOTICE THAT the Ontario Securities Commission (the "Commission") will hold a hearing pursuant to sections 127 and 127.1 of the *Securities Act*, R.S.O. 1990, c. S.5, as amended (the "Act") at the offices of the Commission located at 20 Queen Street West, 17th Floor, commencing on September 7, 2012 at 11:00 a.m., or as soon thereafter as the hearing can be held;

AND TAKE NOTICE THAT the purpose of the hearing is for the Commission to consider whether it is in the public interest to approve the settlement agreement dated August 24, 2012 between Staff of the Commission and David Whidden;

BY REASON OF the allegations set out in the Statement of Allegations dated November 1, 2011 and such additional allegations as counsel may advise and the Commission may permit;

AND TAKE FURTHER NOTICE that any party to the proceedings may be represented by counsel at the hearing;

AND TAKE FURTHER NOTICE that upon failure of any party to attend at the time and place aforesaid, the hearing may proceed in the absence of that party and such party is not entitled to any further notice of the proceeding.

DATED at Toronto this 30th day of August, 2012.

"John Stevenson"
Secretary to the Commission

1.2.2 Anna Pyasetsky

IN THE MATTER OF
THE SECURITIES ACT
R.S.O. 1990, c. S.5, AS AMENDED

AND

IN THE MATTER OF
AN APPLICATION FOR A HEARING AND REVIEW OF THE DECISION OF DIRECTOR EREZ BLUMBERGER DATED FEBRUARY 28, 2012

AND

IN THE MATTER OF
THE APPLICATION FOR REGISTRATION BY ANNA PYASETSKY

NOTICE OF HEARING
Section 8

TAKE NOTICE THAT the Ontario Securities Commission will hold a hearing to consider the Application made by Anna Pyasetsky for a review of a Director's decision issued February 28, 2012;

AND TAKE FURTHER NOTICE THAT the hearing will be held on September 17, 2012 and September 18, 2012 commencing at 10:00 a.m. at the Commission's offices at 20 Queen Street West, 17th Floor, Toronto, Ontario.

Dated at Toronto this 30th day of August, 2012

"John Stevenson"
Secretary to the Commission

1.3 News Releases

1.3.1 OSC Panel Issues Sanctions Against Zungui Haixi Corporation, Yanda Cai And Fengyi Cai for Breaches of Ontario Securities Law

FOR IMMEDIATE RELEASE

**OSC PANEL ISSUES SANCTIONS AGAINST ZUNGUI HAIXI CORPORATION,
YANDA CAI AND FENGYI CAI FOR BREACHES OF ONTARIO SECURITIES LAW**

TORONTO – A panel of the Ontario Securities Commission (OSC) today released its Reasons and Decision on Sanctions and Costs against Zungui Haixi Corporation, Yanda Cai and Fengyi Cai ("the Respondents").

In its decision on sanctions and costs, the OSC panel found that "the Respondents have demonstrated a total and continuing disregard for their obligations under Ontario Securities law" and "have demonstrated from their conduct that they are fundamentally ungovernable." Accordingly, the OSC panel made protective orders permanently removing the Respondents from Ontario capital markets, including permanently prohibiting Yanda Cai and Fengyi Cai from becoming or acting as directors or officers of any issuer and prohibiting trading in any securities of Zungui. In addition, the OSC panel ordered that Yanda Cai and Fengyi Cai, on a joint and several basis, pay $63,667.50 in costs to the Commission.

A copy of the Commission's Reasons and Decision on Sanctions and Costs as well as a copy of the Commission's Oral Reasons and Decision on the merits in this matter are both available on the OSC website at www.osc.gov.on.ca.

The mandate of the OSC is to provide protection to investors from unfair, improper or fraudulent practices and to foster fair and efficient capital markets and confidence in capital markets. Investors are urged to check the registration of any person or company offering an investment opportunity and to review the OSC's investor materials available at www.osc.gov.on.ca

For Media Inquiries
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Follow us on Twitter: OSC News

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1.4 Notices from the Office of the Secretary

1.4.1 Zungui Haixi Corporation, Yanda Cai and Fengyi Cai

August 29, 2012

**IN THE MATTER OF THE SECURITIES ACT
R.S.O. 1990, C. S.5, AS AMENDED**

AND

**IN THE MATTER OF
ZUNGUI HAIXI CORPORATION, YANDA CAI
and FENGYI CAI**

TORONTO – The Commission issued its Reasons and Decision on Sanctions and Costs in the above named matter.

A copy of the Reasons and Decision on Sanctions and Costs dated August 28, 2012 and an Order dated August 28, 2012 are available at **www.osc.gov.on.ca.**

OFFICE OF THE SECRETARY
JOHN P. STEVENSON
SECRETARY

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1.4.2 MBS Group (Canada) Ltd., Balbir Ahluwalia and Mohinder Ahluwalia

**FOR IMMEDIATE RELEASE
August 28, 2012**

**IN THE MATTER OF
THE SECURITIES ACT
R.S.O. 1990, c. S.5, AS AMENDED**

AND

**IN THE MATTER OF MBS GROUP (CANADA) LTD.,
BALBIR AHLUWALIA AND MOHINDER AHLUWALIA**

TORONTO – The Commission issued an Order in the above named matter which provides that a further pre-hearing conference be held on September 17, 2012, at 10:00 a.m. at the offices of the Commission.

A copy of the Order dated August 27, 2012 is available at **www.osc.gov.on.ca.**

OFFICE OF THE SECRETARY
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1.4.3 David Charles Phillips and John Russell Wilson

FOR IMMEDIATE RELEASE
August 30, 2012

IN THE MATTER OF
THE SECURITIES ACT
R.S.O. 1990, c. S.5, AS AMENDED

AND

IN THE MATTER OF
DAVID CHARLES PHILLIPS AND JOHN RUSSELL WILSON

TORONTO – The Commission issued an Order in the above named matter which provides that the hearing on the merits shall commence on February 11, 2013 and will continue if necessary until March 6, 2013 except for February 12, 18 and 26, 2013.

A copy of the Order dated August 28, 2012 is available at **www.osc.gov.on.ca**.

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1.4.4 Staff of the Ontario Securities Commission and David Whidden

FOR IMMEDIATE RELEASE
August 30, 2012

IN THE MATTER OF
THE SECURITIES ACT
R.S.O. 1990, c. S.5, AS AMENDED

AND

IN THE MATTER OF
NEW FOUND FREEDOM FINANCIAL, RON DEONARINE SINGH,
WAYNE GERARD MARTINEZ, PAULINE LEVY,
DAVID WHIDDEN, PAUL SWABY AND ZOMPAS CONSULTING

AND

IN THE MATTER OF
A SETTLEMENT AGREEMENT BETWEEN
STAFF OF THE ONTARIO SECURITIES COMMISSION
AND DAVID WHIDDEN

TORONTO – The Office of the Secretary issued a Notice of Hearing for a hearing to consider whether it is in the public interest to approve a settlement agreement entered into by Staff of the Commission and David Whidden. The hearing will be held on September 7, 2012 at 11:00 a.m. on the 17th floor of the Commission's offices located at 20 Queen Street West, Toronto.

A copy of the Notice of Hearing dated August 30, 2012 is available at **www.osc.gov.on.ca.**

OFFICE OF THE SECRETARY
JOHN P. STEVENSON
SECRETARY

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1.4.5 Anna Pyasetsky

FOR IMMEDIATE RELEASE
August 30, 2012

IN THE MATTER OF
THE SECURITIES ACT
R.S.O. 1990, c. S.5, AS AMENDED

AND

IN THE MATTER OF
AN APPLICATION FOR A HEARING AND REVIEW
OF THE DECISION OF DIRECTOR
EREZ BLUMBERGER DATED FEBRUARY 28, 2012

AND

IN THE MATTER OF
THE APPLICATION FOR REGISTRATION BY
ANNA PYASETSKY

TORONTO – The Office of the Secretary issued a Notice of Hearing dated August 30, 2012 setting the matter down to be heard on September 17, 2012 and September 18, 2012 at 10:00 a.m. or as soon thereafter as the hearing can be held in the above named matter.

A copy of the Notice of Hearing dated August 30, 2012 is available at **www.osc.gov.on.ca**.

OFFICE OF THE SECRETARY
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Chapter 2

Decisions, Orders and Rulings

2.1 Decisions

2.1.1 APMEX Precious Metals Management Services, Inc. and APMEX Physical – 1 oz. Gold Redeemable Trust

Headnote

NP 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Exemptive relief granted to exchange traded mutual fund from 10% limit on purchases of gold to permit fund with primary investment objective to invest in gold coins –National Instrument 81-102 Mutual Funds.

Applicable Legislative Provisions

National Instrument 81-102 Mutual Funds, ss. 2.3(e), 2.3(f) and 19.1.

June 1, 2012

IN THE MATTER OF
THE SECURITIES LEGISLATION OF ONTARIO
(the Jurisdiction)

AND

IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF
APMEX PRECIOUS METALS MANAGEMENT SERVICES, INC.
(the Manager)

AND

IN THE MATTER OF
APMEX PHYSICAL – 1 OZ. GOLD REDEEMABLE TRUST
(the Trust)

DECISION

Background

The principal regulator in the Jurisdiction has received an application from the Manager, in its capacity as the manager of the Trust, for a decision under the securities legislation of the Jurisdiction of the principal regulator (the Legislation) for relief from Subsections 2.3(e) and (f) of National Instrument 81-102 – *Mutual Funds* (**NI 81-102**), to permit the Trust to invest up to 100% of its net assets, taken at market value at the time of purchase, in 1 oz. American Gold Eagle bullion coins, 1 oz. Canadian Gold Maple Leaf bullion coins, and/or 1 oz. gold bullion bars and rounds (collectively, the **Gold Coins**) (collectively, the **Exemption Sought**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

(a) the Ontario Securities Commission is the principal regulator for this application (the **Principal Regulator);** and

(b) the Manager has provided notice that subsection 4.7(1) of Multilateral Instrument 11-102 – *Passport System* (**MI 11-102**) is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Manitoba, Québec, Nova Scotia, New Brunswick, Prince Edward Island and Newfoundland and Labrador.

Interpretation

Terms defined in National Instrument 14-101 – *Definitions* and MI 11-102 have the same meaning if used in this decision, unless otherwise defined herein.

In this decision, the "total net assets" of the Trust means the net asset value of the Trust determined in accordance with Part 14 of National Instrument 81-106 – *Investment Fund Continuous Disclosure*.

Representations

This decision is based on the following facts represented by the Manager and the Trust:

The Manager and the Trust

1. The Manager is a corporation formed and organized under the laws of the State of Delaware pursuant to Articles of Incorporation dated December 21, 2010 and maintains its head office in Oklahoma City, Oklahoma. The Manager is wholly owned by American Precious Metals Exchange, Inc.

2. The Trust is a mutual fund trust established under the laws of the Province of Ontario pursuant to a trust agreement dated as of March 10, 2011, as amended and restated (the **Trust Agreement),** as the same may be further amended, restated or supplemented from time to time. Pursuant to the Trust Agreement, Computershare Trust Company of Canada (the **Trustee**) acts as the trustee. Pursuant to a management agreement dated as of March 10, 2011, as amended and restated, the Manager acts as the manager of the Trust.

3. Computershare Investor Services Inc. (the Registrar and Transfer Agent) will be the registrar and transfer agent of the Trust pursuant to a transfer agent, registrar and disbursing agent agreement to be entered into on or about the filing of the (final) prospectus of the Trust (the Final Prospectus).

4. RBC Dexia Investor Services Trust (the Trust Custodian) will act as the custodian of the Trust pursuant to a custodian agreement. The Trust will store the Gold Coins with The Bank of Nova Scotia (the **Gold Custodian**) acting in its capacity as the sub-custodian appointed by the Trust Custodian pursuant to a sub-custodian agreement. The Trust will store Gold Coins with a value equal to at least 60% of the value of the net assets of the Trust at all times in Canada, with the remainder to be stored in the United States.

5. The Trust has filed a registration statement on Form F-1 (the **Registration Statement**) under the U.S. Securities Act of 1933, as amended, with the United States Securities and Exchange Commission (the SEC) in connection with the initial public offering (the Offering) of transferable, redeemable units of the Trust (the **Units**) in the United States.

6. In connection with the Offering of the Units, a preliminary long form prospectus (the **Preliminary Prospectus**) has been filed with the securities regulatory authorities in each province of Canada (the **Canadian Jurisdictions**) and the Trust intends to become a reporting issuer, or the equivalent thereof in such Canadian Jurisdictions following the filing of its final prospectus (the **Final Prospectus**).

7. The Trust intends to list the Units on the Toronto Stock Exchange (**TSX**) and the New York Stock Exchange Arca (**NYSE Arca**). The Trust will not file the Final Prospectus until the TSX and the NYSE Arca have conditionally approved the listing of the Units.

8. The Trust is a "mutual fund in Ontario" as such term is defined in the *Securities Act (*Ontario) and is subject to the investment restrictions applicable to mutual funds which are prescribed by NI 81-102. The Manager will establish an independent review committee for the Trust in accordance with the requirements under National Instrument 81-107 *Independent Review Committee for Investment Funds*.

9. The Trust is not required to register as an "investment company" as such term is defined in the U.S. *Investment Company Act of 1940*, as amended (the **1940 Act**), since the Trust will invest all or substantially all of its assets in Gold Coins.

10. The Manager and the Trust are not in default of securities legislation in any province of Canada.

The Trust's Investment Objective, Strategy, and Investment and Operating Restrictions

11. The Trust was created to invest and hold substantially all of its assets in Gold Coins. The Trust seeks to provide a secure, convenient and exchange-traded investment alternative for investors interested in holding Gold Coins. The Trust intends to invest primarily in long-term holdings of Gold Coins and does not intend to speculate in gold. The Trust does not anticipate making regular cash distributions to unitholders of the Trust (the **Unitholders**).

12. Except with respect to cash and highly liquid investments that the Trust will hold to pay expenses and anticipated redemptions of Units, the Trust expects to own only Gold Coins. While the investment guidelines permit the Trust to invest up to 20% of its assets in securities other than Gold Coins, the Manager intends to hold approximately 97% of the total net assets of the Trust in Gold Coins. The Trust does not intend to invest in gold certificates or other financial instruments that represent gold or that may be exchanged for gold.

13. The investment and operating restrictions of the Trust provide that, among other things, the Trust will invest in and hold a minimum of 80% of the total net assets of the Trust in Gold Coins and hold no more than 20% of the total net assets of the Trust in cash (such as interest-bearing accounts and short-term certificates of deposit) or any "U.S. Government Security" (except during the 90-day period following the closing of the Trust's initial public offering or additional offerings or prior to the distribution of assets of the Trust, at which times the Trust may hold more than 20% of the total net assets of the Trust in cash (such as interest-bearing accounts and short-term certificates of deposit) and U.S. Government Securities). U.S. Government Securities are direct obligations of or obligations guaranteed as to principal or interest by the United States, or securities issued or guaranteed by corporations in which the United States has a direct or indirect interest which shall have been designated by the Secretary of the Treasury, pursuant to section 3(a)(12) of the *Securities Exchange Act of 1934,* as exempted securities for the purposes of that Act.

14. Since the market value of the Gold Coins is primarily based on the price of 1 oz. of gold, and, further, since all of the Gold Coins of each type are identical and each contain 1 oz. of gold (whereby any trace metals present in such coins do not add any value), the selling price of the coins is virtually identical to the selling price of 1

oz. of gold at any given time. According to data obtained from Bloomberg Finance LP, the correlation of the value of the 1 oz. American Eagle gold bullion coin and the 1 oz. Canadian Gold Maple Leaf coin to the value of 1 oz. of gold from January 2002 to December 2011 is 0.9996 and 0.9993, respectively.

15. The Gold Coins are highly liquid. The market value of each coin is separately recognized on the Bloomberg system as COINGEAG (for the American Eagle gold bullion coin) and COINGCML (for the Canadian Gold Maple Leaf coin). Bloomberg's quotations are based on information provided by the Certified Coin Exchange. The Certified Coin Exchange (www.certifiedcoinexchange.com) is the coin exchange operations of Certified Asset Exchange, Inc, a wholly owned subsidiary of Collectors Universe, Inc., and is an electronic exchange for coins that obtains bid and ask information from its member dealers, of which there are more than 500, that post over 100,000 bid and ask prices on a wide variety of coins, including the 1 oz. American Eagle gold bullion coin and the 1 oz. Canadian Gold Maple Leaf coin, at a given time.

Net Asset Value of the Trust and Redemption of Units

16. The net asset value (the **Net Asset Value**) of the Trust and the Net Asset Value per Unit will be determined on a daily basis as of 4:00 p.m. (Toronto time) on each business day on which the TSX or the NYSE Arca are open for trading (each such day, a **Business Day**), by the Trust's valuation agent, which is RBC Dexia Investor Services Trust.

17. Pursuant to the Offering, Units are expected to be offered at a price equal to US$10.00 per Unit. The Trust may not issue additional Units following the completion of the Offering: (i) unless the per Unit Offering price before deducting underwriting fees, commission and offering expenses, will not be less than the Net Asset Value per Unit, as determined at any time within two Business Days prior to the pricing of the Units sold under the Offering; or (ii) except by way of Unit distribution in connection with an income distribution.

18. Subject to the terms of the Trust Agreement and to the right of the Trust to suspend redemptions in certain circumstances, Units may be redeemed at the option of a Unitholder for Gold Coins on a weekly basis. Unitholders whose Units are redeemed for Gold Coins will be entitled to receive a redemption price equal to 100% of the aggregate Net Asset Value per Unit of the redeemed Units determined on the Thursday on which the TSX or the NYSE Arca is open for trading for the week in respect of which the redemption request is processed (the **Weekly Redemption Date**), less applicable custodian fees, shipping charges and any applicable taxes. Redemption requests must meet the gold redemption minimum, which is currently US$10,000. The redeeming Unitholder will receive the appropriate number of Gold Coins based on the valuation of the Gold Coins as of the valuation date, rounded down to the nearest whole number of such type of Gold Coins, with any excess amount, after a reduction for applicable expenses, returned to the redeeming Unitholder in cash. The redeeming Unitholder will bear the costs associated with the redemption of Units and the costs and risks associated with the delivery of the Gold Coins.

19. Based on instructions from the Trust, the Gold Custodian will release the requisite amount of Gold Coins from its custody to the shipping service provider, which will fully insure the shipment. Any cash to be received by a redeeming Unitholder in connection with a redemption of Units for Gold Coins will be delivered or caused to be delivered by the Trust to the Unitholder's brokerage account within 10 Business Days after the week in which the redemption is processed.

20. Gold Coins received by a Unitholder as a result of a redemption of Units will be delivered by the shipping service provider engaged by the Trust pursuant to delivery instructions provided by the Unitholder.

21. Subject to the terms of the Trust Agreement, Units may also be redeemed at the option of a Unitholder for cash on a monthly basis. Unitholders whose Units are redeemed for cash will be entitled to receive a redemption price per Unit equal to 95% of the lesser of: (i) the volume-weighted average trading price of the Units traded on the NYSE Arca or, if trading has been suspended on the NYSE Arca, the trading price of the Units traded on the TSX, for the last five days on which the respective exchange is open for trading for the month in which the redemption request is processed by the Registrar and Transfer Agent; and (ii) the Net Asset Value per Unit of the redeemed Units on the last day of such month on which the NYSE Arca is open for trading (in each case, less any applicable taxes). Cash redemption proceeds will be transferred to a redeeming Unitholder approximately three Business Days after the end of the month in which such redemption notice is received by the Trust.

22. To redeem Units for cash, a Unitholder must instruct the Unitholder's broker to deliver a notice to redeem Units for cash to the Registrar and Transfer Agent. A redemption notice to redeem Units for cash must be received by the Registrar and Transfer Agent no later than 4:00 p.m. (Toronto time) on the 15th day of the month in which the redemption notice for cash will be

processed or, if such day is not a Business Day, then on the immediately following day that is a Business Day. Any redemption notice to redeem Units for cash received after such time will be processed in the next month.

Decision

The Principal Regulator is satisfied that the decision meets the tests set out in the Legislation for the Principal Regulator to make the decision.

The decision of the Principal Regulator under the Legislation is that the Exemption Sought is granted provided that the Manager, on behalf of the Trust, ensures that the Final Prospectus of the Trust contains disclosure regarding the unique risks associated with an investment in the Trust, including the risk that direct purchases of Gold Coins by the Trust may generate higher transaction and custody costs than other types of investments, which may impact the performance of the Trust.

"Sonny Randhawa "
Manager, Investment Funds Branch

2.1.2 APMEX Precious Metals Management Services, Inc. and APMEX Physical – 1 oz. Gold Redeemable Trust

Headnote

NP 11-203 – National Instrument 41-101 General Prospectus Requirement, Form 41-101F2 Information Required in an Investment Fund Prospectus, and National Instrument 81-106 Investment Fund Continuous Disclosure – Exemption from the requirement to include in the prospectus annual financial statements prepared in accordance with Canadian generally accepted accounting principles – Exemption to prepare on a continuing basis financial statements in accordance with Canadian generally accepted accounting principles – A mutual fund trust intending to list its units on the TSX and NYSE Arca – Issuer is a "foreign private issuer" with the SEC and permitted to file financial statements prepared in accordance with International Financial Reporting Standards (IFRS) as issued by the International Accounting Standards Board with its Form F-1 registration statement – Significant IFRS issues such as classification of puttable instruments, consolidation, and deferred income taxes are not expected to impact the Trust's financial statements.

Applicable Legislative Provisions

National Instrument 41-101 General Prospectus Requirement, s. 4.2(2) and 19.1
Form 41-101F2 Information Required in an Investment Fund Prospectus, item 38
National Instrument 81-106 Investment Fund Continuous Disclosure, s. 2.6 and 17.1

August 15, 2012

IN THE MATTER OF
THE SECURITIES LEGISLATION OF ONTARIO
(the Jurisdiction)

AND

IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS IN MULTIPLE JURISDICTIONS

AND
IN THE MATTER OF
APMEX PRECIOUS METALS MANAGEMENT SERVICES, INC.
(the Manager)

AND
IN THE MATTER OF
APMEX PHYSICAL – 1 OZ. GOLD REDEEMABLE TRUST
(the Trust)

DECISION

Background

The principal regulator in the Jurisdiction has received an application from the Manager, in its capacity as the manager of the Trust, for a decision under the securities legislation of the Jurisdiction of the principal regulator (the **Legislation**) for relief:

(a) pursuant to section 19.1 of National Instrument 41-101 *General Prospectus Requirements* (**NI 41-101**) from the requirements under subsection 4.2(2) of NI 41-101 and Item 38 of Form 41-101F2 *Information Required in an Investment Fund Prospectus* to permit the Trust to include financial statements prepared using International Financial Reporting Standards as issued by the International Accounting Standards Board (**IFRS-IASB**), rather than Canadian generally accepted accounting principles (**GAAP**), in the final prospectus (the Final Prospectus) of the Trust to be filed in each of the Canadian Jurisdictions (as hereinafter defined); and

(b) pursuant to section 17.1 of National Instrument 81-106 *Investment Fund Continuous Disclosure* (**NI 81-106**) from the requirement under section 2.6 of NI 81-106 to permit the financial statements of the Trust to be prepared in accordance with IFRS-IASB rather than Canadian GAAP,

(collectively, the Exemption Sought).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

(a) the Ontario Securities Commission is the principal regulator for this application (the Principal Regulator); and

(b) the Manager has provided notice that subsection 4.7(1) of Multilateral Instrument 11-102 –*Passport System* (**MI 11-102**) is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Manitoba, Québec, Nova Scotia, New Brunswick, Prince Edward Island and Newfoundland and Labrador.

Interpretation

Terms defined in National Instrument 14-101 - Definitions and MI 11-102 have the same meaning if used in this decision, unless otherwise defined herein.

In this decision, the "total net assets" of the Trust means the net asset value of the Trust determined in accordance with Part 14 of National Instrument 81-106 – *Investment Fund Continuous Disclosure*.

Representations

This decision is based on the following facts represented by the Manager and the Trust:

The Manager and the Trust

1. The Manager is a corporation formed and organized under the laws of the State of Delaware pursuant to a Certificate of Incorporation dated December 21, 2010 and maintains its head office in Oklahoma City, Oklahoma. The Manager is wholly owned by American Precious Metals Exchange, Inc.

2. The Trust is a mutual fund trust established under the laws of the Province of Ontario pursuant to a trust agreement dated as of March 10, 2011, as amended and restated (the Trust Agreement), as the same may be further amended, restated or supplemented from time to time. Pursuant to the Trust Agreement, Computershare Trust Company of Canada acts as the trustee. Pursuant to a management agreement dated as of March 10, 2011, as amended and restated, the Manager acts as the manager of the Trust.

3. Computershare Investor Services Inc. will be the registrar and transfer agent of the Trust pursuant to a transfer agent, registrar and disbursing agent agreement to be entered into on or about the filing of the Final Prospectus.

4. RBC Dexia Investor Services Trust (the **Custodian**) will act as the custodian of the Trust pursuant to a custodian agreement. The Trust will store the 1 oz. American Gold Eagle bullion coins, 1 oz. Canadian Gold Maple Leaf bullion coins, and/or 1 oz. gold bullion bars and rounds (collectively, the Gold Coins) with The Bank of Nova Scotia acting in its capacity as the sub-custodian appointed by the Custodian pursuant to a sub-custodian agreement. The Trust will store Gold Coins with a value equal to at least 60% of the value of the net assets of the Trust at all times in Canada, with the remainder to be stored in the United States.

5. The Trust has filed a registration statement on Form F-1 (the **Registration Statement**) under the U.S. *Securities Act of 1933,* as amended, with the United States Securities and Exchange Commission (the **SEC**) in connection with the initial public offering (the **Offering**) of transferable, redeemable units of the Trust (the **Units**) in the United States.

6. In connection with the Offering of the Units, a preliminary long form prospectus (the **Preliminary Prospectus**) has been filed with the Principal Regulator and the Trust intends to become a reporting issuer, or the equivalent thereof in each Province of Canada (the Canadian Jurisdictions) following the filing of the Final Prospectus.

7. The Trust intends to list the Units on the Toronto Stock Exchange (**TSX**) and the New York Stock Exchange Arca (**NYSE Arca**). The Trust will not

file the Final Prospectus until the TSX and the NYSE Arca have conditionally approved the listing of the Units.

8. The Trust is a "mutual fund in Ontario" as such term is defined in the *Securities Act* (Ontario) and is subject to the investment restrictions applicable to mutual funds which are prescribed by NI 81-102. The Manager will establish an independent review committee for the Trust in accordance with the requirements under National Instrument 81-107 *Independent Review Committee for Investment Funds*.

9. The Trust is not required to register as an "investment company" as such term is defined in the U.S. *Investment Company Act of 1940*, as amended, since the Trust will invest all or substantially all of its assets in Gold Coins.

10. The Manager and the Trust are not in default of securities legislation in any province of Canada.

11. The Trust was created to invest and hold substantially all of its assets in Gold Coins. The Trust seeks to provide a secure, convenient and exchange-traded investment alternative for investors interested in holding Gold Coins. The Trust intends to invest primarily in long-term holdings of Gold Coins and does not intend to speculate in gold. The Trust does not anticipate making regular cash distributions to the unitholders of the Trust (the **Unitholders**).

12. The Manager has obtained relief from subsections 2.3(e) and (f) of National Instrument 81-102 – *Mutual Funds*, to permit the Trust to invest in Gold Coins.

13. Except with respect to cash and highly liquid investments that the Trust will hold to pay expenses and anticipated redemptions of Units, the Trust expects to own only Gold Coins. While the investment guidelines permit the Trust to invest up to 20% of its assets in securities other than Gold Coins, the Manager intends to hold approximately 97% of the total net assets of the Trust in Gold Coins. The Trust does not intend to invest in gold certificates or other financial instruments that represent gold or that may be exchanged for gold.

14. The investment and operating restrictions of the Trust provide that, among other things, the Trust will invest in and hold a minimum of 80% of the total net assets of the Trust in Gold Coins and hold no more than 20% of the total net assets of the Trust in cash (such as interest-bearing accounts and short-term certificates of deposit) or any "U.S. Government Security" (except during the 90-day period following the closing of the Trust's initial public offering or additional offerings or prior to the distribution of assets of the Trust, at which times the Trust may hold more than 20% of the total net assets of the Trust in cash (such as interest-bearing accounts and short-term certificates of deposit) and U.S. Government Securities). U.S. Government Securities are direct obligations of or obligations guaranteed as to principal or interest by the United States, or securities issued or guaranteed by corporations in which the United States has a direct or indirect interest which shall have been designated by the Secretary of the Treasury, pursuant to section 3(a)(12) of the *Securities Exchange Act of 1934,* as exempted securities for the purposes of that Act.

15. The Offering is being marketed primarily to investors resident in the United States and Canada. The Manager estimates that 75% of the Offering will be sold in the United States and 25% of the Offering will be sold in Canada.

16. As a newly established issuer, the Trust has not prepared any financial statements other than the audited statement of financial position of the Trust as at December 31, 2011 included in the Preliminary Prospectus filed with the Principal Regulator and the Registration Statement, as amended, filed with the SEC.

17. The SEC permits foreign private issuers, such as the Trust, to include in their Form F-1 filings financial statements prepared in accordance with IFRS-IASB. Preparing the Trust's financial statements in accordance with Canadian GAAP would require the Registration Statement to include a reconciliation between U.S. GAAP and Canadian GAAP.

18. The Canadian Securities Administrators will require all investment funds to prepare financial statements in accordance with IFRS-IASB as of January 1, 2014. If the Trust is permitted to prepare its financial statements since its inception date in accordance with IFRS-IASB, the Unitholders will not be required to incur the expenses associated with a subsequent conversion to IFRS-IASB and the reconciliation to U.S. GAAP that would be required in the Registration Statement.

19. The Manager has carefully assessed the readiness of its employees, management and board of directors for the Trust's adoption of IFRS-IASB for financial periods beginning on or after March 10, 2011 which is the Trust's inception date, and has concluded that all such persons are adequately prepared for the Trust's adoption of IFRS-IASB for financial periods beginning on or after March 10, 2011.

20. The Manager, in consultation with the Trust's external auditors, has determined that the Units will be classified as equity instruments under

IFRS-IASB. The Trust Agreement provides that annual distributions of the Trust's net income and net realized capital gains, if any, to Unitholders will be at the discretion of the Trust rather than being a mandatory or automatic distribution to such Unitholders. Furthermore, if at any point in the future the classification of the Units has to be changed from equity to liability due to either changes in the Trust's structure or a change in accounting rules, such a change would not impact the calculation of the net asset value (the NAV) of the Trust pursuant to Part 14 of NI 81-106 since all liabilities represented by outstanding Units would be specifically excluded from the calculation of the NAV of the Trust.

21. The Manager, in consultation with the Trust's external auditors, has determined that the Trust will not be required to present consolidated financial statements under IFRS-IASB since the Trust will invest all or substantially all of its assets in Gold Coins which will be measured at fair value under IFRS-IASB.

22. The Manager, in consultation with the Trust's external auditors, expects that the Trust will not account for deferred taxes under IAS 12 Income Taxes of IFRS-IASB. However, the Manager notes that the application of IAS 12 Income Taxes to certain mutual fund trusts continues to be debated within the Canadian accounting profession. Nonetheless, the Manager and the Trust are of the view that there will be no difference in the calculation of the NAV of the Trust pursuant to Part 14 of NI 81-106 between IFRS-IASB and Canadian GAAP since the fair value of any such deferred tax liability would be nil.

23. The Preliminary Prospectus discloses, and the Final Prospectus will disclose, the Trust's intention that its financial statements will be prepared in accordance with IFRS-IASB.

24. The annual financial statements of the Trust to be included in the Final Prospectus and for subsequent financial periods, and the interim financial statements of the Trust for subsequent financial periods will be prepared in accordance with IFRS-IASB.

Decision

The Principal Regulator is satisfied that the decision meets the tests set out in the Legislation for the Principal Regulator to make the decision.

The decision of the Principal Regulator under the Legislation is that the Exemption Sought is granted provided that:

(a) the notes to the financial statements of the Trust disclose an unreserved statement of compliance with:

(i) in the case of annual financial statements, IFRS-IASB; and

(ii) in the case of an interim financial report, IAS 34 Interim Financial Reporting; and

(b) the Exemption Sought ceases to apply if section 2.6 of NI 81-106 is amended and changes the applicable accounting principles from Canadian GAAP to IFRS-IASB, but only for financial years beginning on or after the date on which the amendment comes into force.

"Raymond Chan"
Manager, Investment Funds Branch

2.1.3 RX Gold & Silver Inc.

Headnote

National Policy 11-203 Process For Exemptive Relief Applications in Multiple Jurisdictions – Issuer deemed to no longer be a reporting issuer under securities legislation.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c.S.5, as am., ss., s. 1(10)(a)(ii)

August 28, 2012

RX Gold & Silver Inc.
145 King Street West, Suite 1220
Toronto, Ontario M5H 1J8
Attn: Peter McRae

and

Davies Ward Phillips & Vineberg LLP
44th Floor
1 First Canadian Place
Toronto, ON M5X 1B1
Attn: Peter Hong

Dear Sirs:

Re: RX Gold & Silver Inc. (the Applicant) – application for a decision under the securities legislation of the provinces of Ontario and Alberta (the Jurisdictions) that the Applicant is not a reporting issuer

The Applicant has applied to the local securities regulatory authority or regulator (the Decision Maker) in each of the Jurisdictions for a decision under the securities legislation (the Legislation) of the Jurisdictions that the Applicant is not a reporting issuer.

In this decision, "securityholder" means, for a security, the beneficial owner of the security.

The Applicant has represented to the Decision Makers that:

(a) the outstanding securities of the Applicant, including debt securities, are beneficially owned, directly or indirectly, by fewer than 15 securityholders in each of the jurisdictions of Canada and fewer than 51 securityholders in total worldwide;

(b) no securities of the Applicant, including debt securities, are traded in Canada or another country on a marketplace as defined in National Instrument 21-101 – *Marketplace Operation* or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported;

(c) the Applicant is applying for a decision that it is not a reporting issuer in all of the jurisdictions of Canada in which it is currently a reporting issuer; and

(d) the Applicant is not in default of any of its obligations under the Legislation as a reporting issuer.

Each of the Decision Makers is satisfied that the test contained in the Legislation that provides the Decision Maker with the jurisdiction to make the decision has been met and orders that the Applicant is not a reporting issuer.

"Jo-Anne Matear"
Manager, Corporate Finance
Ontario Securities Commission

2.1.4 Invesco Canada Ltd.

Headnote

NP 11-203 – Process for Exemptive Relief Application in Multiple Jurisdictions

Relief granted to commodity pools from concentration, control and fund-on-fund restrictions in sections 2.1(1), 2.2(1), 2.5(2)(a) and (c) of National Instrument 81-102 Mutual Funds to permit certain commodity pools to gain exposure to US commodity ETFs tracking the performance of physical commodities, subject to certain conditions.

Relief granted to commodity pools from fund-on-fund restrictions in section 2.5(2)(e) and (f) of National Instrument 81-102 Mutual Funds to permit commodity pools to pay brokerage commissions incurred for the purchase or sale of securities of US commodity ETFs.

Relief granted from section 3.2(2)(a) of National Instrument 81-104 Commodity Pool to permit manager to redeem seed investment in commodity pools provided pools have received subscriptions from investors totalling at least $5 million and provided the manager maintains working capital as required for investment fund managers under National Instrument 31-103 Registration Requirements and Exemptions.

Applicable Legislative Provisions

National Instrument 81-102 Mutual Funds, ss. 2.1(1), 2.2(1), 2.5(2)(a), 2.5(2)(c), 2.5(2)(e) and 2.5(2)(f) and section 19.1.

National Instrument 81-104 Commodity Pools, ss. 3.2(2)(a) and section 10.1.

August 22, 2012

IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the Jurisdiction)

AND

IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF
APPLICATIONS IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF
INVESCO CANADA LTD.
(the Filer)

AND

IN THE MATTER OF
POWERSHARES DB COMMODITY (CAD HEDGED)
INDEX ETF
POWERSHARES DB AGRICULTURE (CAD HEDGED)
INDEX ETF
(the Proposed Commodity Pools)

DECISION

Background

The principal regulator in the Jurisdiction has received an application from the Filer on behalf of the Proposed Commodity Pools and any similar exchange-traded commodity pools that the Filer or an affiliate of the Filer may create and manage in the future (the **Future Commodity Pools** and, together with the Proposed Commodity Pools, the **Commodity Pools**) for exemptive relief from paragraph 3.2(2)(a) of National Instrument 81-104 *Commodity Pools* (**NI 81-104**) to permit the required initial investment of $50,000 in each Commodity Pool to be redeemed in accordance with this decision (**the Exemption Sought**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

(a) the Ontario Securities Commission is the principal regulator for this application; and

(b) the Filer has provided notice that Subsection 4.7(1) of Multilateral Instrument 11-102 *Passport System* **(MI 11-102)** is intended to be relied upon in each of British Columbia, Alberta, Saskatchewan, Manitoba, Quebec, New Brunswick, Nova Scotia, Prince Edward Island, Newfoundland and Labrador, Northwest Territories, Nunavut and Yukon (with Ontario, the Jurisdictions).

Interpretation

Terms defined in MI 11-102, National Instrument 14-101 *Definitions*, National Instrument 81-102 *Mutual Funds* **(NI 81–102)** and NI 81-104 have the same meanings if used in this decision unless otherwise defined.

Representations

This decision is based on the following facts represented by the Filer:

The Filer and the Commodity Pools

1. The Filer is a corporation amalgamated under the laws of Ontario and its head office is located in Toronto, Ontario.

2. The Filer will be the trustee, manager and portfolio manager of the Proposed Commodity Pools. The Filer or an affiliate of the Filer will be the trustee, manager and portfolio manager of the Future Commodity Pools. The Filer is registered as a portfolio manager and mutual fund dealer in certain of the provinces and territories of Canada and is registered in Ontario as an investment fund

manager and under the *Commodity Futures Act* (Ontario) in the category of commodity trading manager.

3. The Filer is not in default of securities legislation in any province or territory of Canada.

4. Each Commodity Pool will be: (a) an open-end mutual fund trust established under the laws of Ontario; (b) governed by the provisions of NI 81-102 as modified by any exemptions as may be granted by the securities regulatory authorities and those exceptions relating to commodity pools outlined in NI 81-104; (c) qualified for distribution in some or all of the provinces and territories of Canada under a prospectus prepared in accordance with National Instrument 41-101 *General Prospectus Requirements* that will be filed with and receipted by the securities regulators in the applicable Jurisdiction(s); and (d) once receipted, a reporting issuer under the securities laws of some or all of the provinces and territories of Canada.

5. Each Commodity Pool will be a "commodity pool", as such term is defined in subsection 1.1(1) of NI 81-104, in that each Commodity Pool will adopt investment objectives that permit that Commodity Pool to invest directly, or indirectly through one or more derivative instruments, in physical commodities in a manner that is not permitted under NI 81-102.

6. Each Commodity Pool and its units (the **Units**) will be listed on the Toronto Stock Exchange (the **TSX**). The Filer will not file a final prospectus for a Commodity Pool unless the TSX has conditionally approved the listing of Units of the Commodity Pool.

7. The investment objective of each Commodity Pool will be to seek to replicate, to the extent reasonably possible and before fees and expenses, the performance of a specified commodity index, which index will track the price of one or more physical commodities. In the case of PowerShares DB Commodity (CAD Hedged) Index ETF and as at the date of this decision, the index is the DBIQ Optimum Yield Diversified Commodity Index Excess Return Hedged CAD. In the case of PowerShares DB Agriculture Fund and as at the date of this decision, the index is the DBIQ Diversified Agriculture Index Excess Return Hedged CAD.

Seed Capital

8. The Filer or an affiliate of the Filer (in either case being the **Initial Investor**) will provide seed capital by investing an aggregate of at least $50,000 in the Units of a Commodity Pool before the time of filing the final prospectus of that Commodity Pool.

9. According to paragraph 3.2(2)(a) of NI 81-104, unless a redemption, repurchase or return is effected as part of the dissolution or termination of the commodity pool, a commodity pool may redeem, repurchase or return any amount invested in securities issued upon the investment in the commodity pool referred to in paragraph 3.2(1)(a) of NI 81-104 only if securities issued under paragraph 3.2(1)(a) of NI 81-104 that had an aggregate issue price of $50,000 remain outstanding and at least $50,000 invested under paragraph 3.2(1)(a) of NI 81-104 remains invested in the commodity pool.

10. The Initial Investor wishes to be able to redeem the seed capital invested under paragraph 3.2(1)(a) of NI 81-104 in accordance with this decision.

11. The Filer understands that the policy rationale behind the permanent seed capital requirement for commodity pools under NI 81-104 is to encourage promoters to ensure that the commodity pool is being properly run for the benefit of the investors by requiring that the promoter of a pool, or a related party, will itself be an investor in the pool at all times.

12. The Commodity Pools will be properly managed for the benefit of investors because, as trustee and manager of the Commodity Pools, the Filer has the following obligations:

    (a) the trustee of each Commodity Pool will have a fiduciary duty to manage the Commodity Pool in the best interests of its unitholders;

    (b) under applicable securities law, the manager of each Commodity Pool will be obliged at all times to act honestly and in good faith, and in the best interests of the Commodity Pool, and to exercise the degree of care, diligence and skill that a reasonably prudent person would exercise in comparable circumstances; and

    (c) under the declaration of trust governing each Commodity Pool, the trustee of the Commodity Pool will be obliged to act as a reasonably prudent person.

13. Having regard to the fiduciary obligations and standards of care set out in paragraph 12 above, as well as the investment objective of each Commodity Pool, not having $50,000 invested by the Initial Investor in a Commodity Pool at all times will not change how the Commodity Pool is managed.

14. If the Commodity Pools were governed solely by the provisions of NI 81-102 (rather than NI 81-

104), the Initial Investor would be allowed to redeem its seed capital investment in a Commodity Pool upon the Commodity Pool having received subscriptions totalling not less than $500,000 from investors other than the persons or companies referred to in paragraph 3.1(1)(a) of NI 81-102.

15. A Commodity Pool will not issue Units to other investors unless subscriptions from such investors aggregating at least $500,000 have been received and accepted by it.

Decision

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Exemption Sought is granted provided that:

(a) the Initial Investor may not redeem any of its initial investment in a Commodity Pool until $5.0 million in subscriptions has been received by the Commodity Pool from persons or companies other than the persons and companies referred to in paragraph 3.2(1)(a) of NI 81-104;

(b) if, after the Initial Investor redeems its initial investment in a Commodity Pool in accordance with condition (a) above, the value of the Units subscribed for by investors other than the persons and companies referred to in paragraph 3.2(1)(a) of NI 81-104 drops below $5.0 million for more than 30 consecutive days, the Initial Investor will, unless the Commodity Pool is in the process of being dissolved or terminated, reinvest $50,000 in the Commodity Pool and maintain that investment until condition (a) is again satisfied;

(c) the Filer will at all times comply with the applicable requirements of registration as an investment fund manager under National Instrument 31-103 Registration Requirements and Exemptions; and

(d) the basis on which the Initial Investor may redeem any of its initial investment in a Commodity Pool will be disclosed in any prospectus of the Commodity Pool.

"Raymond Chan"
Manager, Investment Funds
Ontario Securities Commission

2.1.5 Petromagdalena Energy Corp.

Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – application for an order that the issuer is not a reporting issuer under applicable securities laws – requested relief granted.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c.S.5, as am., ss.1(10)(a)(ii).
CSA Staff Notice 12-307 Applications for a Decision that an Issuer is not a Reporting Issuer.

August 30, 2012

**IN THE MATTER OF
THE SECURITIES LEGISLATION OF BRITISH COLUMBIA,
ALBERTA, SASKATCHEWAN, MANITOBA, ONTARIO, NEW BRUNSWICK, NOVA SCOTIA, PRINCE EDWARD ISLAND AND
NEWFOUNDLAND AND LABRADOR (THE JURISDICTIONS)**

AND

**IN THE MATTER OF
THE PROCESS FOR
EXEMPTIVE RELIEF APPLICATIONS IN MULTIPLE JURISDICTIONS**

AND

**IN THE MATTER OF
PETROMAGDALENA ENERGY CORP. (THE FILER)**

DECISION

Background

The securities regulatory authority or regulator in each of the Jurisdictions (the **Decision Maker**) has received an application from the Filer for a decision under the securities legislation of the Jurisdictions (the **Legislation**) that the Filer is not a reporting issuer in each Jurisdiction (the **Exemptive Relief Sought**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a coordinated review application):

(a) the Ontario Securities Commission is the principal regulator for this application; and

(b) the decision is the decision of the principal regulator and evidences the decision of each other Decision Maker.

Interpretation

Terms defined in National Instrument 14-101 Definitions have the same meaning if used in this decision, unless otherwise defined.

Representations

This decision is based on the following facts represented by the Filer:

1. The Filer is a corporation governed by the *Business Corporations Act* (British Columbia) (the **BCBCA**).

2. The Filer's corporate and head office is located at 333 Bay Street, Suite 1100, Toronto, Ontario M5H 2R2 and its registered office is located at 1188 West Georgia Street, Suite 650, Vancouver, British Columbia V6E 4A2.

3. The Filer is a reporting issuer in each of the Jurisdictions and no other Canadian provinces or territories.

4. The Filer's authorized share capital consists of an unlimited number of common shares (the **Common Shares**) and an unlimited number of preferred shares. The Filer has 149,391,520 issued and outstanding Common Shares and no issued and outstanding preferred shares.

5. On July 27, 2012, the Filer completed a court approved plan of arrangement (the **Arrangement**) under the provisions of Division 5 of Part 9 of the BCBCA. Pursuant to the Arrangement, among other things:

   (a) 0942183 B.C. Ltd. (the **Purchaser**), a wholly-owned subsidiary of Pacific Rubiales Energy Corp., acquired ownership and control of all of the issued and outstanding Common Shares not previously owned by it or its affiliates; and

   (b) each option of the Filer with an exercise price per Common Share less than the consideration paid per Common Share under the Arrangement and each common share purchase warrant (each a **Warrant**) of the Filer was disposed of to the Filer. All options and Warrants were immediately thereafter cancelled.

6. The Filer's Common Shares and Warrants were delisted from the TSX Venture Exchange (the **Exchange**) effective as of close of trading on July 30, 2012.

7. Prior to August 27, 2012, the Filer had outstanding 9.0% Senior A notes due May 5, 2014 (the **Notes**) issued under a note indenture made as of May 5, 2011 (the **Note Indenture**) between the Filer and Equity Financial Trust Company (the **Trustee**) as supplemented by the first supplemental indenture dated July 21, 2012.

8. In accordance with the terms of the Note Indenture, on July 27, 2012, the Filer provided to the Trustee notice (the **Redemption Notice**) that it will redeem the Notes on August 27, 2012 (the **Redemption Date**). The Redemption Notice was published in the Globe & Mail newspaper.

9. On the Redemption Date, all of the Notes were redeemed for cash consideration equal to 110% of the principal amount of such Notes plus accrued and unpaid interest thereon up to but excluding the Redemption Date.

10. The Notes were delisted from the Exchange effective as of close of trading on August 27, 2012.

11. The Filer has no plans to conduct a public offering or private placement of its securities in Canada.

12. The Filer did not voluntarily surrender its status as a reporting issuer in British Columbia under British Columbia Instrument 11-502 - *Voluntary Surrender of Reporting Issuer Status* (the **BC Instrument**) because it could not meet the required minimum 10 day waiting period under the BC Instrument as it would not be a "closely held reporting issuer" as defined under the BC Instrument prior to August 27, 2012.

13. The Filer is not eligible to use the simplified procedure under CSA Notice 12-307 *Applications for a Decision that an Issuer is not a Reporting Issuer* because it is a reporting issuer in British Columbia.

14. All of the Common Shares are owned by the Purchaser and the Common Shares are the only outstanding securities of the Filer.

15. As of August 28, 2012, the outstanding securities of the Filer, including debt securities, are beneficially owned, directly or indirectly, by fewer than 15 security holders in each of the Jurisdictions and fewer than 51 security holders in total worldwide.

16. No securities of the Filer, including debt securities, are traded in Canada or another country on a marketplace as defined in National Instrument 21-101 - *Marketplace Operation* or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported.

17. Upon the grant of Exemptive Relief Sought, the Filer will no longer be a reporting issuer or the equivalent in any jurisdiction in Canada.

18. The Filer is not in default of any of its obligations under securities legislation in any Jurisdiction as a reporting issuer.

19. In the absence of the Exemptive Relief Sought, the Filer will be in default of its obligation to file its interim financial statements and related management discussion and analysis for the period ended June 30, 2012 if it does not file such documents by August 29, 2012.

Decision

Each of Decision Makers is satisfied that the decision meets the test set out in the Legislation for the Decision Makers to make the decision.

The decision of the Decision Makers under the Legislation is that the Exemptive Relief Sought is granted.

"Margot C. Howard"
Commissioner
Ontario Securities Commission

"Christopher Portner"
Commissioner
Ontario Securities Commission

2.1.6 Fidelity Investments Canada ULC and Fidelity Premium Tactical Fixed Income Capital Yield Private Pool

Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Relief granted to certain three-tier structures from multi-layering prohibition in paragraph 2.5(2)(b) of NI 81-102 to permit top funds to invest in funds-of-funds, which are more than 10% invested in underlying funds – The three-tier fund structure is analogous to the current multi-layering exception in NI 81-102 – Transparent investment portfolio and accountability for portfolio management – National Instrument 81-102 Mutual Funds.

Applicable Legislative Provisions

National Instrument 81-102 Mutual Funds, ss. 2.5(2)(b) and 19.1.

April 27, 2012

IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO
(the Jurisdiction)

AND

IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF
APPLICATIONS IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF
FIDELITY INVESTMENTS CANADA ULC (THE FILER),
FIDELITY PREMIUM TACTICAL FIXED INCOME
CAPITAL YIELD PRIVATE POOL
(the Current Top Fund)
AND ALL FUTURE SIMILAR MUTUAL FUNDS
MANAGED BY THE FILER
(together with the Current Top Fund, the Top Funds)

DECISION

Background

The principal regulator in the Jurisdiction has received an application from the Filer on behalf of the Top Funds for a decision under the securities legislation of the Jurisdiction (the **Legislation**) exempting the Top Funds from section 2.5(2)(b) of National Instrument 81-102 *Mutual Funds* (**NI 81-102**) to permit each Top Fund to obtain exposure to another mutual fund subject to NI 81-102 that is or will be managed by the Filer (each, a **Reference Fund**) through one or more specified derivatives, which Reference Fund will hold more than 10% of its net asset value in securities of other mutual funds (each, a **Third Tier Fund**) subject to NI 81-102 that are or will be managed by the Filer (the **Exemption Sought**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

(a) the Ontario Securities Commission is the principal regulator for this application, and

(b) the Filer has provided notice that section 4.7(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon in each of the other provinces and territories of Canada (together with Ontario, the **Jurisdictions**).

Interpretation

Terms defined in National Instrument 14-101 *Definitions*, MI 11-102 and NI 81-102 have the same meaning if used in this decision, unless otherwise defined.

Representations

This decision is based on the following facts represented by the Filer:

The Filer

1. The Filer will be the manager of each Top Fund, Reference Fund and Third Tier Fund. The Filer or an affiliate of the Filer will be the portfolio adviser of each Top Fund, Reference Fund and Third Tier Fund.

2. The Filer is registered in Ontario in the category of investment fund manager. The Filer is also registered as a portfolio manager and mutual fund dealer in each of the provinces and territories of Canada and under the *Commodity Futures Act* (Ontario) in the category of commodity trading manager. The head office of the Filer is in Toronto, Ontario.

3. The Filer is not in default of securities legislation in any of the Jurisdictions.

The Top Funds, Reference Funds and Third Tier Funds

4. Each Top Fund, Reference Fund and Third Tier Fund will be either an open-end mutual fund trust that will be created under the laws of the Province of Ontario or a class of a mutual fund corporation.

5. Each Top Fund, Reference Fund and Third Tier Fund will be a reporting issuer under the laws of some or all of the provinces and territories of Canada and subject to NI 81-102. The securities of each Top Fund, Reference Fund and Third Tier Fund will be qualified for distribution pursuant to a simplified prospectus (SP), annual information form (AIF) and Fund Facts that will be prepared and filed in accordance with National Instrument 81-101 *Mutual Fund Prospectus* Disclosure.

6. A preliminary SP, AIF and Fund Facts in respect of, among others, the Current Top Fund and its Reference Fund, Fidelity Tactical Fixed Income Fund, was filed via SEDAR under project numbers 1876218 and 1876224, respectively, on March 23, 2012.

7. The Top Funds, Reference Funds and Third Tier Funds will all have substantially similar investment objectives in that they will all seek to invest in, or provide a return based on, a portfolio of fixed income securities.

8. The Top Funds are each intended to offer taxable investors a version of a Reference Fund with more tax efficient distributions.

9. Each Top Fund will seek to achieve its investment objective by investing primarily in Canadian equity securities and by obtaining exposure to its Reference Fund through one or more forward agreements or other specified derivatives, the effect of which is to provide the Top Fund with a return that is based on the performance of its Reference Fund.

10. Each Reference Fund is or will be a fund-of-funds that invests or will invest in two or more Third Tier Funds and may also invest directly in fixed income securities.

11. Each Third Tier Fund primarily invests or will invest directly in a portfolio of fixed income securities.

12. No existing Reference Fund or Third Tier Fund is in default of securities legislation in any of the Jurisdictions.

Three-Tier Fund Structure

13. For the purposes of section 2.5 of NI 81-102, each Top Fund will be considered to be holding securities of its Reference Fund because it will enter into one or more forward agreements or other specified derivatives the underlying interest of which will be securities of its Reference Fund. Accordingly, each Top Fund's use of forward agreements or other specified derivatives will result in a three-tier fund of fund structure. This multi-tiered fund structure is contrary to the multi-layering prohibition in clause 2.5(2)(b) of NI 81-102 and does not fit within the exceptions to clause 2.5(2)(b) found in subsection 2.5(4) of NI 81-102.

14. It would be burdensome, expensive and administratively difficult from an operational and portfolio management perspective for each Top Fund to obtain exposure to each Third Tier Fund through forward agreements or other specified derivatives because it would require each Top Fund to enter into multiple forward agreements or

other specified derivatives and to adjust the balance between such specified derivatives through the mechanics of those derivatives.

15. It would be more efficient if exposure to a Third Tier Fund occurs at the Third Tier Fund level. Any adjustment made by a Reference Fund to its Third Tier Funds is made by simply acquiring or redeeming securities of the Third Tier Fund in the ordinary course and automatically results in a corresponding indirect adjustment to the Top Fund's exposure to that Third Tier Fund.

16. The investment objectives of each Top Fund will disclose that the Top Fund uses forward agreements or other specified derivatives to track the performance, less transaction and hedging costs, of its Reference Fund, which, in turn, invests in Third Tier Funds.

17. The name of each Top Fund will include part of the name of each Reference Fund and the investment objectives of each Top Fund will specify the full name of its Reference Fund.

18. The SP for each Top Fund will disclose that the accountability for portfolio management is at the level of the Reference Fund with respect to the selection of Third Tier Funds and at the level of the Third Tier Funds with respect to the purchase and sale of fixed income portfolio securities.

19. The Top Funds will comply with the requirements under NI 81-106 relating to top 25 positions portfolio holdings disclosure in its management reports of fund performance and the requirements in Form 81-101F3 relating to top 10 positions portfolio holdings disclosure in its Fund Facts as if the Top Fund were investing directly in the Third Tier Funds.

20. Except for clause 2.5(2)(b), a Top Fund's use of forward agreements or other specified derivatives and investment in a Reference Fund will be made in accordance with the provisions of section 2.5 of NI 81-102.

21. An investment by a Reference Fund in securities of its Third Tier Funds is and will be made in accordance with the provisions of section 2.5 of NI 81-102.

22. The SP for each Top Fund and Reference Fund will disclose that fees and expenses will not be duplicated as a result of investments in underlying funds.

23. An investment by a Top Fund in its Reference Fund and by a Reference Fund in its Third Tier Funds represents the business judgment of responsible persons uninfluenced by considerations other than the best interests of the Top Fund and of its Reference Fund, respectively.

24. The Filer has determined that it would be in the best interests of the Top Funds to receive the Exemption Sought.

Decision

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Exemption Sought is granted, provided that the proposed investment of each Top Fund in its Reference Fund is otherwise made in compliance with all other requirements of section 2.5 of NI 81-102, except to the extent that discretionary relief has been granted from any such requirement.

"Raymond Chan"
Manager, Investment Funds
Ontario Securities Commission

2.1.7 BMO Harris Investment Management Inc. and BMO Harris International Special Equity Portfolio

Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – exemption from requirement in section 5.1(c) of NI 81-102 to obtain prior investor approval before changing the investment objectives of a mutual fund – mutual fund permitted to change its investment objective without seeking investor approval – all investors of the fund have entered into discretionary investment management agreements giving full discretionary authority to portfolio manager – relief not to be considered a precedent – relief granted based on specific facts in application for one-time non-recurring change to investment objectives of fund.

Applicable Legislative Provisions

National Instrument 81-102 Mutual Fund, clause 5.1(c) and section 19.1.

August 30, 2012

IN THE MATTER OF
THE SECURITIES LEGISLATION OF ONTARIO
(The Jurisdiction)

AND

IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF
BMO HARRIS INVESTMENT MANAGEMENT INC.
(The Filer)

AND

BMO HARRIS INTERNATIONAL SPECIAL EQUITY PORTFOLIO
(The Fund)

DECISION

Background

The principal regulator in the Jurisdiction has received an application from the Filer on behalf of the Fund for a decision under the securities legislation of the Jurisdiction of the principal regulator (the **Legislation**) granting the Fund an exemption from subsection 5.1(c) of National Instrument 81-102 *Mutual Funds* (**NI 81-102**) to permit the Fund's fundamental investment objectives to be changed, as described below, without the prior approval of the unitholders of the Fund (the **Exemption Sought**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

(a) the Ontario Securities Commission is the principal regulator for this application; and

(b) the Filer has provided notice that section 4.7(1) of Multilateral Instrument 11-102 Passport System (MI 11-102) is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Manitoba, Québec, New Brunswick, Nova Scotia, Newfoundland and Labrador and Prince Edward Island.

Interpretation

Terms defined in National Instrument 14-101 Definitions, MI 11-102 and NI 81-102 have the same meanings if used in this decision unless they are defined in this decision. The following additional terms shall have the following meaning:

BMO Harris Private Portfolios means collectively the Fund and the other mutual funds managed by the Filer; and

IRC means the independent review committee for the Fund.

Representations

This decision is based on the following facts represented by the Filer:

The Filer and the Fund

1. The Filer is a corporation established under the laws of Ontario and is the manager and portfolio manager of the Fund. An affiliate of the Filer, BMO Trust Company, is the trustee of the Fund.

2. The Filer is registered as an investment fund manager, commodity trading counsel, commodity trading manager in Ontario and as a portfolio manager and exempt market dealer in each province and territory of Canada. The Filer is also registered as an investment adviser in the United States.

3. The Filer offers fully discretionary investment management services to its clients in the Jurisdictions, including all of the investors in the Fund.

4. The BMO Harris Private Portfolios, including the Fund, were established by the Filer as an efficient and cost effective means of providing discretionary investment management services to its clients, including all of the investors in the Fund, as an alternative to segregated account management.

5. The only investors in the BMO Harris Private Portfolios, including in the Fund, are clients of the Filer who have entered into a discretionary investment management agreement and relationship with the Filer.

6. The Fund is an open-end mutual fund trust established under the laws of the Province of Ontario.

7. Units of the Fund, and of the other BMO Harris Private Portfolios, are qualified for sale in each of the provinces of Canada (the **Jurisdictions**) under a simplified prospectus and annual information form, each dated October 24, 2011 as amended.

8. The Fund is subject to NI 81-102 and, unless an exemption has been obtained, the Fund follows the standard investment restrictions and practices established by the securities regulatory authorities in each of the Jurisdictions.

9. The Fund is a reporting issuer under the applicable securities legislation of each of the Jurisdictions.

10. Neither the Filer nor the Fund is in default of applicable securities legislation.

Proposed change to fundamental investment objectives of the Fund

11. The current fundamental investment objective of the Fund is as follows:

> "The Portfolio's investment objective is to achieve long-term growth through capital appreciation by primarily investing in small and mid-sized companies internationally."

12. The Filer proposes to change the investment objective of the Fund to the following:

> "The Portfolio's investment objective is to achieve long-term growth through capital appreciation by primarily investing in equity securities of small and mid capitalization U.S. companies."

13. The Filer proposes this change to the Fund's fundamental investment objective because the Filer has determined that, at this time, there are limited opportunities available for a mandate investing in small and mid-capitalization companies in the international market, but that there are better investment opportunities in such issuers in the U.S. market.

14. The Filer is authorized under its discretionary investment management agreement with each client who is an investor in the Fund to make any investment on behalf of the client, including buying and selling securities of the Fund in favour of securities of another investment fund or of any other issuer without obtaining the client's approval, provided such investment is consistent with the mandate established by the client.

15. The Filer will perform a suitability analysis on each existing investor in the Fund to ensure that the Fund with the new investment objective continues to be a suitable investment for each of the Fund's existing investors. To the extent that the new investment objective is determined not to be suitable for a client, the Filer will cause such client's investment to be redeemed out of the Fund prior to the change and invested in a more suitable investment.

16. The proposed change to the Fund's investment objectives will be consistent with the mandate established by each client who remains as an investor in the Fund on the effective date of the change.

17. Provided the Exemption Sought is obtained, the declaration of trust governing the Fund does not require unitholder approval in order for the Filer to change the fundamental investment objectives of the Fund.

18. Under its discretionary investment management agreement with each client, the Filer is authorized to receive all securityholder materials relating to the securities held in the client's account, and to vote on behalf of the client on any matters relating to the securities held in the client's account, provided that such vote is in the best interests of the client.

19. The Filer's clients expect and rely upon the Filer to make all of the investment decisions with respect to their accounts. Investment decisions include decisions to change the investment objectives of the Fund. The unitholders of the Fund are relying entirely on the Filer to make investment decisions for them. In these circumstances, the change of a fundamental investment objective of the Fund is analogous to a unitholder changing from one BMO Harris Private Portfolio to another, which change does not require unitholder approval, but which change would, for tax purposes, be a disposition.

20. Subsection 5.1(c) of NI 81-102 requires that unitholder approval be obtained for any change to the fundamental investment objectives of a mutual fund. The Filer believes that, in the circumstances, a unitholder meeting convened for the purpose of obtaining unitholder approval to change the fundamental investment objectives of the Fund is not appropriate given the discretion granted to the Filer by its clients and would represent an unnecessary cost and inconvenience to the Filer, the Fund and the unitholders of the Fund.

21. The proposed change of the fundamental investment objectives of the Fund is expected to be neutral to the unitholders of the Fund from a total fee and expense perspective.

22. If the Exemption Sought is granted and the investment objective of the Fund is changed, it is anticipated that the name of the Fund will change to BMO Harris U.S. Special Equity Portfolio, to better reflect the proposed new fundamental investment objective. In addition, the Filer anticipates that the sub-advisor of the Fund may change to an affiliate of the Filer who has expertise in the U.S. small/mid-cap market and that the investment strategies of the Fund will change to reflect the new sub-advisor's investment approach.

23. The IRC of the Fund will review the proposed change in sub-advisor if the new sub-advisor is an affiliate of the Filer. In such case, the IRC will be asked to make a recommendation regarding whether the proposed sub-advisor change achieves a fair and reasonable result for the Fund.

24. The proposal to change the Fund's investment objective, name, sub-advisor and strategies has been disclosed in a press release issued on July 9, 2012 and filed under SEDAR project #1931076 and in an amendment to the Fund's simplified prospectus, annual information form and fund facts, as well as in a material change report, which have been filed under SEDAR project #1931269 and #1803795.

25. If the Exemption Sought is granted, the Filer proposes to further amend the Fund's simplified prospectus, annual information form and fund facts, issue a new press release and file another material change report confirming the change will occur and providing further details regarding any new investment strategies and any new sub-advisor of the Fund.

26. Prior to, or shortly following, the implementation of the proposed change, the Filer will communicate with each client that holds units of the Fund to explain the changes to his/her account that will occur as a result of the change to the fundamental investment objectives of the Fund, including any tax consequences that will result from switching the client out of the Fund to another investment pursuant to a suitability analysis.

Decision

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Exemption Sought is granted.

"Sonny Randhawa"
Manager, Investment Funds
Ontario Securities Commission

2.1.8 Gazit America Inc.

Headnote

National Policy 11-203 Process For Exemptive Relief Applications in Multiple Jurisdictions – application for an order that the issuer is not a reporting issuer.

Ontario Statutes

Securities Act, R.S.O. 1990, c.S.5, as am., ss. 1(10).

August 31, 2012

IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ONTARIO, BRITISH COLUMBIA, ALBERTA,
SASKATCHEWAN, MANITOBA,
QUEBEC, PRINCE EDWARD ISLAND, NEW
BRUNSWICK, NOVA SCOTIA
AND NEWFOUNDLAND AND LABRADOR
(THE "JURISDICTIONS")

AND

IN THE MATTER OF
THE PROCESS OF
EXEMPTIVE RELIEF APPLICATIONS IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF
GAZIT AMERICA INC.
(THE "FILER")

DECISION

Background

The securities regulatory authority or regulator in each of the Jurisdictions (the **"Decision Maker"**) has received an application from the Filer for a decision under the securities legislation of the Jurisdictions (the **"Legislation"**) that the Filer is deemed to have ceased to be a reporting issuer in the Jurisdictions (the **"Exemptive Relief Sought"**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a coordinated review application):

(a) the Ontario Securities Commission is the principal regulator for this application, and

(b) the decision is the decision of the principal regulator and evidences the decision of each other Decision Maker.

Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 *Passport System* have the same meaning if used in this decision, unless otherwise defined.

Representations

This decision is based on the following facts represented by the Filer:

1. the Filer is a corporation governed by the *Business Corporations Act* (Ontario) with its registered address located at 109 Atlantic Avenue, Suite 303, Toronto, Ontario M6K 1X4;

2. the Filer is a reporting issuer in the Jurisdictions;

3. the Filer is authorized to issue an unlimited number of common shares (the **"Shares"**);

4. no securities of the Filer, including debt securities, are listed or traded in Canada or another country on a marketplace as defined in National Instrument 21-101 *Marketplace Operation*;

5. on June 20, 2012, the Filer entered into an agreement with Gazit-Globe Ltd. (**"Gazit-Globe"**), being the sole shareholder of Gazit Maple Inc., and First Capital Realty Inc. (**"First Capital Realty"**) to complete a transaction by way of statutory plan of arrangement in accordance with the *Business Corporations Act* (Ontario) (the **"Arrangement"**);

6. the Filer's issued and outstanding share capital immediately prior to the effective time of the Arrangement was 23,345,088 Shares;

7. the Arrangement was completed on August 8, 2012;

8. pursuant to the Arrangement, Gazit-Globe indirectly acquired the 6,311,114 Shares not already beneficially owned by it, resulting in Gazit-Globe holding 100% of the 23,345,088 outstanding Shares, and First Capital Realty indirectly acquired the medical office and retail properties of the Filer and the related debt;

9. pursuant to the Arrangement, shareholders of the Filer are entitled to receive cash consideration of $3.31 and 0.2343 of a First Capital Realty common share for each Share previously held by them;

10. pursuant to the Arrangement, the Filer acquired all of the issued and outstanding warrants to purchase Shares of the Filer expiring November 30, 2015 (**"2010 Warrants"**) and all of the outstanding warrants to purchase Shares of the Filer expiring November 30, 2016 (**"2011 Warrants"**). The cash consideration payable to (i) holders of 2010 Warrants, each of which was exercisable for 1.065625 Shares, is $2.02 for each Share subject to a 2010 Warrant held; and (ii) holders of 2011 Warrants, each of which was exercisable for one Share, is $0.25 per Share subject to a 2011 Warrant held;

11. prior to the completion of the Arrangement, the Shares, the 2010 Warrants and the 2011 Warrants were listed for trading on the Toronto Stock Exchange under the symbols "GAA", "GAA.WT", and "GAA.WT.A", respectively;

12. the Shares, the 2010 Warrants and the 2011 Warrants were delisted from the Toronto Stock Exchange as of the close of business on August 13, 2012;

13. pursuant to the terms of the Arrangement, the Filer amalgamated with Gazit Maple Inc. and all of the Shares have been cancelled. The stated capital of the amalgamated entity is an amount equal to the common shares and preferred shares of Gazit Maple Inc. that were issued and outstanding immediately prior to the effective time of the Arrangement, which are all held directly by Gazit-Globe;

14. the Filer has no current intention to seek public financing by way of an offering of securities;

15. the Filer is applying for relief to cease to be a reporting issuer in all of the Jurisdictions;

16. the Filer did not voluntarily surrender its status as a reporting issuer in British Columbia pursuant to British Columbia Instrument 11-502 *Voluntary Surrender of Reporting Issuer Status* because it wanted to avoid the 10-day waiting period under that Instrument;

17. the Filer is not eligible to use the simplified procedure under CSA Notice 12-307 *Applications for a Decision that an Issuer is not a Reporting Issuer* because it is a reporting issuer in British Columbia;

18. the Filer is not in default of any requirement of the securities legislation in any of the Jurisdictions except for the obligation arising after Gazit-Globe came to be the Filer's sole shareholder pursuant to the Arrangement to file its interim financial statements and related management's discussion and analysis for the three-month period ended June 30, 2012, as required under National Instrument 51-102 *Continuous Disclosure Obligations* and the related certification of such financial statements as required under National Instrument 52-109 *Certification of Disclosure in Filers' Annual and Interim Filings*; and

19. the Filer, upon the granting of the Exemptive Relief Sought, will no longer be a reporting issuer or the equivalent in any jurisdiction in Canada.

Decision

Each of the Decision Makers is satisfied that the decision meets the test set out in the Legislation for the Decision Maker to make the decision.

The decision of the Decision Makers under the Legislation is that the Exemptive Relief Sought is granted.

"Edward P. Kernin"
Commissioner
Ontario Securities Commission

"Paulette Kennedy"
Commissioner
Ontario Securities Commission

2.1.9 Armada Exploration Corp. – s. 1(10)(a)(ii)

Headnote

National Policy 11-203 Process For Exemptive Relief Applications in Multiple Jurisdictions – Issuer deemed to no longer be a reporting issuer under securities legislation.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c.S.5, as am., s. 1(10)(a)(ii).

August 28, 2012

Sam Cole
Anfield Sujir Kennedy & Durno LLP
1600 - 609 Granville Street
P.O. Box - 10068 Pacific Centre
Vancouver, BC
V7Y 1C3

Dear Sirs/Mesdames:

Re: Armada Exploration Corp. (the Applicant) - application for a decision under the securities legislation of Ontario and Alberta (the Jurisdictions) that the Applicant is not a reporting issuer

The Applicant has applied to the local securities regulatory authority or regulator (the Decision Maker) in each of the Jurisdictions for a decision under the securities legislation (the Legislation) of the Jurisdictions that the Applicant is not a reporting issuer.

In this decision, "securityholder" means, for a security, the beneficial owner of the security.

The Applicant has represented to the Decision Makers that:

(a) the outstanding securities of the Applicant, including debt securities, are beneficially owned, directly or indirectly, by fewer than 15 securityholders in each of the jurisdictions of Canada and fewer than 51 securityholders in total worldwide;

(b) no securities of the Applicant, including debt securities, are traded in Canada or another country on a marketplace as defined in National Instrument 21-101 *Marketplace Operation* or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported;

(c) the Applicant is applying for a decision that it is not a reporting issuer in all of the jurisdictions of Canada in which it is currently a reporting issuer; and

(d) the Applicant is not in default of any of its obligations under the Legislation as a reporting issuer.

Each of the Decision Makers is satisfied that the test contained in the Legislation that provides the Decision Maker with the jurisdiction to make the decision has been met and orders that the Applicant is not a reporting issuer.

"Jo-Anne Matear"
Corporate Finance Branch
Ontario Securities Commission

2.2 Orders

2.2.1 Zungui Haixi Corporation, Yanda Cai and Fengyi Cai – ss. 127, 127.1

IN THE MATTER OF
THE SECURITIES ACT,
R.S.O. 1990, c. S.5, AS AMENDED

AND

IN THE MATTER OF
ZUNGUI HAIXI CORPORATION, YANDA CAI
AND FENGYI CAI

ORDER
(Sections 127 and 127.1 of the Act)

WHEREAS this proceeding was commenced by a Notice of Hearing issued by the Ontario Securities Commission (the "Commission") on November 7, 2011 in connection with a Statement of Allegations filed by Staff of the Commission on the same date;

AND WHEREAS following a hearing, the Commission issued its decision with respect to the merits, which was subsequently published for the purpose of providing a public record of the decision as the Oral Reasons and Decision, *Re Zungui Haixi Corporation* (2012), 35 O.S.C.B. 2615;

AND WHEREAS following a subsequent hearing, a decision on sanctions and costs was issued on August 28, 2012;

AND WHEREAS the Commission is of the opinion that it is in the public interest to make the following orders;

IT IS ORDERED that:

1. With respect to Zungui Haixi Corporation ("Zungui"), subject to the condition that, if Zungui becomes compliant with Ontario securities law, including the requirements relating to audit committees and continuous disclosure, Zungui or any other person or company affected by this order may bring an application to vary this order pursuant to section 144 of the Act:

   (a) Pursuant to paragraph 2 of subsection 127(1) of the Act, trading in any securities of Zungui shall permanently cease;

   (b) Pursuant to paragraph 2 of subsection 127(1) of the Act, Zungui shall permanently cease trading in securities;

   (c) Pursuant to paragraph 2.1 of subsection 127(1) of the Act, the acquisition of any securities by Zungui is permanently prohibited; and

   (d) Pursuant to paragraph 3 of subsection 127(1) of the Act, any exemptions contained in Ontario securities law permanently do not apply to Zungui.

2. With respect to Yanda Cai and Fengyi Cai (together, the "Individual Respondents"):

   (a) Pursuant to paragraph 2 of subsection 127(1) of the Act, the Individual Respondents shall permanently cease trading in securities;

   (b) Pursuant to paragraph 2.1 of subsection 127(1) of the Act, the acquisition of any securities by the Individual Respondents is permanently prohibited;

   (c) Pursuant to paragraph 3 of subsection 127(1) of the Act, any exemptions contained in Ontario securities law permanently do not apply to the Individual Respondents;

   (d) Pursuant to paragraph 7 of subsection 127(1) of the Act, the Individual Respondents shall resign all positions that they may hold as a director or officer of an issuer;

   (e) Pursuant to paragraphs 8, 8.2 and 8.4 of subsection 127(1) of the Act, the Individual Respondents are permanently prohibited from becoming or acting as a director or officer of any issuer, registrant or investment fund manager;

   (f) Pursuant to paragraph 8.5 of subsection 127(1) of the Act, the Individual Respondents are permanently prohibited from becoming or acting as a registrant, investment fund manager or promoter;

   (g) Pursuant to paragraph 6 of subsection 127(1) of the Act, the Individual Respondents are reprimanded; and

   (h) Pursuant to section 127.1 of the Act, the Individual Respondents shall pay, on a joint and several basis, $63,667.50 in costs to the Commission.

Dated at Toronto this 28th day of August, 2012.

"Christopher Portner"

2.2.2 MBS Group (Canada) Ltd., Balbir Ahluwalia and Mohinder Ahluwalia– s. 127

IN THE MATTER OF
THE SECURITIES ACT,
R.S.O. 1990, c. S.5, AS AMENDED

AND

IN THE MATTER OF MBS GROUP (CANADA) LTD., BALBIR AHLUWALIA AND MOHINDER AHLUWALIA

ORDER
(Section 127 of the Securities Act)

WHEREAS on June 30, 2011, the Ontario Securities Commission (the "Commission") issued a Notice of Hearing pursuant to sections 37, 127 and 127.1 of the *Securities Act,* R.S.O. 1990, c. S.5, as amended (the "Act") accompanied by a Statement of Allegations dated June 30, 2011 issued by Staff of the Commission ("Staff") with respect to MBS Group (Canada) Ltd. ("MBS Group"), Mohinder Ahluwalia ("Mohinder") and Balbir Ahluwalia ("Balbir"), (collectively, the "Respondents");

AND WHEREAS the Notice of Hearing stated that a hearing would be held at the offices of the Commission on July 21, 2011;

AND WHEREAS on July 21, 2011, Staff confirmed that the Commission had received the affidavit of Daniela DeChellis sworn July 19, 2011 which indicated that the Notice of Hearing and Statement of Allegations were served on the Respondents;

AND WHEREAS on July 21, 2011, Staff attended the hearing and no one appeared on behalf of the Respondents;

AND WHEREAS on July 21, 2011, Staff provided the Panel with emails from Balbir and Mohinder advising that they were unable to attend the hearing and requesting that the hearing be adjourned for a short period of time;

AND WHEREAS Staff advised the Commission that it was not opposed to a brief adjournment;

AND WHEREAS the Commission ordered that the hearing be adjourned to August 17, 2011 at 11:00 a.m.;

AND WHEREAS by Notice of Motion dated August 5, 2011, Staff brought a motion for a temporary order on notice to the Respondents;

AND WHEREAS on August 17, 2011, Staff, Balbir and Mohinder attended before the Commission and Balbir and Mohinder consented to the making of a temporary order;

AND WHEREAS the Commission made a temporary order pursuant to subsections 127(1) and 127(5) of the Act against the Respondents;

AND WHEREAS the Commission ordered that the temporary order take effect immediately and expire on September 2, 2011 unless extended by order of the Commission and that the hearing to consider an extension of the temporary order be scheduled for September 1, 2011 at 10:00 a.m.;

AND WHEREAS Staff and the Respondents attended before the Commission on September 1, 2011 and November 29, 2011 to consider the temporary order;

AND WHEREAS on November 29, 2011, the Commission ordered that a pre-hearing conference be scheduled for January 13, 2012 at 10:00 a.m. at the offices of the Commission;

AND WHEREAS on January 13, 2012, Staff and the Respondents attended before the Commission for a pre-hearing conference;

AND WHEREAS Staff requested that the matter be set down for a hearing on the merits;

AND WHEREAS the Commission ordered that the hearing on the merits commence on October 22, 2012 at 10:00 a.m. and continue on October 24, 25, 26, 29, 30 and November 1, 2, 3 and 5, 2012, or such further or other dates as may be agreed to by the parties and fixed by the Office of the Secretary;

AND WHEREAS the Commission further ordered that a status hearing be scheduled prior to the commencement of the hearing on the merits, on a date as may be agreed to by the parties and fixed by the Office of the Secretary;

AND WHEREAS a pre-hearing conference was held before the Commission on August 27, 2012 at the request of Staff and the Respondents and all parties were in attendance;

AND WHEREAS Staff and the Respondents requested that a further pre-hearing conference be scheduled;

IT IS HEREBY ORDERED that a further pre-hearing conference be held on September 17, 2012, at 10:00 a.m. at the offices of the Commission.

DATED at Toronto this 27th day of August, 2012.

"James E. A. Turner"

2.2.3 RX Gold & Silver Inc. – s. 1(6) of the OBCA

Headnote

Filer deemed to have ceased to be offering its securities to the public under the OBCA.

Applicable Legislative Provisions

Business Corporations Act, R.S.O. 1990, c. B. 16, as am., s. 1(6).

IN THE MATTER OF
THE BUSINESS CORPORATIONS ACT (ONTARIO)
R.S.O. 1990, c. B.16, AS AMENDED
(the OBCA)

AND

IN THE MATTER OF
RX GOLD & SILVER INC.
(the Applicant)

ORDER
(Subsection 1(6) of the OBCA)

UPON the application of the Applicant to the Ontario Securities Commission (the "**Commission**") for an order pursuant to subsection 1(6) of the OBCA to be deemed to have ceased to be offering its securities to the public;

AND UPON the Applicant representing to the Commission that:

(a) the Applicant is an "offering corporation" as defined in the OBCA and has an authorized capital of an unlimited number of common shares ("**Common Shares**");

(b) the head office of the Applicant is located at 145 King Street West, Suite 1220, Toronto, Ontario, M5H 1J8;

(c) on August 13, 2012, pursuant to a plan of arrangement under the OBCA, each of the Common Shares was exchanged for 0.109 of a common share of U.S. Silver & Gold Inc. ("**U.S. Silver & Gold**") and as a result, U.S. Silver & Gold became the sole holder of all of the issued and outstanding Common Shares;

(d) as of the date of this decision, all of the outstanding securities of the Applicant, including debt securities, which are beneficially owned, directly or indirectly, are held by U.S. Silver & Gold as sole securityholder;

(e) the Common Shares were delisted from the TSX Venture Exchange and the OTCQX International as of the close of business on August 14, 2012;

(f) no securities of the Applicant are traded on a marketplace as defined in National Instrument 21-101 – *Marketplace Operation*;

(g) the Applicant has no intention to seek public financing by way of an offering of securities;

(h) the Applicant is a reporting issuer or equivalent in the provinces of Ontario, Alberta and British Columbia (the "**Jurisdictions**") and is not in default of any of its obligations under the legislation in each of the Jurisdictions as a reporting issuer; and

(i) the Applicant has applied for relief to cease to be a reporting issuer in each of the Jurisdictions and upon the grant of the requested relief will not be a reporting issuer or equivalent in any jurisdiction of Canada.

AND UPON the Commission being satisfied to do so would not be prejudicial to the public interest;

IT IS HEREBY ORDERED by the Commission pursuant to subsection 1(6) of the OBCA that the Applicant be deemed to have ceased to be offering its securities to the public for the purpose of the OBCA.

DATED August 28, 2012

"Chirstopher Pertner"
Commissioner
Ontario Securities Commission

"Margot C. Howard"
Commissioner
Ontario Securities Commission

2.2.4 AQR Capital Management Inc. – s. 80 of the CFA

Headnote

Foreign adviser exempted from the adviser registration requirement in section 22(1)(b) of the *Commodity Futures Act* (Ontario) in order to act as:

(1) an adviser in respect of commodity futures contracts or commodity futures options for certain institutional investors in Ontario – Clients meet the definition of "permitted client" in NI 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* – Contracts and options are primarily traded on commodity futures exchanges outside of Canada and primarily cleared outside of Canada; and
(2) a sub-adviser in respect of commodity futures contracts and commodity futures options for principal advisers registered under the *Commodity Futures Act* (Ontario).

Terms and conditions on exemption correspond to the relevant terms and conditions on the comparable exemption from the adviser registration requirement available to:
(1) international advisers in respect of securities set out in section 8.26 of NI 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations*
(2) sub-advisers not ordinarily resident in Ontario in respect of securities set out in section 7.3 of OSC Rule 35-502 *Non-Resident Advisers*

Exemption also subject to a five-year "sunset clause" condition.

Applicable Legislative Provisions

Commodity Futures Act, R.S.O. 1990, c. C.20. as am., ss. 1(1), 22(1)(b) and 80.
Securities Act, R.S.O. 1990, c. S.5, as am.
National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations, ss. 1.1 and 8.26.
National Instrument 33-109 Registration Information, Form 33-109F5 and Form 33-109F6.
Ontario Securities Commission Rule 35-502 Non-Resident Advisers, s. 7.3.

IN THE MATTER OF
THE COMMODITY FUTURES ACT
R.S.O. 1990, CHAPTER C.20, AS AMENDED
(the CFA)

AND

IN THE MATTER OF
AQR CAPITAL MANAGEMENT, LLC

ORDER
(SECTION 80 OF THE CFA)

UPON the application (the **Application**) of AQR Capital Management, LLC (the **Applicant**) to the Ontario Securities Commission (the **Commission**) for an order, pursuant to section 80 of the CFA, that the Applicant and any individuals engaging in, or holding themselves out as engaging in, the business of advising others as to trading in Contracts (as defined below) on the Applicant's behalf (the **Representatives**) be exempt, for a period of five years, from the adviser registration requirement in paragraph 22(1)(b) of the CFA, subject to certain terms and conditions;

AND UPON considering the Application and the recommendation of staff of the Commission;

AND WHEREAS for the purposes of this order (the **Order**):

"CFA Adviser Registration Requirement" means the provisions in the CFA that prohibit a person or company from acting as an adviser unless the person or company is registered in the appropriate category of registration under the CFA;

"CFTC" means the United States Commodity Futures Trading Commission;

"Contract" has the meaning ascribed to that term in subsection 1(1) of the CFA;

"Foreign Contract" means a Contract that is primarily traded on one or more organized exchanges that are located outside of Canada and primarily cleared through one or more clearing corporations that are located outside of Canada;

"International Adviser Exemption" means the exemption from the OSA Adviser Registration Requirement set out in section 8.26 of NI 31-103;

"NFA" means the United States National Futures Association;

"NI 31-103" means National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations*;

"OSA" means the *Securities Act* (Ontario);

"OSA Adviser Registration Requirement" means the provisions in the OSA that prohibit a person or company from engaging in the business of, or holding himself, herself or itself out as engaging in the business of, advising anyone with respect to investing in, buying or selling securities in Ontario unless the person or company is registered in the appropriate category of registration under the OSA;

"Permitted Client" means a client in Ontario that is a "permitted client", as that term is defined in section 1.1 of NI 31-103, except that for purposes of the Order such definition shall exclude a person or company registered under the securities or commodities legislation of a jurisdiction of Canada as an adviser or dealer;

"specified affiliate" has the meaning ascribed to that term in Form 33-109F6 to National Instrument 33-109 *Registration Information*;

"Sub-Adviser Exemption" means the exemption from the OSA Adviser Registration Requirement set out in section 7.3 of OSC Rule 35-502 *Non-Resident Advisers*;

"SEC" means the United States Securities and Exchange Commission; and

"U.S. Advisers Act" means the United States *Investment Advisers Act of 1940*.

AND UPON the Applicant having represented to the Commission that:

1. The Applicant is a limited liability company organized under the laws of the State of Delaware with its principal place of business located at Two Greenwich Plaza, 3rd Floor, Greenwich, CT, United States of America 06830.

2. The Applicant is a specialized portfolio manager that manages investments for investment companies and institutional investors across multiple strategies and financial instruments. As at June 30, 2012, the Applicant had over US$54.6 billion in assets under management.

3. The Applicant is currently registered with the SEC as an investment adviser under the U.S. Advisers Act, registered with the CFTC as a commodity pool operator and commodity trading advisor and an approved member of the NFA.

4. The Applicant and the Representatives are appropriately registered or licensed, or are entitled to rely on appropriate exemptions from such registrations or licenses, to provide advice for the Sub-Advisory Clients (defined below) pursuant to the applicable legislation of the Applicant's principal jurisdiction.

5. The Applicant is not resident in any province or territory of Canada.

6. The Applicant is not registered in any capacity under the CFA. The Applicant is registered as an exempt market dealer in each of Ontario, Québec and Saskatchewan, but is not registered as an adviser in any jurisdiction of Canada.

7. The Applicant seeks to act as a discretionary investment manager on behalf of prospective institutional investors in Ontario that are Permitted Clients who seek to engage the Applicant as a discretionary investment manager for purposes of implementing certain specialized investment strategies (the **Proposed Advisory Services**).

8. Persons or companies that are registered under the CFA as an adviser in the category of commodity trading manager or commodity trading counsel or both (**Principal Advisers**) seek to retain the Applicant to act as a sub-adviser for purposes of implementing certain specialized investment strategies, including strategies employing Foreign Contracts, in respect of the Principal Adviser's clients (**Sub-Advisory Clients**) on whose behalf investment advice is, or portfolio management services are, to be provided (the **Proposed Sub-Advisory Services**). The terms of the written agreement between the Principal Adviser and its Sub-Advisory Clients will grant the Principal Adviser the authority to appoint the Applicant as a sub-adviser.

9. The Proposed Sub-Advisory Services may include providing discretionary portfolio management services in Ontario to: (i) investment funds, the securities of which will be qualified by prospectus for distribution to the public in Ontario and the other provinces and territories of Canada (the **Investment Funds**); (ii) investment funds, the securities of which will be sold on a private placement basis in Ontario and certain other provinces and territories of Canada pursuant to prospectus exemptions contained in National Instrument 45-106 *Prospectus and Registration Exemptions* (the **Pooled Funds**); and (iii) managed accounts of clients who have entered into investment management agreements with the

Principal Adviser (the **Managed Accounts**). The discretionary portfolio management services provided by the Principal Adviser to its Sub-Advisory Clients will include acting as an adviser with respect to both securities and Contracts where such investments are part of the investment program of such Sub-Advisory Clients.

10. The Proposed Advisory Services and the Proposed Sub-Advisory Services may include the use of specialized investment strategies employing Foreign Contracts (the **Foreign Contracts Advice**), and the Applicant would not advise in Ontario on Contracts that are not Foreign Contracts, unless providing such advice is incidental to its providing advice on Foreign Contracts.

11. In connection with the Proposed Sub-Advisory Services, the Applicant will exercise discretionary authority on behalf of the Principal Adviser in respect of all or a portion of the assets of the investment portfolios of the Sub-Advisory Clients. The Applicant will ensure that its exercise of discretionary authority is consistent with the investment objectives and strategies of each Sub-Advisory Client.

12. In connection with the Proposed Sub-Advisory Services,

    (a) the relationship among the Principal Adviser, the Applicant and any Sub-Advisory Client shall satisfy the applicable requirements of the Sub-Adviser Exemption, namely that:

        (i) the obligations and duties of the Applicant are set out in a written agreement with the Principal Adviser;

        (ii) the Principal Adviser contractually agrees with its Sub-Advisory Clients on whose behalf investment advice is, or portfolio management services are, to be provided in respect of Foreign Contracts to be responsible for any loss that arises out of the failure of the Applicant:

            (A) to exercise the powers and discharge the duties of its office honestly, in good faith and in the best interest of the Principal Adviser and each Sub-Advisory Client for whose benefit the advice is or portfolio management services are to be provided, or

            (B) to exercise the degree of care, diligence and skill that a reasonably prudent person would exercise in the circumstances (this obligation, together with the obligation in subparagraph (A), the **Assumed Obligations**); and

        (iii) the Principal Adviser cannot be relieved by its Sub-Advisory Clients from its responsibility for any loss that arises out of the failure of the Applicant to meet the Assumed Obligations;

    (b) the Applicant and its Representatives shall only provide the Proposed Sub-Advisory Services as long as the Principal Adviser is, and remains, registered under the CFA as an adviser in the category of commodity trading manager or commodity trading counsel or both; and

    (c) the Applicant shall ensure that each Principal Adviser delivers to the Sub-Advisory Clients all applicable reports and statements required under applicable securities, commodity futures and derivatives legislation.

13. There is currently no exemption from the CFA Adviser Registration Requirement that is equivalent to either the International Adviser Exemption or the Sub-Adviser Exemption. Consequently, in the absence of the Order, the Applicant would be required to satisfy the CFA Adviser Registration Requirement in order to carry out either the Proposed Advisory Services or the Proposed Sub-Advisory Services.

14. The Applicant submits that it would not be prejudicial to the public interest for the Commission to make the Order because:

    (a) the Applicant will only advise Permitted Clients as to trading in Foreign Contracts and will not advise any Permitted Client as to trading in Contracts that are not Foreign Contracts, unless providing such advice is incidental to the Applicant providing advice on Foreign Contracts;

    (b) the Applicant will only act as a sub-adviser in respect of trading in Foreign Contracts and will not act as a sub-adviser for Principal Advisers in respect of trading in Contracts that are not Foreign Contracts, unless providing such advice is incidental to the Applicant providing advice on Foreign Contracts;

(c) Permitted Clients and Principal Advisers seek to access certain specialized portfolio management services provided by the Applicant, including advice as to trading in Foreign Contracts;

(d) the Applicant would provide advice to Permitted Clients as to trading in Foreign Contracts on terms and conditions that are analogous to the prescribed terms and conditions of the International Adviser Exemption; and

(e) the Applicant would act as a sub-adviser to Principal Advisers in respect of trading in Foreign Contracts on terms and conditions that are analogous to the prescribed terms and conditions of the Sub-Adviser Exemption.

AND UPON being satisfied that it would not be prejudicial to the public interest for the Commission to make the Order;

IT IS ORDERED, pursuant to Section 80 of the CFA, that the Applicant and its Representatives are exempt from the CFA Adviser Registration Requirement in respect of providing advice to Permitted Clients as to, and acting as a sub-adviser to Principal Advisers in respect of, trading in Foreign Contracts provided that:

(a) the Applicant provides advice to Permitted Clients only as to trading in Foreign Contracts and does not advise any Permitted Client as to trading in Contracts that are not Foreign Contracts, unless providing such advice is incidental to its providing advice on Foreign Contracts;

(b) the Applicant's head office or principal place of business remains in the United States;

(c) the Applicant remains: (i) registered with the SEC as an investment adviser under the U.S. Advisers Act, (ii) registered with the CFTC as a commodity pool operator and commodity trading advisor, and (iii) an approved member of the NFA on a basis which permits it to carry on the activities in the United States that registration under the CFA as an adviser in the category of commodity trading manager or commodity trading counsel or both would permit it to carry on in Ontario;

(d) the Applicant continues to engage in the United States in the business of an adviser, as defined in the CFA;

(e) in respect of providing advice to a Permitted Client as to trading in Foreign Contracts:

(i) as at the end of the Applicant's most recently completed financial year, not more than 10% of the aggregate consolidated gross revenue of the Applicant, its affiliates and its affiliated partnerships (excluding the gross revenue of an affiliate or affiliated partnership of the Applicant that is registered under securities legislation, commodities legislation or derivatives legislation in a jurisdiction of Canada) is derived from the portfolio management activities of the Applicant, its affiliates and its affiliated partnerships in Canada (which, for greater certainty, includes both securities-related and commodity futures-related activities);

(ii) before advising a Permitted Client as to trading in Foreign Contracts, the Applicant notifies each Permitted Client of all of the following:

(A) the Applicant is not registered in Ontario to provide the advice described under paragraph (a) of this Order;

(B) the foreign jurisdiction in which the Applicant's head office or principal place of business is located;

(C) all or substantially all of the Applicant's assets may be situated outside of Canada;

(D) there may be difficulty enforcing legal rights against the Applicant because of the above; and

(E) the name and address of the Applicant's agent for service of process in Ontario;

(iii) the Applicant shall, if it ceases to be a registrant in Ontario, submit to the Commission a completed *Submission to Jurisdiction and Appointment of Agent for Service* in the form attached as Appendix "A";

(iv) the Applicant shall, if it ceases to be a registrant in Ontario, submit to the Commission a copy of any Form 33-109F5 *Change of Information* that relates to changes of information in Part 7 *Regulatory Action* of the Form 33-109F6 *Firm Registration* that is required to be submitted in another jurisdiction of Canada;

(v) the Applicant shall, if it ceases to be a registrant in every jurisdiction of Canada, submit to the Commission a completed *Notice of Regulatory Action* in the form attached as Appendix "B" (the **Regulatory Action Form**);

(vi) the Applicant shall, if it is required to submit to the Commission a Regulatory Action Form, notify the Commission of any regulatory action initiated after the date that the most recent Regulatory Action Form is filed in respect of the Applicant, or any predecessors or specified affiliates of the Applicant, by completing and filing Appendix "B" within 10 days of the commencement of such action; and

(vii) by December 1 of each year, the Applicant notifies the Commission of its continued reliance on the exemption from registration granted pursuant to the Order;

(f) in respect of acting as a sub-adviser to a Principal Adviser as to trading in Foreign Contracts:

(i) the Principal Adviser is registered under the CFA as an adviser in the category of commodity trading manager or commodity trading counsel or both;

(ii) the Applicant and the Representatives are appropriately registered or licensed to provide advice to the Sub-Advisory Clients pursuant to the applicable legislation of their principal jurisdiction, or are entitled to rely on appropriate exemptions from such registrations or licenses;

(iii) the obligations and duties of the Applicant are set out in a written agreement with the Principal Adviser;

(iv) the Applicant shall not act as a sub-adviser to a Principal Adviser unless the Principal Adviser has contractually agreed with the Sub-Advisory Clients to be responsible for any loss that arises out of any failure of the Applicant to meet the Assumed Obligations;

(v) the Applicant shall not act as a sub-adviser to a Principal Adviser unless the Principal Adviser cannot be relieved by any of its Sub-Advisory Clients from its responsibility for any loss that arises out of any failure of the Applicant to meet the Assumed Obligations;

(vi) where a Sub-Advisory Client prepares a prospectus or similar offering document for delivery to prospective purchasers, any such document shall include the following disclosure:

(A) a statement that the Principal Adviser is responsible for any loss that arises out of the failure of the Applicant to meet the Assumed Obligations; and

(B) a statement that there may be difficulty in enforcing any legal rights against the Applicant (or any of its Representatives acting on its behalf in respect of the Proposed Sub-Advisory Services) because the Applicant is resident outside of Canada and all or substantially all of its assets are situated outside of Canada; and

(vii) where a Sub-Advisory Client does not prepare a prospectus or similar offering document for delivery to prospective purchasers, all investors of the Sub-Advisory Client who are Ontario residents shall receive, prior to the purchase of any Foreign Contracts, written disclosure that includes:

(A) a statement that the Principal Adviser is responsible for any loss that arises out of the failure of the Applicant to meet the Assumed Obligations; and

(B) a statement that there may be difficulty in enforcing any legal rights against the Applicant (or any of its Representatives acting in respect of the Proposed Sub-Advisory Services) because the Applicant is resident outside of Canada and all or substantially all of its assets are situated outside of Canada.

(viii) where a Sub-Advisory Client enters into an investment management agreement for the Proposed Sub-Advisory Services in respect of Foreign Contracts, all applicable Sub-Advisory Clients or investors of the Sub-Advisory Clients who are Ontario residents shall receive, prior to the purchase of any Foreign Contracts, written disclosure that includes:

(A) a statement that the Principal Adviser is responsible for any loss that arises out of the failure of the Applicant to meet the Assumed Obligations; and

(B) a statement that there may be difficulty in enforcing any legal rights against the Applicant (or any of its Representatives acting in respect of the Proposed Sub-Advisory Services) because the Applicant is resident outside of Canada and all or substantially all of its assets are situated outside of Canada.

(g) this Order shall expire five years after the date hereof.

August 28, 2012

"Christopher Portner"

Commissioner

Ontario Securities Commission

APPENDIX A
SUBMISSION TO JURISDICTION AND APPOINTMENT OF AGENT FOR SERVICE
INTERNATIONAL DEALER OR INTERNATIONAL ADVISER EXEMPTED FROM REGISTRATION UNDER THE *COMMODITY FUTURES ACT, ONTARIO*

1. Name of person or company ("International Firm"):
2. If the International Firm was previously assigned an NRD number as a registered firm or an unregistered exempt international firm, provide the NRD number of the firm:
3. Jurisdiction of incorporation of the International Firm:
4. Head office address of the International Firm:

5. The name, e-mail address, phone number and fax number of the International Firm's individual(s) responsible for the supervisory procedure of the International Firm, its chief compliance officer, or equivalent.
   Name:
   E-mail address:
   Phone:
   Fax:
6. The International Firm is relying on an exemption order under section 38 or section 80 of the *Commodity Futures Act* (Ontario) that is similar to the following exemption in National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* (the "Relief Order"):

   ☐ Section 8.18 [*international dealer*]
   ☐ Section 8.26 [*international adviser*]
   ☐ Other [specify]:

7. Name of agent for service of process (the "Agent for Service"):

8. Address for service of process on the Agent for Service:

9. The International Firm designates and appoints the Agent for Service at the address stated above as its agent upon whom may be served a notice, pleading, subpoena, summons or other process in any action, investigation or administrative, criminal, quasi-criminal or other proceeding (a "Proceeding") arising out of or relating to or concerning the International Firm's activities in the local jurisdiction and irrevocably waives any right to raise as a defence in any such proceeding any alleged lack of jurisdiction to bring such Proceeding.
10. The International Firm irrevocably and unconditionally submits to the non-exclusive jurisdiction of the judicial, quasi-judicial and administrative tribunals of the local jurisdiction in any Proceeding arising out of or related to or concerning the International Firm's activities in the local jurisdiction.
11. Until 6 years after the International Firm ceases to rely on the Relief Order, the International Firm must submit to the regulator
    a. a new Submission to Jurisdiction and Appointment of Agent for Service in this form no later than the 30th day before the date this Submission to Jurisdiction and Appointment of Agent for Service is terminated; and
    b. an amended Submission to Jurisdiction and Appointment of Agent for Service no later than the 30th day before any change in the name or above address of the Agent for Service.
12. This Submission to Jurisdiction and Appointment of Agent for Service is governed by and construed in accordance with the laws of the local jurisdiction.

Dated: _____

_____
(Signature of the International Firm or authorized signatory)

_____
(Name of signatory)

_____
(Title of signatory)

Acceptance
The undersigned accepts the appointment as Agent for Service of _____ [*Insert name of International Firm*] under the terms and conditions of the foregoing Submission to Jurisdiction and Appointment of Agent for Service.

Dated: _____

_____
(Signature of the Agent for Service or authorized signatory)

_____
(Name of signatory)

_____
(Title of signatory)

This form is to be submitted to the following address:
Ontario Securities Commission
Suite 1903, Box 55
20 Queen Street West
Toronto, ON M5H 3S8
Attention: Senior Registration Supervisor, Portfolio Manager Team
Telephone: (416) 593-8164
email: amcbain@osc.gov.on.ca

APPENDIX B
NOTICE OF REGULATORY ACTION

1. Has the firm, or any predecessors or specified affiliates[1] of the firm entered into a settlement agreement with any financial services regulator, securities or derivatives exchange, SRO or similar agreement with any financial services regulator, securities or derivatives exchange, SRO or similar organization?

Yes _____ No _____

If yes, provide the following information for each settlement agreement:

Name of entity
Regulator/organization
Date of settlement (yyyy/mm/dd)
Details of settlement
Jurisdiction

2. Has any financial services regulator ,securities or derivatives exchange, SRO or similar organization:

	Yes	No
(a) Determined that the firm, or any predecessors or specified affiliates of the firm violated any securities regulations or any rules of a securities or derivatives exchange, SRO or similar organization?		
(b) Determined that the firm, or any predecessors or specified affiliates of the firm made a false statement or omission?		
(c) Issued a warning or requested an undertaking by the firm, or any predecessors or specified affiliates of the firm?		
(d) Suspended or terminated any registration, licensing or membership of the firm, or any predecessors or specified affiliates of the firm?		
(e) Imposed terms or conditions on any registration or membership of the firm, or predecessors or specified affiliates of the firm?		
(f) Conducted a proceeding or investigation involving the firm, or any predecessors or specified affiliates of the firm?		
(g) Issued an order (other than en exemption order) or a sanction to the firm, or any predecessors or specified affiliates of the firm for securities or derivatives-related activity (e.g. cease trade order)?		

[1] In this Appendix, the term “specified affiliate” has the meaning ascribed to that term in Form 33-109F6 to National Instrument 33-109 – *Registration Information.*

If yes, provide the following information for each action:

Name of Entity	
Type of Action	
Regulator/organization	
Date of action (yyyy/mm/dd)	Reason for action
Jurisdiction	

3. Is the firm aware of any ongoing investigation of which the firm or any of its specified affiliate is the subject?

Yes _____ No _____

If yes, provide the following information for each investigation:

Name of entity
Reason or purpose of investigation
Regulator/organization
Date investigation commenced (yyyy/mm/dd)
Jurisdiction

Name of firm
Name of firm's authorized signing officer or partner
Title of firm's authorized signing officer or partner
Signature
Date (yyyy/mm/dd)

Witness

The witness must be a lawyer, notary public or commissioner of oaths.

Name of witness
Title of witness
Signature
Date (yyyy/mm/dd)

This form is to be submitted to the following address:
Ontario Securities Commission
Suite 1903, Box 55
20 Queen Street West
Toronto, ON M5H 3S8
Attention: Senior Registration Supervisor, Portfolio Manager Team
Telephone: (416) 593-8164
email: amcbain@osc.gov.on.ca

2.2.5 David Charles Phillips and John Russell Wilson – ss. 127, 127.1

IN THE MATTER OF
THE SECURITIES ACT
R.S.O. 1990, c. S.5, AS AMENDED

AND

IN THE MATTER OF
DAVID CHARLES PHILLIPS AND JOHN RUSSELL WILSON

ORDER
Sections 127 and 127.1

WHEREAS on June 4, 2012, the Ontario Securities Commission (the "Commission") issued a Notice of Hearing and Staff's Statement of Allegations against David Charles Phillips ("Phillips") and John Russell Wilson ("Wilson");

AND WHEREAS pursuant to the Notice of Hearing an attendance in this matter was held on June 25, 2012 at which time the Commission adjourned the matter to Tuesday, August 28, 2012 at 2:30 p.m.;

AND WHEREAS on August 28, 2012, the Commission heard submissions from Staff of the Commission and from counsel for Phillip and counsel for Wilson;

AND WHEREAS the Commission is of the opinion that it is in the public interest to make this order;

IT IS HEREBY ORDERED:

1. that the hearing on the merits shall commence on February 11, 2013 and will continue if necessary until March 6, 2013 except for February 12, 18 and 26, 2013.

DATED at Toronto this 28th day of August, 2012.

"James D. Carnwath"

2.2.6 LCH.Clearnet Limited – s. 144

Headnote

Application under section 144 of the Securities Act (Ontario) (OSA) to vary the interim order of LCH.Clearnet Limited (LCH) to extend its restated interim exemption, which exempts LCH under section 147 of the OSA on an interim basis from recognition as a clearing agency under subsection 21.2(0.1) of the OSA.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., ss. 21.2(0.1), 147 and 144

IN THE MATTER OF
THE SECURITIES ACT
R.S.O. 1990, c. S.5, AS AMENDED
(the Act)

AND

IN THE MATTER OF
THE LCH.CLEARNET LIMITED
(LCH)

VARIATION TO THE RESTATED INTERIM ORDER
(Section 144 of the Act)

WHEREAS the Ontario Securities Commission (**Commission**) issued an interim order dated March 1, 2011 pursuant to section 147 of the Act exempting LCH from the requirement to be recognized as a clearing agency under section 21.2(0.1) of the Act (**Initial Order**);

AND WHEREAS the Commission issued an order dated May 17, 2011 varying and restating the Initial Order to clarify that LCH may provide additional clearing services, including LCH Enclear OTC service to Ontario-resident clients (**Interim Order**);

AND WHEREAS the Commission issued an order dated August 19, 2011 varying and restating the Interim Order to extend the expiry of the Interim Order (**Restated Interim Order**);

AND WHEREAS the Restated Interim Order will terminate on the earlier of (i) September 1, 2012 and (ii) the effective date of a subsequent order with respect to the requirement to be recognized as a clearing agency under section 21.2(0.1) of the Act (**Subsequent Order**);

AND WHEREAS LCH has filed an application received on August 21, 2012 with the Commission pursuant to section 144 of the Act to vary the Restated Interim Order to allow Commission staff to complete their review of LCH and for the Commission to issue the Subsequent Order;

AND WHEREAS the Commission has determined that it is not prejudicial to the public interest to vary the Restated Interim Order to extend LCH's interim exemption from the requirement to be recognized as a clearing agency under section 21.2(0.1) of the Act;

IT IS ORDERED, pursuant to section 144 of the Act, that the Restated Interim Order be varied by replacing the reference to "September 1, 2012" with a reference to "March 1, 2013."

DATED August 28, 2012.

"Christopher Portner"

"Margot C. Howard"

2.2.7 CME – s. 144

Headnote

Application under section 144 of the Securities Act (Ontario) (OSA) to vary the interim order of Chicago Mercantile Exchange Inc. (CME) to extend the deadline for CME to file a full application with respect to the requirement for recognition as a clearing agency under subsection 21.2(0.1) of the OSA.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., ss. 21.2(0.1) and 144

IN THE MATTER OF
THE SECURITIES ACT,
R.S.O. 1990, c. S. 5, AS AMENDED
(the Act)

AND

IN THE MATTER OF
CHICAGO MERCANTILE EXCHANGE INC.
(CME)

VARIATION TO THE INTERIM ORDER
(Section 144 of the Act)

WHEREAS the Ontario Securities Commission (**Commission**) issued an interim order dated June 8, 2012 pursuant to section 147 of the Act exempting CME from the requirement to be recognized as a clearing agency under section 21.2(0.1) of the Act (**Interim Order**);

AND WHEREAS the Interim Order was granted provided that CME shall file with the Commission no later than August 31, 2012, a full application for a subsequent order recognizing CME as a clearing agency under subsection 21.2(0.1) of the Act or exempting CME from the requirement to be recognized as a clearing agency under section 147 of the Act (**Subsequent Order**), and that if CME does not file a full application for the Subsequent Order by the August 31, 2012 deadline, the Commission may terminate the Interim Order with further notice to CME;

AND WHEREAS CME has filed an application (**Application**) received on August 29, 2012 with the Commission to vary the Interim Order pursuant to section 144 of the Act to extend the August 31, 2012 deadline to file a full application for the Subsequent Order until September 10, 2012;

AND WHEREAS the Commission has received certain representations from CME in connection with the Application;

AND WHEREAS the Commission has determined that it is not prejudicial to the public interest to vary the Interim Order to extend CME's interim exemption from the requirement to be recognized as a clearing agency pursuant to section 21.2(0.1) of the Act;

IT IS ORDERED, pursuant to section 144 of the Act, that the Interim Order be varied by replacing the reference to "August 31, 2012" in section 7 with a reference to "September 10, 2012."

DATED at Toronto this 31 day of August, 2012**.**

"Paulette L. Kennedy"

"Margot C. Howard"

Chapter 3

Reasons: Decisions, Orders and Rulings

3.1 OSC Decisions, Orders and Rulings

3.1.1 Zungui Haixi Corporation, Yanda Cai and Fengyi Cai

IN THE MATTER OF
THE SECURITIES ACT,
R.S.O. 1990, c. S.5, AS AMENDED

AND

IN THE MATTER OF
ZUNGUI HAIXI CORPORATION, YANDA CAI AND FENGYI CAI

REASONS AND DECISION
ON SANCTIONS AND COSTS
(Sections 127 and 127.1 of the Act)

Hearing	April 18, 2012	
Decision:	August 28, 2012	
Panel:	Christopher Portner	- Commissioner
Appearances:	Johanna Superina Carlo Ross	- For Staff of the Commission
	No one appeared on behalf of the Respondents.	

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REASONS AND DECISION ON SANCTIONS AND COSTS

I. BACKGROUND

A. Introduction

[1] This was a hearing (the "**Sanctions and Costs Hearing**") before the Ontario Securities Commission (the "**Commission**") pursuant to sections 127 and 127.1 of the *Securities Act,* R.S.O. 1990, c. S.5, as amended (the "**Act**") to

consider whether it is in the public interest to make an order with respect to sanctions and costs against Zungui Haixi Corporation ("**Zungui**"), Yanda Cai and Fengyi Cai (collectively, the "**Respondents**").

[2] Prior to the commencement of the hearing to consider the merits of the allegations against the Respondents (the "**Merits Hearing**"), and in response to a motion brought by Staff of the Commission ("**Staff**"), the Commission ordered that all or substantially all of the Merits Hearing be conducted in writing. Following Staff's filing of written submissions and evidence, the oral portion of the Merits Hearing was held on February 2, 2012. Although provided with notice, none of the Respondents participated in the Merits Hearing.

[3] At the conclusion of the Merits Hearing, the Commission issued its decision with respect to the allegations, which was subsequently published for the purpose of providing a public record of the decision as the Oral Reasons and Decision, *Re Zungui Haixi Corporation* (2012), 35 O.S.C.B. 2615 (the "**Merits Decision**").

[4] Staff appeared and made both oral and written submissions at the Sanctions and Costs Hearing which was held on April 18, 2012. The Panel reviewed the Affidavit of Maria Montalto sworn April 16, 2012, and found that the Respondents were provided with notice of the Sanctions and Costs Hearing and Staff's written submissions on sanctions and costs. However, none of the Respondents attended the Sanctions and Costs Hearing and no materials were filed on behalf of the Respondents. In accordance with subsection 7(1) of the *Statutory Powers Procedure Act,* R.S.O. 1990, c. S.22, as amended, the Panel was entitled to proceed with the Sanctions and Costs Hearing in the absence of the Respondents.

B. The Merits Decision

[5] In the Merits Decision, the Commission found, with respect to Staff's allegations against the Respondents, that:

(a) Zungui had failed to maintain an audit committee since at least September 22, 2011, contrary to section 2.1 of National Instrument 52-110 – *Audit Committees* and contrary to the public interest;

(b) Zungui had failed to file audited annual financial statements on or before the 120th day after the end of its most recently completed financial year, contrary to paragraph 4.2(b)(i) of National Instrument 51-102 – *Continuous Disclosure Obligations* and contrary to the public interest;

(c) Yanda Cai and Fengyi Cai (together, the "Individual Respondents") had authorized, permitted or acquiesced in the commission of the violations by Zungui, as set out in paragraphs (a) and (b) above, contrary to section 129.2 of the Act and contrary to the public interest;

(d) Yanda Cai had engaged in conduct contrary to the public interest by imposing limitations on the scope of the audit procedures of Zungui's auditor, Ernst & Young LLP ("E&Y"), during E&Y's audit of Zungui's financial statements for the year ended June 30, 2011;

(e) The Individual Respondents had engaged in conduct contrary to the public interest by (i) failing to cooperate with Zungui's audit committee and the special committee appointed by the board of directors in connection with E&Y's stated concerns; and (ii) obstructing an independent investigation of such concerns by the special committee and by KPMG Forensic, which had been retained by the special committee to assist in such investigation, notwithstanding their original assurance that they would do so; and (iii) failing to respond to Staff inquiries and to produce documents relevant to the business of Zungui that had been requested by Staff on numerous occasions; and

(f) Zungui had engaged in conduct contrary to the public interest by failing to produce documents required by Staff.

(Merits Decision at para. 3)

[6] In making its findings, the Commission noted:

> … the continuous and continuing failure by Zungui to respond in any manner to communications from Staff and the circumstances that preceded those communications involving the interaction of Zungui management and the principal securityholder, Fengyi Cai, with the audit process undertaken by Zungui's now former auditor, Ernst & Young LLP ...
>
> (Merits Decision at para. 2)

II. ANALYSIS WITH RESPECT TO SANCTIONS

A. Sanctions Requested by Staff

[7] Staff submits that the Respondents' conduct warrants significant sanctions commensurate with the Respondents' harmful conduct.

[8] Specifically, Staff requests that the Commission make an order against Zungui that:

(a) Pursuant to paragraph 2 of subsection 127(1) of the Act, trading in any securities of Zungui permanently cease;

(b) Pursuant to paragraph 2 of subsection 127(1) of the Act, Zungui permanently cease trading in securities;

(c) Pursuant to paragraph 2.1 of subsection 127(1) of the Act, the acquisition of any securities by Zungui is permanently prohibited; and

(d) Pursuant to paragraph 3 of subsection 127(1) of the Act, any exemptions contained in Ontario securities law permanently do not apply to Zungui.

[9] With respect to the Individual Respondents, Staff requests that the Commission issue an order that:

(a) Pursuant to paragraph 2 of subsection 127(1) of the Act, the Individual Respondents permanently cease trading in securities;

(b) Pursuant to paragraph 2.1 of subsection 127(1) of the Act, the acquisition of any securities by the Individual Respondents is permanently prohibited;

(c) Pursuant to paragraph 3 of subsection 127(1) of the Act, any exemptions contained in Ontario securities law permanently do not apply to the Individual Respondents;

(d) Pursuant to paragraph 6 of subsection 127(1) of the Act, the Individual Respondents be reprimanded;

(e) Pursuant to paragraph 7 of subsection 127(1) of the Act, the Individual Respondents resign all positions that they may hold as a director or officer of an issuer;

(f) Pursuant to paragraphs 8, 8.2 and 8.4 of subsection 127(1) of the Act, the Individual Respondents be permanently prohibited from becoming or acting as a director or officer of any issuer, registrant and investment fund manager; and

(g) Pursuant to paragraph 8.5 of subsection 127(1) of the Act, the Individual Respondents be permanently prohibited from becoming or acting as a registrant, as an investment fund manager and as a promoter.

[10] Staff submits that Zungui has failed to comply with its fundamental financial obligations. Its disclosure record is incomplete and investors are unable to access reliable information or make informed decisions with respect to Zungui securities, conduct that Staff submits requires a strong message from the Commission and that should result in significant sanctions.

[11] Staff submits that an order permanently removing the Respondents from the capital markets is proportionate to the Respondents' misconduct and will send a message of deterrence to other market participants that serious misconduct will result in serious sanctions. Staff further submits that the Respondents' conduct demonstrates that they failed in their core obligations under Ontario securities law and that the Individual Respondents as officers and directors of a reporting issuer showed complete disregard for Zungui's investors.

[12] Staff submits that the conduct of the Individual Respondents poses a serious and ongoing risk to investors and the integrity of the capital markets and requests an order permanently restricting their participation in Ontario's capital markets.

[13] Staff refers to the list of factors a panel may consider when determining sanctions set out in the frequently cited case of *Re Belteco Holdings Inc.* (1998), 21 O.S.C.B. 7743 ("***Belteco***"), and submits that the relevant factors in this case are, in particular: (i) the seriousness of the allegations proved; (ii) the respondents' experience in the marketplace; (iii) whether or not there has been recognition of the seriousness of the impropriety; and (iv) whether or not the sanctions may deter not only these individuals but also like-minded market participants from engaging in similar conduct that may be abusive to the capital markets.

[14] Staff submits that additional sanctioning factors set out in *Re M.C.J.C. Holdings Inc.* (2002), 25 O.S.C.B. 1133 ("***M.C.J.C. Holdings***"), such as the effect sanctions might have on the livelihood of the Respondents, reputation and prestige and the remorse of the Respondents, are not necessarily applicable in this case, given that the Respondents have not appeared before the Commission to make submissions in this respect.

B. Overview of the Law on Sanctions

[15] In imposing sanctions against a respondent, the Commission must act in accordance with its dual mandate of (i) investor protection; and (ii) fostering fair and efficient capital markets and confidence in capital markets. The Commission's role in making a sanctions order pursuant to section 127 of the Act is protective and preventative. As stated in *Re Mithras Management Ltd.*:

> … the role of this Commission is to protect the public interest by removing from the capital markets – wholly or partially, permanently or temporarily, as the circumstances may warrant – those whose conduct in the past leads us to conclude that their conduct in the future may well be detrimental to the integrity of those capital markets. We are not here to punish past conduct; that is the role of the courts, particularly under section 118 of the Act. We are here to restrain, as best we can, future conduct that is likely to be prejudicial to the public interest in having capital markets that are both fair and efficient. In so doing we must, of necessity, look to past conduct as a guide to what we believe a person's future conduct might reasonably be expected to be; we are not prescient, after all. And in so doing, we may well conclude that a person's past conduct has been so abusive of the capital markets as to warrant our apprehension and intervention, even if no particular breach of the Act has been made out.
>
> (*Re Mithras Management Ltd.* (1990), 13 O.S.C.B. 1600 at 1610-1611)

[16] Commission case law consistently refers to the following list of non-exhaustive factors which guide the Commission when determining appropriate sanctions:

(a) The seriousness of the allegations;

(b) The respondent's experience in the marketplace;

(c) The level of a respondent's activity in the marketplace;

(d) Whether or not there has been a recognition of the seriousness of the improprieties;

(e) The need to deter a respondent, and other like-minded individuals, from engaging in similar abuses of the capital markets in the future;

(f) Whether the violations are isolated or recurrent;

(g) The size of any profit or loss avoided resulting from the illegal conduct;

(h) Any mitigating factors, including the remorse of the respondent;

(i) The effect any sanction might have on the livelihood of the respondent;

(j) The effect any sanction might have on the ability of a respondent to participate without check in the capital markets;

(k) The reputation and prestige of the respondent;

(l) The size of any financial sanctions or voluntary payment when considering other factors; and

(m) The shame or financial pain that any sanction would reasonably cause to the respondent.

(*Belteco, supra* at 7746 and *M.C.J.C. Holdings, supra* at 1136)

[17] With respect to deterrence of the respondent and other like-minded individuals, the Supreme Court held in *Re Cartaway Resources Corp.,* [2004] 1 S.C.R. 672 at paragraph 60 that the Commission is not prevented from considering general deterrence in making an order with respect to sanctions. The Court further stated that "… it is reasonable to view general deterrence as an appropriate, and perhaps necessary, consideration in making orders that are both protective and preventative".

[18] The Respondents in this case were market participants; Zungui was a reporting issuer in Ontario with its shares listed on the TSX Venture Exchange and the Individual Respondents were officers and directors of Zungui. We note that, as stated by the Divisional Court in the case of *Erikson v. Ontario (Securities Commission),* [2003] O.J. No. 593 at para. 55, "participation in the capital markets is a privilege and not a right". Further, the Commission's role in making orders pursuant to s. 127 was described by the Supreme Court as follows:

> … the purpose of an order under s. 127 is to restrain future conduct that is likely to be prejudicial to the public interest in fair and efficient capital markets. The role of the OSC under s. 127 is to protect the public interest by removing from the capital markets those whose past conduct is so abusive as to warrant apprehension of future conduct detrimental to the integrity of the capital markets.
>
> (*Committee for the Equal Treatment of Asbestos Minority Shareholders v. Ontario (Securities Commission),* [2001] S.C.J. No. 38 (S.C.C.) at para. 43)

[19] The sanctions imposed must ultimately be proportionate to the circumstances and conduct of each Respondent (*M.C.J.C. Holdings, supra* at 1134).

C. What Sanctions are Appropriate in this Case?

The Seriousness of the Allegations

[20] Financial disclosure in accordance with the requirements of Ontario securities law is essential to the operation of Ontario's capital markets. As stated by the Commission in *Re Phillip Services Corp.* (2006), 29 O.S.C.B. 3941 at para. 7, "Disclosure is the cornerstone principle of securities regulation. All persons investing in securities should have equal access to information that may affect their investment decisions". The directors of companies that are reporting issuers are responsible for ensuring that such companies meet these disclosure requirements:

> The responsibility of companies to make timely and accurate financial disclosure ultimately rests with the directors of those companies. In practice, the responsibility is shared by the directors, audit committees, chief executive officers, chief financial officers and other management.
>
> (*Re Standard Trustco Ltd.* (1992), 15 O.S.C.B. 4322 at 4364)

[21] As stated in the Merits Decision, the Individual Respondents as directors and management of Zungui, "[imposed] limitations on the scope of the audit procedures of Zungui's auditor, E&Y, during its audit of Zungui's financial statements" and "[failed] to cooperate with Zungui's audit committee and its Special Committee in addressing E&Y's concerns and [obstructed] an independent investigation of those concerns by the Special Committee and by KPMG Forensic" (Merits Decision at para. 3).

[22] Staff referred to the case of *Flag Resources (1985) Ltd.,* 2010 LNABASC 211 ("***Flag Resources***"), a sanctions decision of the Alberta Securities Commission relating to conduct by respondents who "contravened Alberta securities laws and engaged in conduct contrary to the public interest by filing deficient, or by failing to file, continuous disclosure", including annual audited financial statements and management's discussion and analysis, amongst other things (*Flag Resources, supra* at para. 1).

[23] Staff submits that the conduct of the respondents in Flag Resources is comparable to the conduct of the Respondents in this case, both of which centre around the failure of a reporting issuer to comply with financial disclosure requirements. In Flag Resources, as in this case, a director and officer of the corporate respondents, McLeod, failed to ensure the corporate respondents' adherence to their continuous disclosure requirements. The Alberta Securities Commission noted that "rather than attempting to provide timely, complete responses to Staff's concerns, McLeod displayed a disregard for Alberta securities laws, dismissing compliance with the continuous disclosure requirements …" (*Flag Resources, supra* at para. 19). The Alberta Securities Commission concluded that it was appropriate in the circumstances to permanently prohibit McLeod from acting as a director or officer of reporting issuers in the Alberta capital markets and further noted:

> Because McLeod's conduct here was conduct in his capacity as a director or officer of reporting issuers, we conclude that it would be appropriate and in the public interest to make orders that would bar him from acting in these roles in the Alberta capital markets in the future. …
>
> (*Flag Resources, supra* at paras. 23-24)

[24] In *Flag Resources*, unlike in this case, the respondents' breaches took place over a number of years and their misconduct was repeated (see *Flag Resources, supra* at paras. 5, 14 and 19). Staff submits that, although *Flag Resources* dealt with repeated breaches of securities law over a longer period of time than is applicable in this case, the significance of the

Respondents' breaches in this case and their overall conduct cannot be overemphasized and the public interest requires their permanent removal from participation in the Ontario capital markets.

[25] In this case, although the Respondents' conduct has not been repeated over a lengthy period of time, it is ongoing. As of the date of the Sanctions and Costs Hearing, Zungui was still without an audit committee and an auditor.

[26] At the core of the findings against the Respondents is their failure to comply with the financial disclosure requirements of reporting issuers in Ontario. It is essential that the shareholders of and potential investors in a reporting issuer have available to them complete information on which to base informed investment decisions. Further, public confidence in the capital markets requires that reporting issuers in Ontario comply with their obligations under Ontario securities law.

[27] Zungui and its management, the Individual Respondents, have failed to comply with basic requirements relating to the maintenance of an audit committee and public disclosure through the filing of audited financial statements. Their conduct is harmful to Ontario's capital markets and public confidence in the capital markets. Zungui shareholders are left with shares of a company for which complete financial information is not available, and without any current prospect that this information will be forthcoming.

The Respondents' Activity in the Marketplace

[28] Zungui became a reporting issuer in Ontario on December 11, 2009 and, on December 21, 2009, completed its initial public offering, raising gross proceeds of approximately $39.0 million. The Individual Respondents played a central role in the initial public offering and in the direction and management of Zungui thereafter.

[29] The fact that the Respondents are physically removed from the jurisdiction raises serious issues from the perspective of regulatory compliance and enforcement. Within two years of the completion of its initial public offering, Zungui simply ceased to comply with the audit committee and financial statement obligations under Ontario securities law, and left its investors in an untenable position.

The Respondents' Recognition of the Seriousness of their Improprieties

[30] The Respondents have demonstrated a total and continuing disregard for their obligations under Ontario securities law. They made no effort to attend the Merits Hearing or the Sanctions and Costs Hearing or arrange to have their Ontario counsel do so on their behalf and have failed to respond to communications from Staff.

[31] There is no evidence before me to indicate that Zungui has taken steps to replace E&Y as Zungui's auditor or the three independent directors and the Chief Financial Officer of Zungui, all of whom resigned following the refusal of the Individual Respondents to cooperate with the special committee. As noted by Staff in their submissions, Zungui currently has no corporate governance structure and the Individual Respondents, as the two principal officers of the Company, have failed to cooperate with the audit committee, the auditors, the special committee and Staff.

Deterrence of the Respondents and Like-Minded Market Participants

[32] The Respondents have totally absented themselves from this jurisdiction and have demonstrated by their conduct that they are fundamentally ungovernable. The sanctions imposed as a result of the conduct described in the Merits Decision should preclude them from similar activity in Ontario's capital markets in the future.

[33] As discussed above, compliance with financial reporting requirements is essential to the functioning of the capital markets. Failure to comply with these requirements will result in serious consequences for investors and for public confidence in the capital markets. Sanctioning of such conduct should send a clear message of deterrence to those who participate in the capital markets and should strongly discourage market participants from ignoring their obligations to maintain an audit committee and provide accurate and timely financial disclosure.

Findings with Respect to Sanctions

[34] In light of the foregoing, I find that it is in the public interest to remove Zungui and its principals, the Individual Respondents, from Ontario's capital markets.

[35] After considering the factors relating to sanctions described above, I find that the conduct of the Individual Respondents, as determined in the Merits Decision, warrants the imposition of serious sanctions. As directors and officers of Zungui, the Individual Respondents have shown a complete disregard for Ontario securities law and demonstrated that they are effectively ungovernable. The protection of Ontario's capital markets and public confidence in its capital markets dictates sanctions that would permanently prohibit the Individual Respondents from future participation in Ontario's capital markets.

[36] At the Sanctions and Costs Hearing, I expressed the concern that it might be inimical to the interests of Zungui shareholders to issue a permanent ban on the trading of Zungui securities. As a regulator, it is not the Commission's objective to make orders that would impede or in any way adversely affect the interests of the stakeholders whose very interests it aims to protect. Staff noted in their oral submissions that no issue has been raised with them by any third party to suggest that what Staff are requesting with respect to sanctions would impede investors or any civil proceeding.

[37] I note that, in *Flag Resources*, the Alberta Securities Commission did not issue an order permanently cease-trading securities of the corporate respondents, but found that their capital market participation should be restrained and their respective securities should be cease-traded "until such time, if ever, as each of the Corporate Respondents files and receives a receipt for a prospectus, which would provide investors with the information they need to make informed investment decisions …" (*Flag Resources, supra* at para. 17).

[38] With respect to the sanctions against Zungui, and keeping in mind the interests of the stakeholders of Zungui to which reference is made in paragraph [36] above, I find that it is in the public interest to make an order permanently prohibiting trading in Zungui securities until such time as Zungui becomes compliant with Ontario securities law, including the requirements relating to audit committees and continuous disclosure. Should Zungui become compliant with Ontario securities law, including the requirements relating to audit committees and continuous disclosure, it may bring an application to vary the order to which reference is made in paragraph [47] below pursuant to section 144 of the Act.

[39] As there may be unanticipated consequences that arise from ordering that the securities of a public company be permanently cease traded and as Zungui's future as an operating company is unknown as are the avenues that may be available to Zungui shareholders to realize on their investments, I should note that, in the event that the circumstances change or should additional information come to light, it is always open to a person or company affected by this sanctions order to bring an application for the variation of the order pursuant to section 144 of the Act.

III. ANALYSIS WITH RESPECT TO COSTS

[40] Staff requests that the Commission issue an order pursuant to section 127.1 of the Act that the Individual Respondents pay $63,667.50 on a joint and several basis, representing a portion of the costs incurred in this matter.

[41] Prior to the hearing, Staff filed a Bill of Costs and an affidavit setting out the number of hours spent by Staff in preparing for and attending hearings in this matter and Staff's disbursements. The total fees and disbursements incurred by Staff in this matter, including both investigation and litigation costs, is $110,293.96 according to Staff's Bill of Costs. Staff notes that the docket summary provided in evidence has been edited to remove time entries for Staff who are not involved in litigation issues as there is an ongoing investigation in this matter. Staff is seeking a portion of this total that represents the costs of preparation and attendance at the merits hearing by three members of Staff, a Litigation Counsel, an Attorney-at-Law involved in the investigation and a Senior Investigator who was the primary investigator in this matter.

[42] The Panel in *Re Ochnik* (2006), 29 O.S.C.B. 5917 ("***Ochnik***") identified a number of criteria that the Commission has considered in awarding costs. The criteria enumerated in Ochnik are:

(a) Failure by staff to provide early notice of an intention to seek costs may result in a reduced costs award, as early notice may have facilitated early settlement, thereby reducing overall costs (see Re Tindall (2000), 23 O.S.C.B. 6889 at para. 74);

(b) The seriousness of the charges and the conduct of the parties (see *Re YBM Magnex International Inc.* (2003), 26 O.S.C.B. 5285 at para. 608);

(c) Abuse of process by a respondent may be a factor in increasing the amount of costs (see *Re YBM Magnex International Inc.* cited above at para. 606);

(d) The greater investigative/hearing costs that the specific conduct of a respondent tends to require in the case (see *YBM Magnex International Inc.* cited above at para. 606); and

(e) The reasonableness of the costs requested by staff (see *Re Lydia Diamond Exploration of Canada*, (2003), 26 O.S.C.B. 2511 at para. 217).

(*Ochnik,* supra at para. 29)

[43] Notice of a potential costs order was provided to the Respondents in the Notice of Hearing issued on the same day as the Statement of Allegations. The seriousness of the allegations in this matter have been addressed above in the analysis with respect to sanctions.

[44] Although Staff does not refer to it in their submissions, Rule 18.2 of the Commission's Rules of Procedure also sets out factors a Panel may consider when awarding costs. These factors include (i) whether the respondent participated in the proceeding in a way that helped the Commission understand the issues before it; (ii) whether the respondent co-operated with Staff and disclosed all relevant information; and (iii) any other factors the Panel considers relevant.

[45] I note that costs in this matter were reduced from what they would have otherwise been as a result of the Merits Hearing being conducted partially in writing and with the oral portion of the Merits Hearing being concluded in one day.

[46] In the circumstances, I am satisfied that the costs requested by Staff in this case are reasonable.

IV. CONCLUSION

[47] Based on my analysis above, I find that it is in the public interest to issue the following order with respect to Zungui, subject to the condition that, if Zungui becomes compliant with Ontario securities law, including the requirements relating to audit committees and continuous disclosure, Zungui or any other person or company affected by this order may bring an application to vary the order pursuant to section 144 of the Act:

(a) Pursuant to paragraph 2 of subsection 127(1) of the Act, trading in any securities of Zungui shall permanently cease;

(b) Pursuant to paragraph 2 of subsection 127(1) of the Act, Zungui shall permanently cease trading in securities;

(c) Pursuant to paragraph 2.1 of subsection 127(1) of the Act, the acquisition of any securities by Zungui is permanently prohibited; and

(d) Pursuant to paragraph 3 of subsection 127(1) of the Act, any exemptions contained in Ontario securities law permanently do not apply to Zungui.

[48] With respect to the Individual Respondents, I find it is in the public interest to order the following:

(a) Pursuant to paragraph 2 of subsection 127(1) of the Act, the Individual Respondents shall permanently cease trading in securities;

(b) Pursuant to paragraph 2.1 of subsection 127(1) of the Act, the acquisition of any securities by the Individual Respondents is permanently prohibited;

(c) Pursuant to paragraph 3 of subsection 127(1) of the Act, any exemptions contained in Ontario securities law permanently do not apply to the Individual Respondents;

(d) Pursuant to paragraph 7 of subsection 127(1) of the Act, the Individual Respondents shall resign all positions that they may hold as a director or officer of an issuer;

(e) Pursuant to paragraphs 8, 8.2 and 8.4 of subsection 127(1) of the Act, the Individual Respondents are permanently prohibited from becoming or acting as a director or officer of any issuer, registrant or investment fund manager;

(f) Pursuant to paragraph 8.5 of subsection 127(1) of the Act, the Individual Respondents are permanently prohibited from becoming or acting as a registrant, investment fund manager or promoter;

(g) Pursuant to paragraph 6 of subsection 127(1) of the Act, the Individual Respondents are reprimanded; and

(h) Pursuant to section 127.1 of the Act, the Individual Respondents shall pay, on a joint and several basis, $63,667.50 in costs to the Commission.

Dated at Toronto this 28th day of August, 2012.

"Christopher Portner"

Chapter 4

Cease Trading Orders

4.1.1 Temporary, Permanent & Rescinding Issuer Cease Trading Orders

Company Name	Date of Temporary Order	Date of Hearing	Date of Permanent Order	Date of Lapse/Revoke
Aerocast Inc.	17 Aug 12	29 Aug 12	29 Aug 12	
SemBioSys Genetics Inc.	20 Aug 12	30 Aug 12	31 Aug 12	

4.2.1 Temporary, Permanent & Rescinding Management Cease Trading Orders

Company Name	Date of Order or Temporary Order	Date of Hearing	Date of Permanent Order	Date of Lapse/ Expire	Date of Issuer Temporary Order
Canadian Oil Recovery & Remediation Enterprises Ltd.	31 Aug 12	12 Sept 12			

4.2.2 Outstanding Management & Insider Cease Trading Orders

Company Name	Date of Order or Temporary Order	Date of Hearing	Date of Permanent Order	Date of Lapse/ Expire	Date of Issuer Temporary Order
Canadian Oil Recovery & Remediation Enterprises Ltd.	31 Aug 12	12 Sept 12			

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Chapter 7

Insider Reporting

The following is a weekly summary of insider transactions by insiders of *Ontario reporting issuers* in SEDI ® (the System for Electronic Disclosure by Insiders).[1] The weekly summary contains insider transactions reported during the 7-day period ending Sunday at 11:59 p.m. (i.e. the Sunday prior to the Bulletin Issue date).[2]

Guide to Codes

Relationship of Insider to Issuer (Rel=n)

1	Issuer
2	Subsidiary of Issuer
3	10% Security Holder of Issuer
4	Director of Issuer
5	Senior Officer of Issuer
6	Director or Senior Officer of 10% Security Holder
7	Director or Senior Officer of Insider or Subsidiary of Issuer (other than in 4,5,6)
8	Deemed Insider – 6 Months before becoming Insider

Nature of Transaction (T/O)

00	Opening Balance-Initial SEDI Report
10	Acquisition or disposition in the public market
11	Acquisition or disposition carried out privately
15	Acquisition or disposition under a prospectus
16	Acquisition or disposition under a prospectus exemption
22	Acquisition or disposition pursuant to a take-over bid, merger or acquisition
30	Acquisition or disposition under a purchase/ ownership plan
35	Stock dividend
36	Conversion or exchange
37	Stock split or consolidation
38	Redemption, retraction, cancellation, repurchase
40	Short sale
45	Compensation for property
46	Compensation for services
47	Acquisition or disposition by gift
48	Acquisition by inheritance or disposition by bequest
50	Grant of options
51	Exercise of options
52	Expiration of options
53	Grant of warrants
54	Exercise of warrants
55	Expiration of warrants
56	Grant of rights
57	Exercise of rights
59	Exercise for cash
70	Acquisition or disposition (writing) of third party derivative
71	Exercise of third party derivative
72	Other settlement of third party
73	Expiration of third party derivative
90	Change in nature of ownership
97	Other
99	Correction of Information

Note: The asterisk in the “Date/Month End Holding” column indicates the insider disagreed with the system calculated balance when the transaction was reported.

1 SEDI® is a registered trademark owned by CDS INC.

2 ©CDS INC.

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	10/30/2009	30	3.2274		62
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	10/30/2009	30	2.9917	1,556	67
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	12/31/2009	30	3.0028		105
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	12/31/2009	30	3.0028	1,656	100
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	01/28/2010	30	2.9		200
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	01/28/2010	30	2.9	1,725	69
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	02/26/2010	30	3.2		97
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	02/26/2010	30	3.2	1,767	42
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	03/30/2010	30	3.5144		300
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	03/30/2010	30	3.5144	1,852	85
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	04/22/2010	30	3.5		300
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	04/22/2010	30	3.5	2,152	300
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	04/22/2010	30	3.5		300
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	04/22/2010	30	3.5	2,238	86
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	05/20/2010	30	3.0013		300
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	05/20/2010	30	3.0013	2,938	100
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	06/18/2010	30	3.4413		300
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	06/18/2010	30	3.4413	3,025	87
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	08/16/2010	30	3.268		450
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	08/16/2010	30	3.268	3,163	138
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	10/06/2010	30	3.1885		300
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	10/06/2010	30	3.1885		94
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	10/06/2010	30	3.1885	3,344	181
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	10/21/2010	30	3.9585		300
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	10/21/2010	30	3.9585	3,420	76
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	11/25/2010	30	3.5075		300
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	11/25/2010	30	3.5075	3,506	86
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	12/30/2010	30	3.3006		450
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	12/30/2010	30	3.3006	3,642	136
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	01/27/2011	30	3.3859		300
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	01/27/2011	30	3.3859	3,731	89
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	02/28/2011	30	3.868		300
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	02/28/2011	30	3.868	3,809	78
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	03/29/2011	30	3.25		300
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	03/29/2011	30	3.25	3,901	92
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	04/27/2011	30	3.1003		300
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	04/27/2011	30	3.1003	3,999	98
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	05/19/2011	30	3.3009		300
20-20 Technologies Inc.	Common Shares	Labelle, Christine	5	05/19/2011	30	3.3009	4,090	91
49 North Resources Inc.	Common Shares	49 North Resources Inc.	1	08/24/2012	38	1.983	7,600	7,600
49 North Resources Inc.	Common Shares	49 North Resources Inc.	1	08/27/2012	38	2	7,900	300
49 North Resources Inc.	Common Shares	49 North Resources Inc.	1	08/29/2012	38	1.97	8,900	1,000
Abattis Biologix Corporation	Common Shares	Chen, Anny	5	08/21/2012	46	0.05	410,000	400,000
Acadian Timber Corp.	Common Shares	Reilly, Erika Nicole	1	08/27/2012	10	12.35	0	-960
Acrex Ventures Ltd.	Options	Jonsson, Carl Roland	4, 5	07/02/2012	52		225,000	-50,000
Acrex Ventures Ltd.	Options	Powell, Jason	4	09/06/2011	00		400,000	
Acrex Ventures Ltd.	Options	Powell, Theodore James Malcolm	4, 5	07/02/2012	52		950,000	-200,000
ACTIVEnergy Income Fund	Trust Units	Activenergy Income Fund	1	08/24/2012	38	7.38	23,496,970	400
ACTIVEnergy Income Fund	Trust Units	Activenergy Income Fund	1	08/28/2012	38	7.35	23,497,370	400
ACTIVEnergy Income Fund	Trust Units	Activenergy Income Fund	1	08/29/2012	38	7.25	23,497,770	400
ACTIVEnergy Income Fund	Trust Units	Activenergy Income Fund	1	08/30/2012	38	7.18	23,498,570	800
Adanac Molybdenum Corporation	Common Shares	Redleaf, Andrew	3	08/27/2012	10	0.41	2,440,411	-100
Adanac Molybdenum Corporation	Common Shares	Redleaf, Andrew	3	08/27/2012	10	0.41	2,440,011	-400
Adanac Molybdenum Corporation	Common Shares	Redleaf, Andrew	3	08/28/2012	10	0.4	2,439,911	-100
Adanac Molybdenum Corporation	Common Shares	Redleaf, Andrew	3	08/28/2012	10	0.4	2,439,511	-400
Adanac Molybdenum Corporation	Common Shares	Redleaf, Andrew	3	08/29/2012	10	0.39	2,439,411	-100
Adanac Molybdenum Corporation	Common Shares	Redleaf, Andrew	3	08/29/2012	10	0.39	2,439,011	-400
Adanac Molybdenum Corporation	Common Shares	Redleaf, Andrew	3	08/30/2012	10	0.38	2,437,111	-1,900
Adanac Molybdenum Corporation	Common Shares	Redleaf, Andrew	3	08/30/2012	10	0.38	2,427,511	-9,600
Adira Energy Ltd. (formerly AMG Oil Ltd.)	Options	Bennie, Dennis	4, 5	08/22/2012	50	0.2	2,266,000	1,520,000
Adira Energy Ltd. (formerly AMG Oil Ltd.)	Options	Crist, Richard P.	4	07/06/2012	00			
Adira Energy Ltd. (formerly AMG Oil Ltd.)	Options	Crist, Richard P.	4	08/22/2012	50	0.2	600,000	600,000
Adira Energy Ltd. (formerly AMG Oil Ltd.)	Options	Friedman, Alan Mark	4, 5	08/22/2012	52	0.2	2,106,000	1,060,000
Adira Energy Ltd. (formerly AMG Oil Ltd.)	Options	Inwentash, Sheldon	4	08/23/2012	50	0.2	1,240,000	1,140,000

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Adira Energy Ltd. (formerly AMG Oil Ltd.)	Options	Kinley, Colin Brent	5	08/22/2012	50	0.2	1,950,000	150,000
Adira Energy Ltd. (formerly AMG Oil Ltd.)	Options	Lasker, Amos	4	08/22/2012	00			
Adira Energy Ltd. (formerly AMG Oil Ltd.)	Options	Lasker, Amos	4	08/22/2012	50	0.2	1,000,000	1,000,000
Adira Energy Ltd. (formerly AMG Oil Ltd.)	Options	Levin, Gadi	5	08/22/2012	50	0.2	1,410,000	910,000
Adira Energy Ltd. (formerly AMG Oil Ltd.)	Options	Walter, Jeffrey	5	08/22/2012	50	0.2	2,760,000	760,000
Advanced Explorations Inc.	Common Shares	Gingerich, John Cater	4	08/23/2012	10		2,923,500	20,000
Advanced Explorations Inc.	Common Shares	Gingerich, John Cater	4	08/29/2012	10		2,963,500	40,000
Advanced Explorations Inc.	Common Shares	Schaubitzer, Nadine	8	08/24/2012	10		12,500	2,500
Advanced Explorations Inc.	Common Shares	Telewiak, Robert	4	06/29/2011	00			
Advanced Explorations Inc.	Common Shares	Telewiak, Robert	4	08/23/2012	10	0.22	1,000	1,000
Advanced Explorations Inc.	Common Shares	Telewiak, Robert	4	08/27/2012	10	0.24	50,000	49,000
Advantage Oil & Gas Ltd.	Common Shares	Drader, Kelly Ivan	5	07/09/2009	00			
Advantage Oil & Gas Ltd.	Common Shares	Drader, Kelly Ivan	5	07/09/2009	00		531,774	
Aecon Group Inc.	Common Shares	Pastirik, Paul Douglas	5	07/15/2012	30	12.54		1,991
Aecon Group Inc.	Common Shares	Pastirik, Paul Douglas	5	07/15/2012	30	12.54	27,504	4,085
Aecon Group Inc.	Common Shares	Pastirik, Paul Douglas	5	08/23/2012	30	12.89	414	-13,000
AEterna Zentaris Inc.	Common Shares	Engel, J³rgen	4, 5	08/28/2012	10	0.4994	200,000	22,221
Ag Growth International Inc.	Common Shares	Anderson, Gary Keith	4, 5	08/24/2012	10	31.986		3,300
Ag Growth International Inc.	Common Shares	Anderson, Gary Keith	4, 5	08/24/2012	10	31.986	88,912	3,300
Ag Growth International Inc.	Common Shares	Anderson, Gary Keith	4, 5	08/28/2012	10	32.443	97,912	9,000
Ag Growth International Inc.	Convertible Debentures 7% 12/31/2014	Anderson, Gary Keith	4, 5	08/24/2012	10	105.213	$280,000	-$100,000
Ag Growth International Inc.	Convertible Debentures 7% 12/31/2014	Anderson, Gary Keith	4, 5	08/28/2012	10	105.097	$0	-$280,000
Agrium Inc.	Options	Grossett, James M.	5	08/29/2012	51	15.71		-9,500
Agrium Inc.	Options	Grossett, James M.	5	08/29/2012	51	15.71		-9,500
Agrium Inc.	Options	Grossett, James M.	5	08/29/2012	51	15.71	84,227	-9,550
Agrium Inc.	Rights SARs	Grossett, James M.	5	08/29/2012	56	15.71	9,550	9,550
Agrium Inc.	Rights SARs	Grossett, James M.	5	08/29/2012	59	99.35	0	-9,550
AIRBOSS OF AMERICA CORP.	Common Shares	Laurie, Earl H	7	08/24/2012	10	4.75	25,203	-2,000
Akita Drilling Ltd.	Non-Voting Shares	AKITA DRILLING	1	08/28/2012	38	10.36	900	900
Akita Drilling Ltd.	Non-Voting Shares	AKITA DRILLING	1	08/28/2012	38		0	-900
Akita Drilling Ltd.	Non-Voting Shares	AKITA DRILLING	1	08/29/2012	38	10.42	700	700
Akita Drilling Ltd.	Non-Voting Shares	AKITA DRILLING	1	08/29/2012	38		0	-700
Akita Drilling Ltd.	Non-Voting Shares	AKITA DRILLING	1	08/30/2012	38	10.56	1,500	1,500
Akita Drilling Ltd.	Non-Voting Shares	AKITA DRILLING	1	08/30/2012	38		0	-1,500
Akita Drilling Ltd.	Non-Voting Shares	AKITA DRILLING	1	08/31/2012	38	10.58	2,973	2,973
Akita Drilling Ltd.	Non-Voting Shares	AKITA DRILLING	1	08/31/2012	38		0	-2,973
Alacer Gold Corp.	Common Shares	Graff, Richard P	4	08/29/2012	51	2.45	61,779	29,237
Alacer Gold Corp.	Options	Graff, Richard P	4	08/29/2012	51	2.45	0	-50,000
ALAMOS GOLD INC	Common Shares	Barwell, Christine	5	08/24/2012	51	14.05	20,000	20,000
ALAMOS GOLD INC	Common Shares	Barwell, Christine	5	08/24/2012	10	18.98	0	-20,000
ALAMOS GOLD INC	Options	Barwell, Christine	5	08/24/2012	51	14.05	265,000	-20,000
ALAMOS GOLD INC	Common Shares	Guarducci, Manley Richard	5	08/24/2012	51	9.8	90,000	90,000
ALAMOS GOLD INC	Common Shares	Guarducci, Manley Richard	5	08/24/2012	10	19.03	0	-90,000
ALAMOS GOLD INC	Options	Guarducci, Manley Richard	5	08/24/2012	51	9.8	675,000	-90,000
ALAMOS GOLD INC	Common Shares	McCluskey, John	4, 5	08/24/2012	10	19.0342	339,700	-10,000
Alexandria Minerals Corporation	Common Shares	Owens, Eric	3, 4, 5	04/01/2005	00			
Alexandria Minerals Corporation	Common Shares	Owens, Eric	3, 4, 5	04/01/2005	00			
Alexandria Minerals Corporation	Common Shares	Owens, Eric	3, 4, 5	04/01/2005	00			
Alexandria Minerals Corporation	Common Shares	Owens, Eric	3, 4, 5	10/14/2010	90			974,309
Alexandria Minerals Corporation	Common Shares	Owens, Eric	3, 4, 5	10/14/2010	90			974,309
Alexandria Minerals Corporation	Common Shares	Owens, Eric	3, 4, 5	10/14/2010	90			974,309
Alexandria Minerals Corporation	Common Shares	Owens, Eric	3, 4, 5	11/15/2010	11	0.175		50,000
Alexandria Minerals Corporation	Common Shares	Owens, Eric	3, 4, 5	11/15/2010	11	0.175		50,000
Alexandria Minerals Corporation	Common Shares	Owens, Eric	3, 4, 5	11/15/2010	11	0.175		50,000
Alexandria Minerals Corporation	Common Shares	Owens, Eric	3, 4, 5	10/21/2011	90			307,732
Alexandria Minerals Corporation	Common Shares	Owens, Eric	3, 4, 5	10/21/2011	90			307,732
Alexandria Minerals Corporation	Common Shares	Owens, Eric	3, 4, 5	10/21/2011	90			307,732
Allegiance Equity Corporation	Common Shares	Bloovol, Marilyn	4, 5	08/27/2012	16	0.2		700,000

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Allegiance Equity Corporation	Common Shares	Bloovol, Marilyn	4, 5	08/27/2012	16	0.2	2,125,497	700,000
Allegiance Equity Corporation	Warrants	Bloovol, Marilyn	4, 5	03/09/2004	00			
Allegiance Equity Corporation	Warrants	Bloovol, Marilyn	4, 5	08/27/2012	16		700,000	700,000
Allegiance Equity Corporation	Common Shares	Solomon, David Samuel	4, 5	08/27/2012	16	0.2	2,060,497	700,000
Allegiance Equity Corporation	Common Shares	Solomon, David Samuel	4, 5	08/27/2012	16	0.2	2,760,497	700,000
Allegiance Equity Corporation	Warrants	Solomon, David Samuel	4, 5	06/09/2003	00			
Allegiance Equity Corporation	Warrants	Solomon, David Samuel	4, 5	08/27/2012	16		700,000	700,000
Allied Nevada Gold Corp.	Common Shares	Caldwell, Scott Andrew	4, 5	08/24/2012	10	31.0599	101,944	-10,000
Almaden Minerals Ltd.	Common Shares	Bitzer, Dione	5	08/28/2012	10	2.64	33,200	-4,100
Altai Resources Inc.	Common Shares	AU, Maria Pui-Ching	5	08/29/2012	10	0.08	1,284,350	10,000
Altair Ventures Incorporated	Common Shares	Ricci, Antonio	5	01/20/2012	37		61,667	-123,333
Altair Ventures Incorporated	Common Shares	Ricci, Antonio	5	08/20/2012	10	0.27	59,667	-2,000
Altair Ventures Incorporated	Common Shares	Ricci, Antonio	5	08/20/2012	10	0.275	58,667	-1,000
Altair Ventures Incorporated	Common Shares	Ricci, Antonio	5	08/20/2012	10	0.265	55,667	-3,000
Altair Ventures Incorporated	Common Shares	Ricci, Antonio	5	08/20/2012	10	0.15	47,333	-8,334
Altair Ventures Incorporated	Common Shares	Ricci, Antonio	5	08/21/2012	10	0.25	39,333	-8,000
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	08/31/2012	38		0	-10,000
Amarok Energy Inc.	Common Shares	Dales, Robert John	4, 5	08/22/2012	37		1,110,000	-740,000
Amarok Energy Inc.	Common Shares	Dales, Robert John	4, 5	08/22/2012	22		2,402,800	1,292,800
Amarok Energy Inc.	Options	Dales, Robert John	4, 5	08/22/2012	37		207,000	-138,000
Amarok Energy Inc.	Options	Dales, Robert John	4, 5	08/22/2012	50		367,000	160,000
Amarok Energy Inc.	Warrants	Dales, Robert John	4, 5	06/30/2010	00			
Amarok Energy Inc.	Warrants	Dales, Robert John	4, 5	08/22/2012	53		8,000	8,000
Amarok Energy Inc.	Common Shares	Day, Robin Charles	4	08/22/2012	37		243,000	-162,000
Amarok Energy Inc.	Common Shares	Day, Robin Charles	4	08/22/2012	22		1,135,800	892,800
Amarok Energy Inc.	Options	Day, Robin Charles	4	08/22/2012	37		147,000	-98,000
Amarok Energy Inc.	Options	Day, Robin Charles	4	08/22/2012	50		227,000	80,000
Amarok Energy Inc.	Warrants	Day, Robin Charles	4	08/22/2012	53		8,000	8,000
Amarok Energy Inc.	Common Shares	Guinan, William Charles	4	08/22/2012	37			-190,134
Amarok Energy Inc.	Common Shares	Guinan, William Charles	4	08/22/2012	37			-190,134
Amarok Energy Inc.	Common Shares	Guinan, William Charles	4	08/22/2012	37		285,199	-190,134
Amarok Energy Inc.	Common Shares	Guinan, William Charles	4	08/22/2012	22		712,399	427,200
Amarok Energy Inc.	Options	Guinan, William Charles	4	08/22/2012	37		165,000	-110,000
Amarok Energy Inc.	Options	Guinan, William Charles	4	08/22/2012	50		245,000	80,000
Amarok Energy Inc.	Common Shares	Quiroz, Omar L.	4	08/22/2012	37		1,660,000	-1,106,667
Amarok Energy Inc.	Common Shares	Quiroz, Omar L.	4	08/22/2012	22		3,948,236	2,288,236
Amarok Energy Inc.	Options	Quiroz, Omar L.	4	08/22/2012	37		198,000	-132,000
Amarok Energy Inc.	Warrants	Quiroz, Omar L.	4	06/30/2010	00			
Amarok Energy Inc.	Warrants	Quiroz, Omar L.	4	08/22/2012	53		188,000	188,000
Amarok Energy Inc.	Common Shares	Salomons, Gordon John	4	08/22/2012	51	0.1	600,000	50,000
Amarok Energy Inc.	Common Shares	Salomons, Gordon John	4	08/22/2012	51	0.2	795,000	195,000
Amarok Energy Inc.	Common Shares	Salomons, Gordon John	4	08/22/2012	37		477,000	-318,000
Amarok Energy Inc.	Common Shares	Salomons, Gordon John	4	08/22/2012	22		667,400	190,400
Amarok Energy Inc.	Options	Salomons, Gordon John	4	08/22/2012	51	0.1	195,000	-50,000
Amarok Energy Inc.	Options	Salomons, Gordon John	4	08/22/2012	51	0.2	0	-195,000
Amarok Energy Inc.	Warrants	Salomons, Gordon John	4	06/30/2010	00			
Amarok Energy Inc.	Warrants	Salomons, Gordon John	4	08/22/2012	53		8,000	8,000
Amarok Energy Inc.	Common Shares	Sinclair, Neil Graham	5	08/22/2012	51	0.1	600,000	50,000
Amarok Energy Inc.	Common Shares	Sinclair, Neil Graham	5	08/22/2012	51	0.2	795,000	195,000
Amarok Energy Inc.	Common Shares	Sinclair, Neil Graham	5	08/22/2012	37		477,000	-318,000
Amarok Energy Inc.	Common Shares	Sinclair, Neil Graham	5	08/22/2012	22		1,249,800	772,800
Amarok Energy Inc.	Options	Sinclair, Neil Graham	5	08/22/2012	51	0.1	195,000	-50,000
Amarok Energy Inc.	Options	Sinclair, Neil Graham	5	08/22/2012	51	0.2	0	-195,000
Amarok Energy Inc.	Warrants	Sinclair, Neil Graham	5	06/30/2010	00			
Amarok Energy Inc.	Warrants	Sinclair, Neil Graham	5	08/22/2012	53		8,000	8,000
Amarok Energy Inc.	Common Shares	Swanson, Leonard Dale	5	08/22/2012	00		400,000	
American Consolidated Minerals Corp.	Warrants	Fabbro, Allan John	4, 5	08/27/2012	47		8,500,000	-2,500,000
American Manganese Inc.	Common Shares	MacLeod, Michael Elson	5	08/31/2012	10	0.07	1,867,000	242,000
American Manganese Inc.	Common Shares	MacLeod, Michael Elson	5	08/31/2012	10	0.075	1,875,000	8,000
American Vanadium Corp.	Common Shares	Hawes, George	4	08/21/2012	10	0.597	3,288,166	23,000
AMG Bioenergy Resources Holdings Ltd.	Common Shares	Pang, Titkhuen	4, 5	08/28/2012	10	0.015	676,000	16,000
Amica Mature Lifestyles Inc.	Common Shares	Halliwell, Colin	5	08/27/2012	51	5.2	4,500	4,500
Amica Mature Lifestyles Inc.	Common Shares	Halliwell, Colin	5	08/27/2012	10	9.85	0	-4,500
Amica Mature Lifestyles Inc.	Common Shares	Halliwell, Colin	5	08/28/2012	51	5.2	5,500	5,500
Amica Mature Lifestyles Inc.	Common Shares	Halliwell, Colin	5	08/28/2012	10	9.85	2,500	-3,000
Amica Mature Lifestyles Inc.	Common Shares	Halliwell, Colin	5	08/28/2012	10	9.88	0	-2,500
Amica Mature Lifestyles Inc.	Options	Halliwell, Colin	5	08/27/2012	51	5.2	251,250	-4,500
Amica Mature Lifestyles Inc.	Options	Halliwell, Colin	5	08/28/2012	51	5.2	245,750	-5,500
Amica Mature Lifestyles Inc.	Common Shares	Manji, Samir Aziz	3, 4, 5	08/28/2012	51	5.2	150,819	5,800
Amica Mature Lifestyles Inc.	Common Shares	Manji, Samir Aziz	3, 4, 5	08/28/2012	10	9.89	148,319	-2,500

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Amica Mature Lifestyles Inc.	Common Shares	Manji, Samir Aziz	3, 4, 5	08/28/2012	10	9.9	145,019	-3,300
Amica Mature Lifestyles Inc.	Common Shares	Manji, Samir Aziz	3, 4, 5	08/29/2012	51	5.2	145,719	700
Amica Mature Lifestyles Inc.	Common Shares	Manji, Samir Aziz	3, 4, 5	08/29/2012	10	9.8	145,019	-700
Amica Mature Lifestyles Inc.	Common Shares	Manji, Samir Aziz	3, 4, 5	08/30/2012	51	5.2	146,019	1,000
Amica Mature Lifestyles Inc.	Common Shares	Manji, Samir Aziz	3, 4, 5	08/30/2012	10	9.8	145,019	-1,000
Amica Mature Lifestyles Inc.	Options	Manji, Samir Aziz	3, 4, 5	08/28/2012	51	5.2	713,950	-5,800
Amica Mature Lifestyles Inc.	Options	Manji, Samir Aziz	3, 4, 5	08/29/2012	51	5.2	713,250	-700
Amica Mature Lifestyles Inc.	Options	Manji, Samir Aziz	3, 4, 5	08/30/2012	51	5.2	712,250	-1,000
Amorfix Life Sciences Ltd.	Common Shares	Interinvest Corporation	3	08/24/2012	10	0.524	8,780,222	5,000
Amorfix Life Sciences Ltd.	Common Shares	Interinvest Corporation	3	08/28/2012	10	0.536	8,783,222	3,000
Anconia Resources Corp.	Common Shares	Novak, Neil	5	08/27/2012	10	0.335	1,699,667	-20,500
Anconia Resources Corp.	Common Shares	Sherman, Bernard Charles	3, 4, 6	08/23/2012	16	0.2	6,822,192	500,000
Anconia Resources Corp.	Common Shares	Sherman, Bernard Charles	3, 4, 6	08/23/2012	16	0.23	7,135,192	313,000
Andina Minerals Inc.	Warrants	Sun Valley Gold LLC	3	06/02/2012	55		6,250,000	-2,150,000
Andina Minerals Inc.	Warrants	Sun Valley Gold Master Fund, Ltd.	3	06/02/2012	55		4,687,500	-1,356,100
Angle Energy Inc.	Options	Christie-Burns, Heather Lynn	5	07/25/2012	50	3.3	578,113	65,863
Angle Energy Inc.	Options	Cormack, George Graham	5	07/25/2012	50	3.3	349,600	43,600
Angle Energy Inc.	Options	Fischbuch, Douglas Gregg	4, 5	07/25/2012	50	3.3	582,256	65,756
Angle Energy Inc.	Options	Post, Heather Colleen	5	07/25/2012	50	3.3	182,797	22,797
Angle Energy Inc.	Common Shares	Richardson, Glen Russell	5	08/24/2012	51	130000	327,500	130,000
Angle Energy Inc.	Common Shares	Richardson, Glen Russell	5	08/24/2012	10	4.18	217,500	-110,000
Angle Energy Inc.	Options	Richardson, Glen Russell	5	07/25/2012	50	3.3	342,745	42,745
Angle Energy Inc.	Options	Richardson, Glen Russell	5	08/24/2012	51	3.9	212,745	-130,000
Angle Energy Inc.	Options	Richardson, Glen Russell	5	08/27/2012	50	4.05	290,745	78,000
Angle Energy Inc.	Restricted Share Units	Richardson, Glen Russell	5	09/08/2008	00			
Angle Energy Inc.	Restricted Share Units	Richardson, Glen Russell	5	08/27/2012	56		13,000	13,000
Angle Energy Inc.	Options	Symon, Stuart	5	07/25/2012	50	3.3	474,988	59,238
Aqua-Pure Ventures Inc.	Common Shares	Hallman, Alan	4	08/23/2012	10	0.14	475,500	9,000
Aqua-Pure Ventures Inc.	Common Shares	Teja, Karim	5	08/24/2012	10	0.15	298,333	35,000
Aqua-Pure Ventures Inc.	Common Shares	Teja, Karim	5	08/30/2012	10	0.15	301,833	3,500
Arctic Hunter Energy Inc.	Common Shares	Hall, Robert	4, 5	08/31/2012	10	0.06	511,500	9,000
Arctic Star Exploration Corp.	Warrants	Inwentash, Sheldon	3, 6	08/26/2012	55	0.25	416,666	-500,000
Arctic Star Exploration Corp.	Warrants	Inwentash, Sheldon	3, 6	08/26/2012	55	0.5	166,667	-500,000
Arctic Star Exploration Corp.	Common Shares	Power, Patrick Edward	4, 5	08/29/2012	10	0.19	543,214	-130,000
Arctic Star Exploration Corp.	Common Shares	Yingling, Thomas	4	08/27/2012	10	0.2	66,034	-5,000
Arctic Star Exploration Corp.	Common Shares	Yingling, Thomas	4	08/28/2012	10	0.185	21,034	-45,000
Arcus Development Group Inc.	Common Shares	Talbot, Ian John	4	08/27/2012	10		1,515,382	5,000
Astral Mining Corporation	Warrants	Consolidated International Investment Holdings Inc.	3	08/27/2012	55		0	-2,500,000
Atacama Pacific Gold Corporation	Common Shares	Gold Fields Metals B.V.	3	06/25/2012	54	0.9		209,697
Atacama Pacific Gold Corporation	Common Shares	Gold Fields Metals B.V.	3	06/25/2012	54	0.9	5,561,295	290,697
Atacama Pacific Gold Corporation	Warrants	Gold Fields Metals B.V.	3	11/10/2010	00			
Atacama Pacific Gold Corporation	Warrants	Gold Fields Metals B.V.	3	11/10/2010	00			
Atacama Pacific Gold Corporation	Warrants	Gold Fields Metals B.V.	3	11/10/2010	00		615,697	
Atacama Pacific Gold Corporation	Warrants	Gold Fields Metals B.V.	3	06/25/2012	54	0.9		-209,697
Atacama Pacific Gold Corporation	Warrants	Gold Fields Metals B.V.	3	06/25/2012	54	0.9	0	-290,697
Atlantis Gold Mines Corp.	Options	Johannson, Arni Thomas	4	08/22/2012	38		0	-250,000
Augusta Industries Inc. (formerly Fiber Optic Systems Technology, Inc.)	Common Shares	LONE, ALLEN	3, 4, 6, 5	08/23/2012	10	0.045	1,523,000	1,000
Augusta Industries Inc. (formerly Fiber Optic Systems Technology, Inc.)	Common Shares	LONE, ALLEN	3, 4, 6, 5	08/27/2012	10	0.045	1,524,000	1,000
Augusta Industries Inc. (formerly Fiber Optic Systems Technology, Inc.)	Common Shares	LONE, ALLEN	3, 4, 6, 5	08/31/2012	10	0.04	1,534,000	10,000
Aura Minerals Inc.	Common Shares	Rios, Gonzalo	5	08/22/2012	57		23,010	23,010
Aura Minerals Inc.	Common Shares	Rios, Gonzalo	5	08/28/2012	10	0.42	0	-23,010
Aura Minerals Inc.	Restricted Share Units	Rios, Gonzalo	5	08/22/2012	57		3,890	-23,010
Automodular Corporation (formerly Algonquin Mercantile)	Common Shares	Doyle, Travis	5	08/29/2012	10	1.9	72,576	-5,000

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Automodular Corporation (formerly Algonquin Mercantile)	Common Shares	Doyle, Travis	5	08/29/2012	10	1.89	67,576	-5,000
Automodular Corporation (formerly Algonquin Mercantile)	Common Shares	Doyle, Travis	5	08/29/2012	10	1.9	108,456	5,000
Avalon Rare Metals Inc.	Options	Ferry, Alan	4	08/25/2012	52		225,000	-50,000
Avalon Rare Metals Inc.	Options	Ferry, Alan	4	08/27/2012	50		275,000	50,000
BacTech Environmental Corporation	Common Shares	Gingerich, John Cater	4	08/29/2012	10		125,000	20,000
BacTech Environmental Corporation	Common Shares	Orr, Murray Ross	7	08/29/2012	10	0.145	861,000	8,500
BacTech Environmental Corporation	Common Shares	Salari, David John	5	08/29/2012	10	0.15	10,000	9,889
Bannerman Resources Limited	Options	Stanley, Geoffrey Douglas	4	09/01/2012	52		184,600	-1,000,000
Bannerman Resources Limited	Options	Tucker, David Cecil	4	09/01/2012	52		0	-250,000
Banro Corporation	Options	Nemeth, Naomi	5	09/01/2012	00		117,100	
Baytex Energy Corp.	Common Shares	Chan, Raymond Tatsun	4	08/27/2012	57	8.95	382,313	150,000
Baytex Energy Corp.	Common Shares	Chan, Raymond Tatsun	4	08/27/2012	10	46.1	335,113	-47,200
Baytex Energy Corp.	Common Shares	Chan, Raymond Tatsun	4	08/28/2012	10	45.79	282,313	-52,800
Baytex Energy Corp.	Incentive Rights	Chan, Raymond Tatsun	4	08/27/2012	57	19.58	75,000	-150,000
BCE Inc.	Preferred Shares AB	Brown, Robert Ellis	4	05/07/2009	00			
BCGold Corp.	Common Shares	Consolidated International Investment Holdings Inc.	3	08/24/2012	10	0.05	1,441,999	-308,000
BCGold Corp.	Common Shares	Le Bel, Guy	4	08/24/2012	16	0.05	1,259,000	400,000
BCGold Corp.	Warrants	Le Bel, Guy	4	08/24/2012	16		250,000	200,000
BELLUS Health Inc.	Options	BELLINI, FRANCESCO	4	05/25/2012	00			
BELLUS Health Inc.	Options	BELLINI, FRANCESCO	4	08/25/2012	50		270,000	270,000
BELLUS Health Inc.	Options	Bellini, Roberto	5	05/25/2012	00			
BELLUS Health Inc.	Options	Bellini, Roberto	5	08/25/2012	50		1,600,000	1,600,000
BELLUS Health Inc.	Options	BERGER, FRANKLIN MILAN	4	05/25/2012	00			
BELLUS Health Inc.	Options	BERGER, FRANKLIN MILAN	4	08/25/2012	50		150,000	150,000
BELLUS Health Inc.	Options	Cavell, Charles G.	4	05/25/2012	00			
BELLUS Health Inc.	Options	Cavell, Charles G.	4	08/25/2012	50		150,000	150,000
BELLUS Health Inc.	Options	Desjardins, Francois	5	05/25/2012	00			
BELLUS Health Inc.	Options	Desjardins, Francois	5	08/25/2012	50		400,000	400,000
BELLUS Health Inc.	Options	Fortin, HÚIÞne	4	05/25/2012	00			
BELLUS Health Inc.	Options	Fortin, HÚIÞne	4	08/25/2012	50		150,000	150,000
BELLUS Health Inc.	Options	Garceau, Denis	5	05/25/2012	00			
BELLUS Health Inc.	Options	Garceau, Denis	5	08/25/2012	50		550,000	550,000
BELLUS Health Inc.	Options	Larochelle, Pierre	4	05/25/2012	00			
BELLUS Health Inc.	Options	Larochelle, Pierre	4	08/25/2012	50		150,000	150,000
BELLUS Health Inc.	Options	Matzouranis, Tony	5	05/25/2012	00			
BELLUS Health Inc.	Options	Matzouranis, Tony	5	08/25/2012	50		400,000	400,000
BELLUS Health Inc.	Options	Olds, Donald John	4	05/25/2012	00			
BELLUS Health Inc.	Options	Olds, Donald John	4	08/25/2012	50		150,000	150,000
BELLUS Health Inc.	Options	Rus, Joseph	4	05/25/2012	00			
BELLUS Health Inc.	Options	Rus, Joseph	4	08/25/2012	50		150,000	150,000
BELLUS Health Inc.	Options	Tolar, Martin	4	05/25/2012	00			
BELLUS Health Inc.	Options	Tolar, Martin	4	08/25/2012	50		150,000	150,000
Benton Capital Corp.	Common Shares	Asselstine, Evan	5	08/27/2012	10	0.095	22,000	7,000
Benton Capital Corp.	Common Shares	Harper, William Sinclair	4	08/24/2012	10	0.095	210,666	2,000
Benton Capital Corp.	Common Shares	Stares, Michael	4	08/27/2012	10	0.1	2,235,766	100,000
Benton Capital Corp.	Common Shares	Stares, Stephen	4	08/27/2012	10	0.1	2,530,166	30,000
Benton Capital Corp.	Common Shares	Stares, Stephen	4	08/27/2012	10	0.1	685,750	400,000
Big Rock Brewery Inc.	Common Shares	Riddell, Clayton H.	3	08/28/2012	11	13.3	120,000	-30,000
Big Rock Brewery Inc.	Common Shares	Riddell, Clayton H.	3	08/28/2012	11	13.3	0	-120,000
Big Rock Brewery Inc.	Common Shares	Riddell, Clayton H.	3	08/28/2012	11	13.3	120,000	120,000
Big Rock Brewery Inc.	Common Shares	Riddell, Clayton H.	3	08/28/2012	11	13.3	639,500	30,000
Biosign Technologies Inc.	Options	Meretsky, Jason	4	08/22/2012	50	0.1	1,150,000	600,000
BioSyent Inc.	Common Shares	D'Souza, Alfred	5	08/24/2012	11		1,321,000	70,000
BioSyent Inc.	Common Shares	D'Souza, Alfred	5	08/24/2012	11		1,351,000	30,000
BioSyent Inc.	Common Shares	D'Souza, Alfred	5	08/24/2012	51	0.1	1,451,000	100,000
BioSyent Inc.	Common Shares - Options	D'Souza, Alfred	5	08/24/2012	51	0.1	95,000	-100,000
Black Diamond Group Limited	Common Shares	Stein, Steve	4, 5	08/24/2012	10		805,944	-25,000
Black Diamond Group Limited	Common Shares	Stein, Steve	4, 5	08/27/2012	10		795,944	-10,000
Blacksteel Energy Inc.	Options	Chen, Eugene	4, 5	08/24/2012	50	0.1	415,000	415,000
Blacksteel Energy Inc.	Options	McLean, Richard Gregory	4	09/06/2011	00			
Blacksteel Energy Inc.	Options	McLean, Richard Gregory	4	08/24/2012	50	0.1	415,000	415,000
Blacksteel Energy Inc.	Options	Scase, Christopher Darryl	4	08/24/2012	50	0.1	602,500	415,000
Blacksteel Energy Inc.	Options	Treitz, Leslie Walter	4	08/24/2012	50	0.1	415,000	415,000

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Boardwalk Real Estate Investment Trust	Trust Units	Burns, Patrick Dean	5	08/24/2012	10	65.6	7,759	-1,000
Boardwalk Real Estate Investment Trust	Trust Units	Guyette, Michael	5	08/31/2012	10	64.14	4,196	-500
Boardwalk Real Estate Investment Trust	Trust Units	Guyette, Michael	5	08/31/2012	10	64.12	4,100	-96
Bonaparte Resources Inc.	Common Shares	England, Michael Bruno John Franz	4	06/21/2012	00			
Bonaparte Resources Inc.	Common Shares	England, Michael Bruno John Franz	4	08/31/2012	16	0.1	100,000	100,000
Bonaparte Resources Inc.	Common Shares	England, Michael Bruno John Franz	4	08/31/2012	53	0.15	200,000	100,000
Bonaparte Resources Inc.	Common Shares	Saunders, Thomas Randall	3, 4, 5	08/27/2012	10	0.11	1,213,334	-10,000
Bonaparte Resources Inc.	Common Shares	Saunders, Thomas Randall	3, 4, 5	08/27/2012	10	0.1	963,334	-250,000
Bonaparte Resources Inc.	Common Shares	Saunders, Thomas Randall	3, 4, 5	08/30/2012	11		1,213,334	250,000
Bonaparte Resources Inc.	Warrants	Saunders, Thomas Randall	3, 4, 5	08/30/2012	11		295,000	250,000
Boston Pizza Royalties Income Fund	Units	Brown, William C	4, 5	08/28/2012	10	19.3	247	100
Boston Pizza Royalties Income Fund	Units	Brown, William C	4, 5	08/28/2012	10	19.3	265	100
Boston Pizza Royalties Income Fund	Units	Brown, William C	4, 5	08/28/2012	10	19.3	981	700
Boston Pizza Royalties Income Fund	Units	Brown, William C	4, 5	08/27/2012	10	19.15	19,985	1,000
Bowood Energy Inc.	Common Shares	Janisch, Matthew L.	5	07/05/2012	00			
Bowood Energy Inc.	Common Shares	Janisch, Matthew L.	5	07/05/2012	00		9,500	
Bowood Energy Inc.	Common Shares	Janisch, Matthew L.	5	08/02/2012	11	0.05		2,500,000
Bowood Energy Inc.	Common Shares	Janisch, Matthew L.	5	08/20/2012	37	1	134,500	125,000
Bowood Energy Inc.	Warrants	Janisch, Matthew L.	5	08/02/2012	11	0.065		2,500,000
Bowood Energy Inc.	Warrants	Janisch, Matthew L.	5	08/20/2012	37	1.3	125,000	125,000
Bowood Energy Inc.	Common Shares	Labelle, Curtis William	5	08/02/2012	11	0.05		500,000
Bowood Energy Inc.	Common Shares	Labelle, Curtis William	5	08/20/2012	37	1	25,000	25,000
Bowood Energy Inc.	Warrants	Labelle, Curtis William	5	08/02/2012	11	0.065		500,000
Bowood Energy Inc.	Warrants	Labelle, Curtis William	5	08/20/2012	37	1.3	25,000	25,000
Bowood Energy Inc.	Common Shares	Oliver, Mark Thomas	5	08/02/2012	11	0.05		1,000,000
Bowood Energy Inc.	Common Shares	Oliver, Mark Thomas	5	08/20/2012	37	1	50,000	50,000
Bowood Energy Inc.	Warrants	Oliver, Mark Thomas	5	08/02/2012	11	0.065		1,000,000
Bowood Energy Inc.	Warrants	Oliver, Mark Thomas	5	08/20/2012	37	1.3	50,000	50,000
Bowood Energy Inc.	Common Shares	Wee, William	5	08/02/2012	11	0.05		200,000
Bowood Energy Inc.	Common Shares	Wee, William	5	08/20/2012	37	1	10,000	10,000
Bowood Energy Inc.	Warrants	Wee, William	5	08/02/2012	11	0.065		200,000
Bowood Energy Inc.	Warrants	Wee, William	5	08/20/2012	37	1.3	10,000	10,000
Bowood Energy Inc.	Common Shares	Welykochy, James	4	08/02/2012	16	0.05		1,000,000
Bowood Energy Inc.	Common Shares	Welykochy, James	4	08/02/2012	16	1	50,000	50,000
Bowood Energy Inc.	Warrants	Welykochy, James	4	08/02/2012	16	0.065		1,000,000
Bowood Energy Inc.	Warrants	Welykochy, James	4	08/02/2012	16	1.3	50,000	50,000
Bowood Energy Inc.	Common Shares	Yanko, Trenton James	5	08/02/2012	11	0.05		2,500,000
Bowood Energy Inc.	Common Shares	Yanko, Trenton James	5	08/02/2012	11	0.05		20,000,000
Bowood Energy Inc.	Common Shares	Yanko, Trenton James	5	08/20/2012	37	1	1,000,000	1,000,000
Bowood Energy Inc.	Warrants	Yanko, Trenton James	5	08/02/2012	11	0.065		20,000,000
Bowood Energy Inc.	Warrants	Yanko, Trenton James	5	08/20/2012	37	1.3	1,000,000	1,000,000
Bowood Energy Inc.	Common Shares	Ziemer, Curtis Wade	5	08/02/2012	11	0.05		860,000
Bowood Energy Inc.	Common Shares	Ziemer, Curtis Wade	5	08/20/2012	37	1	43,000	43,000
Bowood Energy Inc.	Warrants	Ziemer, Curtis Wade	5	08/02/2012	11	0.065		860,000
Bowood Energy Inc.	Warrants	Ziemer, Curtis Wade	5	08/20/2012	37	1.3	43,000	43,000
Brand Leaders Income Fund	Units	Brand Leaders Income Fund	1	08/28/2012	10		800	800
Brand Leaders Income Fund	Units	Brand Leaders Income Fund	1	08/28/2012	38		0	-800
Brand Leaders Income Fund	Units	Brand Leaders Income Fund	1	08/29/2012	10		1,000	1,000
Brand Leaders Income Fund	Units	Brand Leaders Income Fund	1	08/29/2012	38		0	-1,000
Brand Leaders Income Fund	Units	Kovacs, Michael	4, 5	08/28/2012	10	11.21	8,300	100
Brand Leaders Income Fund	Units	Kovacs, Michael	4, 5	08/29/2012	10	11.35	8,500	200
Bri-Chem Corp.	Options	Sauze, Eric	4	08/23/2012	50	2.77	80,000	50,000
Brigus Gold Corp. (formerly Apollo Gold Corporation)	Options	Racine, Daniel	5	08/21/2012	00			
Brigus Gold Corp. (formerly Apollo Gold Corporation)	Options	Racine, Daniel	5	08/21/2012	50		1,000,000	1,000,000
Brixton Metals Corporation	Warrants	Consolidated International Investment Holdings Inc.	3	08/24/2012	10	0.0084	0	-263,157
Brixton Metals Corporation	Warrants	Consolidated International Investment Holdings Inc.	3	08/24/2012	10	0.0084	0	-1,973,684

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Brixton Metals Corporation	Warrants	Consolidated International Investment Holdings Inc.	3	08/24/2012	10	0.0084	200,000	-566,666
Brookfield Office Properties Inc.	Common Shares	Clark, Christie James Beckett	4	05/03/2012	00			
Brookfield Office Properties Inc.	Common Shares	Clark, Christie James Beckett	4	08/29/2012	10	16.748	1,100	1,100
BURCON NUTRASCIENCE CORPORATION	Common Shares	Cheng, Jade	5	08/27/2012	51	5.35	186,044	15,344
BURCON NUTRASCIENCE CORPORATION	Options	Cheng, Jade	5	08/27/2012	51	3.3	152,500	-40,000
BURCON NUTRASCIENCE CORPORATION	Common Shares	Law, Dorothy Kam To	5	08/24/2012	51	3.3	256,326	15,419
BURCON NUTRASCIENCE CORPORATION	Options	Law, Dorothy Kam To	5	08/24/2012	51	3.3	152,500	-40,000
BURCON NUTRASCIENCE CORPORATION	Common Shares	Tergesen, Johann Franz	4, 5, 2	08/24/2012	51	3.3	110,261	30,839
BURCON NUTRASCIENCE CORPORATION	Options	Tergesen, Johann Franz	4, 5, 2	08/24/2012	51	3.3	190,000	-80,000
C.A. Bancorp Inc.	Common Shares	The K2 Principal Fund L.P.	3	08/24/2012	10	2.87	1,440,500	8,000
C.A. Bancorp Inc.	Common Shares	The K2 Principal Fund L.P.	3	08/27/2012	10	2.9	1,441,500	1,000
C2C Industrial Properties Inc. (formerly Sargasso Capital Corporation)	Options	MacNeil, Michael John	4	08/28/2012	00			
C2C Industrial Properties Inc. (formerly Sargasso Capital Corporation)	Options	MacNeil, Michael John	4	08/29/2012	50		7,000	7,000
Caledonia Mining Corporation	Common Shares	Inwentash, Sheldon	3	08/28/2012	10	0.1	51,796,000	150,000
Caledonia Mining Corporation	Common Shares	Pinetree Capital Ltd.	3	08/28/2012	10	0.1	51,796,000	150,000
Calian Technologies Ltd.	Common Shares	Calian Technologies Ltd	1	08/21/2012	38	20.5	1,000	1,000
Calian Technologies Ltd.	Common Shares	Calian Technologies Ltd	1	08/21/2012	38		0	-1,000
Calloway Real Estate Investment Trust	Limited Partnership Units Class C Series 3 Partnership Units	Goldhar, Mitchell	3	08/29/2012	11	20.1	711,777	-3,996
Calloway Real Estate Investment Trust	Limited Partnership Units Class F Series 3 Limited Partnership Units	Goldhar, Mitchell	3	08/29/2012	11	20.1	3,996	3,996
Calloway Real Estate Investment Trust	Trust Units	Pshebniski, Kevin Brent	4	05/28/2012	10	28.52	10,430	-920
Canaccord Financial Inc.	Common Shares	Reynolds, Paul David	7	08/24/2012	10	4.7955	966,774	14,500
Canada Lithium Corp.	Common Shares	Cudney, Robert Douglas	4	08/23/2012	10	0.54	526,000	20,000
Canada Lithium Corp.	Common Shares	Cudney, Robert Douglas	4	08/21/2012	10	0.55		25,000
Canada Lithium Corp.	Common Shares	Cudney, Robert Douglas	4	08/21/2012	10	0.55	21,034,000	25,000
Canada Lithium Corp.	Common Shares	Cudney, Robert Douglas	4	08/23/2012	10	0.54		20,000
Canada Lithium Corp.	Common Shares	Fairbairn, James Neville	5	06/01/2000	00			
Canada Lithium Corp.	Common Shares	Fairbairn, James Neville	5	08/24/2012	10	0.64	12,500	12,500
Canadian Helicopters Group Inc.	Common Shares	Morton, Simon William	5	08/27/2012	10	26.2467	14,440	-3,000
Canadian Helicopters Group Inc.	Common Shares	Morton, Simon William	5	08/28/2012	10	26.2	13,140	-1,300
Canadian Oil Recovery & Remediation Enterprises Ltd.	Common Shares	Lorenzo, John Michael	4	08/30/2012	90			-580,000
Canadian Oil Recovery & Remediation Enterprises Ltd.	Common Shares	Lorenzo, John Michael	4	08/30/2012	90		3,334,342	-560,000
Canadian Orebodies Inc.	Common Shares	Dundee Corporation	3	08/24/2012	00		5,000,000	
Canadian Orebodies Inc.	Common Shares	Dundee Corporation	3	08/24/2012	00			
Canadian Orebodies Inc.	Common Shares	Dundee Corporation	3	08/24/2012	11	0.2	5,000,000	5,000,000
Canadian Orebodies Inc.	Warrants	Dundee Corporation	3	08/24/2012	00		2,500,000	
Canadian Orebodies Inc.	Warrants	Dundee Corporation	3	08/24/2012	00			
Canadian Orebodies Inc.	Warrants	Dundee Corporation	3	08/24/2012	11	0.3	2,500,000	2,500,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70.6	964,088	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70.6	963,688	-400
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70.45	963,588	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70.45	963,388	-200
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70.17	963,288	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70.17	963,188	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70.17	963,088	-100

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Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70.45	962,988	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70.45	962,888	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70.45	962,788	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70.18	962,688	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70.18	962,588	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70.18	962,488	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70.2	962,388	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70.13	962,288	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70.13	962,088	-200
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70.08	961,088	-1,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	69.74	960,988	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	69.7	960,888	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	69.7	960,788	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	69.65	960,688	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	69.65	960,588	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	69.66	960,488	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	69.66	960,388	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	69.66	960,288	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	69.7	959,788	-500
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	69.7	959,688	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	69.82	959,588	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	69.8	959,488	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	69.8	959,388	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	69.8	959,288	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	69.8	959,188	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	69.86	959,088	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	69.86	958,988	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	69.86	958,888	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	69.86	958,688	-200
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	69.86	958,488	-200
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	69.86	958,188	-300
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70	958,088	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70	957,888	-200
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70	957,788	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70	957,688	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70	957,588	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70	957,488	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70	957,388	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70	957,288	-100

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70	957,188	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Collver, Robyn Anne	3, 7, 5	08/27/2012	10	70	957,088	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70.6	964,088	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70.6	963,688	-400
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70.45	963,588	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70.45	963,388	-200
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70.17	963,288	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70.17	963,188	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70.17	963,088	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70.45	962,988	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70.45	962,888	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70.45	962,788	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70.18	962,688	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70.18	962,588	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70.18	962,488	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70.2	962,388	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70.13	962,288	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70.13	962,088	-200
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70.08	961,088	-1,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	69.74	960,988	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	69.7	960,888	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	69.7	960,788	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	69.65	960,688	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	69.65	960,588	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	69.66	960,488	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	69.66	960,388	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	69.66	960,288	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	69.7	959,788	-500
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	69.7	959,688	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	69.82	959,588	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	69.8	959,488	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	69.8	959,388	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	69.8	959,288	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	69.8	959,188	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	69.86	959,088	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	69.86	958,988	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	69.86	958,888	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	69.86	958,688	-200
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	69.86	958,488	-200

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	69.86	958,188	-300
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70	958,088	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70	957,888	-200
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70	957,788	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70	957,688	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70	957,588	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70	957,488	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70	957,388	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70	957,288	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70	957,188	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Lynar, Hugh	3	08/27/2012	10	70	957,088	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70.6	964,088	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70.6	963,688	-400
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70.45	963,588	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70.45	963,388	-200
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70.17	963,288	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70.17	963,188	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70.17	963,088	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70.45	962,988	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70.45	962,888	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70.45	962,788	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70.18	962,688	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70.18	962,588	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70.18	962,488	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70.2	962,388	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70.13	962,288	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70.13	962,088	-200
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70.08	961,088	-1,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	69.74	960,988	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	69.7	960,888	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	69.7	960,788	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	69.65	960,688	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	69.65	960,588	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	69.66	960,488	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	69.66	960,388	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	69.66	960,288	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	69.7	959,788	-500
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	69.7	959,688	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	69.82	959,588	-100

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	69.8	959,488	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	69.8	959,388	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	69.8	959,288	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	69.8	959,188	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	69.86	959,088	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	69.86	958,988	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	69.86	958,888	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	69.86	958,688	-200
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	69.86	958,488	-200
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	69.86	958,188	-300
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70	958,088	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70	957,888	-200
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70	957,788	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70	957,688	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70	957,588	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70	957,488	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70	957,388	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70	957,288	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70	957,188	-100
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	McCann, Dean Charles	5	08/27/2012	10	70	957,088	-100
Canadian Tire Corporation, Limited	Options	Turner, Mary Louise	7, 5	08/24/2012	59		26,964	-4,766
Cancen Oil Canada Inc.	Debentures 12% secured subordinated convertible debentures	Scase, Christopher Darryl	5	05/29/2012	00			
Cancen Oil Canada Inc.	Debentures 12% secured subordinated convertible debentures	Scase, Christopher Darryl	5	05/29/2012	00			
Cancen Oil Canada Inc.	Debentures 12% secured subordinated convertible debentures	Scase, Christopher Darryl	5	05/29/2012	00			
Cancen Oil Canada Inc.	Debentures 12% secured subordinated convertible debentures	Scase, Christopher Darryl	5	08/30/2012	16	0.6	$20,000	$20,000
Candente Copper Corp.	Common Shares	Pitirri, Anthony	5	08/15/2012	00			
Candente Copper Corp.	Warrants	Pitirri, Anthony	5	08/15/2012	00			
Candente Copper Corp.	Common Shares	Waller, Sean Ivor	4, 5	08/20/2012	51	0.3	180,000	75,000
Candente Copper Corp.	Common Shares	Waller, Sean Ivor	4, 5	08/20/2012	51	0.42		75,000
Candente Copper Corp.	Options	Waller, Sean Ivor	4, 5	08/20/2012	51	0.42		75,000
Candente Copper Corp.	Options	Waller, Sean Ivor	4, 5	08/20/2012	51	0.42		-75,000
Candente Copper Corp.	Options	Waller, Sean Ivor	4, 5	08/20/2012	51	0.3	1,075,000	-75,000
Candente Gold Corp.	Common Shares	Pitirri, Anthony	5	08/15/2012	00			
Candente Gold Corp.	Warrants	Pitirri, Anthony	5	08/15/2012	00			
Candente Gold Corp.	Common Shares	Waller, Sean Ivor	5	08/20/2012	51	0.51	68,500	15,000
Candente Gold Corp.	Options	Waller, Sean Ivor	5	08/20/2012	51	0.51	385,000	-15,000
Canexus Corporation	Common Shares	McLellan, Richard Thomas	5	08/28/2012	51	5.19	67,307	25,250
Canexus Corporation	Common Shares	McLellan, Richard Thomas	5	08/28/2012	57		73,831	6,524
Canexus Corporation	Common Shares	McLellan, Richard Thomas	5	08/28/2012	10	7.725	66,831	-7,000
Canexus Corporation	Common Shares	McLellan, Richard Thomas	5	08/29/2012	10	7.72	59,831	-7,000
Canexus Corporation	Common Shares	McLellan, Richard Thomas	5	08/30/2012	10	7.72	42,057	-17,774
Canexus Corporation	Options	McLellan, Richard Thomas	5	08/28/2012	51	5.19	197,750	-25,250
Canexus Corporation	Rights Options Bonus Rights	McLellan, Richard Thomas	5	08/28/2012	57		248,082	-6,524
Canfor Corporation	Common Shares	Calabrigo, David M.	7, 5	12/23/2011	10	10.3733	11,900	-12,100
Canfor Corporation	Common Shares	Calabrigo, David M.	7, 5	12/23/2011	10	10.33	8,000	-3,900
Canfor Corporation	Common Shares	Calabrigo, David M.	7, 5	12/29/2011	10	10.3733		-12,100
Canfor Corporation	Common Shares	Calabrigo, David M.	7, 5	08/24/2012	10	12.75	0	-8,000
Canfor Pulp Products Inc.	Common Shares	Cliff, Ronald Laird	4, 6	08/27/2012	10	9.24	15,080	6,100
Canfor Pulp Products Inc.	Common Shares	Cliff, Ronald Laird	4, 6	08/27/2012	10	9.2	16,580	1,500

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Canfor Pulp Products Inc.	Common Shares	Cliff, Ronald Laird	4, 6	08/27/2012	10	9.21	17,680	1,100
Canfor Pulp Products Inc.	Common Shares	Cliff, Ronald Laird	4, 6	08/27/2012	10	9.22	18,280	600
Canfor Pulp Products Inc.	Common Shares	Cliff, Ronald Laird	4, 6	08/27/2012	10	9.23	18,980	700
CanWel Building Materials Group Ltd. (formerly, Canwel Holdings Corporation)	Common Shares	Doman, Amardeip Singh	4	08/27/2012	10	2.57	15,200,933	271,200
Canyon Services Group Inc.	Common Shares	Peskunowicz, Adolph Joseph John	5	08/29/2012	51	2.43	125,900	53,300
Canyon Services Group Inc.	Common Shares	Peskunowicz, Adolph Joseph John	5	08/29/2012	10	10.8	123,100	-2,800
Canyon Services Group Inc.	Common Shares	Peskunowicz, Adolph Joseph John	5	08/29/2012	10	10.75	121,600	-1,500
Canyon Services Group Inc.	Common Shares	Peskunowicz, Adolph Joseph John	5	08/29/2012	10	10.73	116,300	-5,300
Canyon Services Group Inc.	Common Shares	Peskunowicz, Adolph Joseph John	5	08/29/2012	10	10.7	105,900	-10,400
Canyon Services Group Inc.	Options	Peskunowicz, Adolph Joseph John	5	08/29/2012	51	2.43	317,900	-53,300
Capital Power Corporation	Common Shares	DeNeve, Bryan	5	08/30/2012	10	22.04	3,449	510
Capstone Mining Corp.	Deferred Share Units	Bell, Larry I.	4	11/24/2008	00			
Capstone Mining Corp.	Deferred Share Units	Bell, Larry I.	4	08/24/2012	56	2.53	29,644	29,644
Capstone Mining Corp.	Common Shares	Giardini, Tony Serafino	5	08/27/2012	00		100,000	
Capstone Mining Corp.	Options	Giardini, Tony Serafino	5	08/27/2012	00		500,000	
Capstone Mining Corp.	Performance Share Units	Giardini, Tony Serafino	5	08/27/2012	00		39,370	
Capstone Mining Corp.	Restricted Share Units	Giardini, Tony Serafino	5	08/27/2012	00		39,370	
Capstone Mining Corp.	Deferred Share Units	Peniuk, Dale Canfield	4	05/19/2009	00			
Capstone Mining Corp.	Deferred Share Units	Peniuk, Dale Canfield	4	08/24/2012	56	2.53	29,644	29,644
Cardiff Energy Corp.	Common Shares	Torhjelm, Lorne Allan	4	08/24/2012	10		2,112,571	10,000
Cardiome Pharma Corp.	Options	Roberts, Peter W	4	08/22/2012	52	13.93	185,000	-15,000
Cardiome Pharma Corp.	Options	Shlevin, Harold	4	08/22/2012	52	13.93	185,000	-15,000
Carmanah Technologies Corporation	Common Shares	Sonnenfeldt, Michael William	3	08/28/2012	11	0.45	9,537,778	2,017,278
Cascadero Copper Corporation	Options	Denega, Michael	4	12/01/2004	00			
Cascadero Copper Corporation	Options	Denega, Michael	4	08/24/2012	50	0.12	300,000	300,000
Cascadero Copper Corporation	Options	Denega, Michael	4	08/24/2012	50	0.1	800,000	500,000
Cascadero Copper Corporation	Options	Denega, Michael	4	08/24/2012	50	0.25	1,050,000	250,000
Catch the Wind Ltd.	Special Warrants	Belen, Jr., Frederick Christopher	5	11/14/2011	00			
Catch the Wind Ltd.	Special Warrants	Belen, Jr., Frederick Christopher	5	08/24/2012	16	0.06	531,458	531,458
Catch the Wind Ltd.	Special Warrants	Crotty, Martin Charles	4	03/01/2010	00			
Catch the Wind Ltd.	Special Warrants	Crotty, Martin Charles	4	08/24/2012	16	0.06		164,883
Catch the Wind Ltd.	Special Warrants	Crotty, Martin Charles	4	08/24/2012	16	0.06	164,883	164,883
Catch the Wind Ltd.	Special Warrants	Fetzer, William	5	09/18/2008	00			
Catch the Wind Ltd.	Special Warrants	Fetzer, William	5	08/24/2012	16	0.06	379,232	379,232
Catch the Wind Ltd.	Special Warrants	Garman, David Kline	4	09/18/2008	00			
Catch the Wind Ltd.	Special Warrants	Garman, David Kline	4	08/24/2012	16	0.06		247,325
Catch the Wind Ltd.	Special Warrants	Garman, David Kline	4	08/24/2012	16	0.06	247,325	247,325
Catch the Wind Ltd.	Special Warrants	Green, John Edward	5	11/14/2011	00			
Catch the Wind Ltd.	Special Warrants	Green, John Edward	5	08/24/2012	16	0.06		1,716,666
Catch the Wind Ltd.	Special Warrants	Green, John Edward	5	08/24/2012	16	0.06		1,716,666
Catch the Wind Ltd.	Special Warrants	Green, John Edward	5	08/24/2012	16	0.06	1,698,298	1,698,298
Catch the Wind Ltd.	Special Warrants	Jaques, Claudia	5	10/27/2008	00			
Catch the Wind Ltd.	Special Warrants	Jaques, Claudia	5	08/24/2012	16	0.06		292,530
Catch the Wind Ltd.	Special Warrants	Jaques, Claudia	5	08/24/2012	16	0.06	292,530	292,530
Catch the Wind Ltd.	Special Warrants	Lacroix, Daniel	5	01/05/2009	00			
Catch the Wind Ltd.	Special Warrants	Lacroix, Daniel	5	08/24/2012	16	0.06	388,532	388,532
Catch the Wind Ltd.	Special Warrants	Major, Jo Stephen, Jr.	4, 5	05/20/2011	00			
Catch the Wind Ltd.	Special Warrants	Major, Jo Stephen, Jr.	4, 5	08/24/2012	16	0.06	3,297,667	3,297,667
Catch the Wind Ltd.	Special Warrants	Nickey, Susan Donath	4	05/20/2011	00			
Catch the Wind Ltd.	Special Warrants	Nickey, Susan Donath	4	08/24/2012	16	0.06	350,377	350,377
Cathedral Energy Services Ltd.	Common Shares	Cathedral Energy Services Ltd.	1	08/28/2012	38	5.6943	0	-66,966
Cayden Resources Inc.	Common Shares	Wallace, Shawn	6	08/27/2012	47	0.98	3,175,000	-100,000
Centamin plc	Ordinary Shares	Haslam, Gordon Edward	4	08/29/2012	10	0.76	102,056	52,056
Centerra Gold Inc.	Units Performance Share Units	Atkinson, Ian	5	08/30/2012	56		61,633	272
Centerra Gold Inc.	Units Performance Share Units	Colquhoun, Ronald Hugh	5	08/30/2012	56		52,685	233
Centerra Gold Inc.	Units Deferred Share Units	Connor, Richard Webster	4	08/30/2012	56	4		4
Centerra Gold Inc.	Units Deferred Share Units	Connor, Richard Webster	4	08/30/2012	56	4		4

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Centerra Gold Inc.	Units Deferred Share Units	Connor, Richard Webster	4	08/30/2012	56	8.22	750	4
Centerra Gold Inc.	Units Performance Share Units	Drielsma, Stephen Johan Hankes	7	08/30/2012	56		4,451	20
Centerra Gold Inc.	Units Performance Share Units	Fischer, Michael	7	08/30/2012	56		5,328	24
Centerra Gold Inc.	Rights Restricted Share Units	Girard, Raphael Arthur	4	08/30/2012	56	8.22		5
Centerra Gold Inc.	Rights Restricted Share Units	Girard, Raphael Arthur	4	08/30/2012	56	8.22		5
Centerra Gold Inc.	Rights Restricted Share Units	Girard, Raphael Arthur	4	08/30/2012	56	8.22	11,736	57
Centerra Gold Inc.	Units Deferred Share Units	Girard, Raphael Arthur	4	08/30/2012	56	8.22	967	5
Centerra Gold Inc.	Units Performance Share Units	Groves, David Alan	5	08/30/2012	56		6,255	56
Centerra Gold Inc.	Common Shares	Herbert, Frank Hamilton	5	08/31/2012	10	7.69	25,000	5,000
Centerra Gold Inc.	Units Performance Share Units	Herbert, Frank Hamilton	5	08/30/2012	56		44,121	195
Centerra Gold Inc.	Rights Restricted Share Units	Ibraev, Karybek	4	08/30/2012	56	8.22	9,394	46
Centerra Gold Inc.	Units Deferred Share Units	Ibraev, Karybek	4	08/30/2012	56	8.22	1,012	5
Centerra Gold Inc.	Units Performance Share Units	Kazakoff, John	4	08/30/2012	56		10,114	45
Centerra Gold Inc.	Units Performance Share Units	Krahn, Doug	7	08/30/2012	56		2,521	11
Centerra Gold Inc.	Units Performance Share Units	Kwong, Dennis	5	08/30/2012	56		30,647	131
Centerra Gold Inc.	Rights Restricted Share Units	Lang, Stephen A.	5	08/30/2012	56	8.22	2,749	13
Centerra Gold Inc.	Units Performance Share Units	Lang, Stephen A.	5	08/30/2012	56		137,280	606
Centerra Gold Inc.	Rights Restricted Share Units	Lill, John W.	4	08/30/2012	56	8.22		18
Centerra Gold Inc.	Rights Restricted Share Units	Lill, John W.	4	08/30/2012	56	8.22		18
Centerra Gold Inc.	Rights Restricted Share Units	Lill, John W.	4	08/30/2012	56	8.22	9,394	46
Centerra Gold Inc.	Units Deferred Share Units	Lill, John W.	4	08/30/2012	56	8.22	3,651	18
Centerra Gold Inc.	Common Shares	Meade, Anthony	5	08/01/2012	00			
Centerra Gold Inc.	Common Shares	Meade, Anthony	5	08/31/2012	10	7.3	3,000	3,000
Centerra Gold Inc.	Units Performance Share Units	Meade, Anthony	5	08/30/2012	56		2,899	13
Centerra Gold Inc.	Rights Restricted Share Units	Muraliev, Amangeldy	4	08/30/2012	56	8.22	9,394	46
Centerra Gold Inc.	Units Deferred Share Units	Muraliev, Amangeldy	4	08/30/2012	56	8.22	911	4
Centerra Gold Inc.	Units Performance Share Units	Parr, Jeffrey Scott	5	08/30/2012	56		54,151	239
Centerra Gold Inc.	Units Performance Share Units	Pearson, John William	5	08/30/2012	56		13,429	59
Centerra Gold Inc.	Units Deferred Share Units	Pressler, Sheryl	4	08/30/2012	56	138		138
Centerra Gold Inc.	Units Deferred Share Units	Pressler, Sheryl	4	08/30/2012	56	138		138
Centerra Gold Inc.	Units Deferred Share Units	Pressler, Sheryl	4	08/30/2012	56	8.22	28,601	138
Centerra Gold Inc.	Units Performance Share Units	Reid, Gordon Dunlop	7	08/30/2012	56		25,923	114
Centerra Gold Inc.	Units Deferred Share Units	Rogers, Terry Vernon	6	08/30/2012	56	149		149
Centerra Gold Inc.	Units Deferred Share Units	Rogers, Terry Vernon	6	08/30/2012	56	149		149
Centerra Gold Inc.	Units Deferred Share Units	Rogers, Terry Vernon	6	08/30/2012	56	8.22	30,761	149
Centerra Gold Inc.	Units Performance Share Units	Suter, John William	7	08/30/2012	56		2,510	11
Centerra Gold Inc.	Rights Restricted Share Units	Walter, Bruce V.	4	08/30/2012	56	8.22	7,828	38
Centerra Gold Inc.	Units Deferred Share Units	Walter, Bruce V.	4	08/30/2012	56	8.22	24,106	117
Centerra Gold Inc.	Rights Restricted Share Units	Webb, Anthony John	4	08/30/2012	56	8.22	9,394	46
Centerra Gold Inc.	Units Deferred Share Units	Webb, Anthony John	4	08/30/2012	56	8.22	55,413	268
Century Iron Mines Corporation	Common Shares	Chim, Sandy Chun Kwan	4, 6, 7, 5	05/18/2011	00		3,400	

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Cequence Energy Ltd.	Common Shares	Archibald, Donald	4	08/29/2012	10	1.239	2,061,648	200,000
Cequence Energy Ltd.	Options	Cook, Robert	4	08/23/2012	50	1.25		20,000
Cequence Energy Ltd.	Options	Cook, Robert	4	08/23/2012	50	1.25		20,000
Cequence Energy Ltd.	Options	Cook, Robert	4	08/23/2012	50	1.25		20,000
Cequence Energy Ltd.	Options	Cook, Robert	4	08/23/2012	50	1.25		20,000
Cequence Energy Ltd.	Options	Cook, Robert	4	08/23/2012	50	1.25		20,000
Cequence Energy Ltd.	Options	Cook, Robert	4	08/23/2012	50	1.25		20,000
Cequence Energy Ltd.	Options	Cook, Robert	4	08/23/2012	50	1.25		60,000
Cequence Energy Ltd.	Options	Cook, Robert	4	08/23/2012	50	1.25		60,000
Cequence Energy Ltd.	Options	Cook, Robert	4	08/31/2012	50	1.24	202,500	60,000
Cequence Energy Ltd.	Common Shares	Crone, Howard James	4, 5	07/30/2009	00			
Cequence Energy Ltd.	Common Shares	Crone, Howard James	4, 5	08/24/2012	10	1.26	7,800	7,800
Cequence Energy Ltd.	Common Shares	Crone, Howard James	4, 5	07/30/2009	00			
Cequence Energy Ltd.	Common Shares	Crone, Howard James	4, 5	08/24/2012	10	1.26	7,800	7,800
CGI Group Inc.	Subordinate Voting Shares Classe A	Boyajian, Mark	5	08/24/2012	10	25.8994	724	-390
CGI Group Inc.	Options	Brassard, Jean	4	08/29/2012	51	6.7	39,164	-4,000
CGI Group Inc.	Options	Brassard, Jean	4	08/29/2012	51	6.05	35,164	-4,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Brassard, Jean	4	05/10/2011	10	20.5814		-95,840
CGI Group Inc.	Subordinate Voting Shares Classe A	Brassard, Jean	4	05/10/2011	10	20.5814	360	-95,480
CGI Group Inc.	Subordinate Voting Shares Classe A	Brassard, Jean	4	08/29/2012	51	6.7	4,360	4,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Brassard, Jean	4	08/29/2012	51	6.05	8,360	4,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Brassard, Jean	4	08/29/2012	10	25.5009	360	-8,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Brassard, Jean	4	08/29/2012	47		0	-360
CGI Group Inc.	Subordinate Voting Shares Classe A	Brassard, Jean	4	08/29/2012	10	25.515	173,458	-50,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Brassard, Jean	4	08/29/2012	10	25.5534	123,458	-50,000
CGI Group Inc.	Options	Chevrier, Robert	4	08/30/2012	51	7.23	86,376	-783
CGI Group Inc.	Options	Chevrier, Robert	4	08/30/2012	51	7.78	85,283	-1,093
CGI Group Inc.	Options	Chevrier, Robert	4	08/30/2012	51	8.64	84,299	-984
CGI Group Inc.	Options	Chevrier, Robert	4	08/30/2012	51	8.7	83,322	-977
CGI Group Inc.	Options	Chevrier, Robert	4	08/30/2012	51	8.91	82,368	-954
CGI Group Inc.	Options	Chevrier, Robert	4	08/30/2012	51	8.02	81,308	-1,060
CGI Group Inc.	Options	Chevrier, Robert	4	08/30/2012	51	7.14	79,308	-2,000
CGI Group Inc.	Options	Chevrier, Robert	4	08/30/2012	51	7.8	78,219	-1,089
CGI Group Inc.	Options	Chevrier, Robert	4	08/30/2012	51	7.81	74,219	-4,000
CGI Group Inc.	Options	Chevrier, Robert	4	08/30/2012	51	8.5	70,219	-4,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Chevrier, Robert	4	05/21/2003	00			
CGI Group Inc.	Subordinate Voting Shares Classe A	Chevrier, Robert	4	08/30/2012	51	7.23	783	783
CGI Group Inc.	Subordinate Voting Shares Classe A	Chevrier, Robert	4	08/30/2012	51	7.78	1,876	1,093
CGI Group Inc.	Subordinate Voting Shares Classe A	Chevrier, Robert	4	08/30/2012	51	8.64	2,860	984
CGI Group Inc.	Subordinate Voting Shares Classe A	Chevrier, Robert	4	08/30/2012	51	8.7	3,837	977
CGI Group Inc.	Subordinate Voting Shares Classe A	Chevrier, Robert	4	08/30/2012	51	8.91	4,791	954
CGI Group Inc.	Subordinate Voting Shares Classe A	Chevrier, Robert	4	08/30/2012	51	8.02	5,851	1,060
CGI Group Inc.	Subordinate Voting Shares Classe A	Chevrier, Robert	4	08/30/2012	51	7.14	7,851	2,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Chevrier, Robert	4	08/30/2012	51	7.8	8,940	1,089
CGI Group Inc.	Subordinate Voting Shares Classe A	Chevrier, Robert	4	08/30/2012	51	7.81	12,940	4,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Chevrier, Robert	4	08/30/2012	51	8.5	16,940	4,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Chevrier, Robert	4	08/30/2012	10	25.6006	0	-16,940
CGI Group Inc.	Options	Imbeau, AndrÚ	5	08/29/2012	51	8.55	664,303	-112,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Imbeau, AndrÚ	5	08/29/2012	51	8.55	322,160	112,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Imbeau, AndrÚ	5	08/29/2012	10	25.6497	210,160	-112,000
CGI Group Inc.	Options	Marcoux, Claude	5	08/29/2012	51	6.69	365,625	-5,563
CGI Group Inc.	Options	Marcoux, Claude	5	08/29/2012	51	9.31	336,188	-29,437
CGI Group Inc.	Options	Marcoux, Claude	5	08/29/2012	51	9.31	296,188	-40,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Marcoux, Claude	5	08/29/2012	51	6.69	77,763	5,563

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CGI Group Inc.	Subordinate Voting Shares Classe A	Marcoux, Claude	5	08/29/2012	10	25.55	72,200	-5,563
CGI Group Inc.	Subordinate Voting Shares Classe A	Marcoux, Claude	5	08/29/2012	51	9.31	101,637	29,437
CGI Group Inc.	Subordinate Voting Shares Classe A	Marcoux, Claude	5	08/29/2012	10	25.5	72,200	-29,437
CGI Group Inc.	Subordinate Voting Shares Classe A	Marcoux, Claude	5	08/29/2012	51	9.31	112,200	40,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Marcoux, Claude	5	08/29/2012	10	25.6	72,200	-40,000
CGI Group Inc.	Options	Montes Ortega, Antonio Javier	5	08/30/2012	51	12.54	6,060	-470
CGI Group Inc.	Options	Montes Ortega, Antonio Javier	5	08/30/2012	51	8.55	5,310	-750
CGI Group Inc.	Options	Montes Ortega, Antonio Javier	5	08/30/2012	51	11.39	4,172	-1,138
CGI Group Inc.	Options	Montes Ortega, Antonio Javier	5	08/30/2012	51	9.31	3,234	-938
CGI Group Inc.	Subordinate Voting Shares Classe A	Montes Ortega, Antonio Javier	5	04/13/2011	00			
CGI Group Inc.	Subordinate Voting Shares Classe A	Montes Ortega, Antonio Javier	5	08/30/2012	51	12.54	470	470
CGI Group Inc.	Subordinate Voting Shares Classe A	Montes Ortega, Antonio Javier	5	08/30/2012	51	8.55	1,220	750
CGI Group Inc.	Subordinate Voting Shares Classe A	Montes Ortega, Antonio Javier	5	08/30/2012	51	11.39	2,358	1,138
CGI Group Inc.	Subordinate Voting Shares Classe A	Montes Ortega, Antonio Javier	5	08/30/2012	51	9.31	3,296	938
CGI Group Inc.	Subordinate Voting Shares Classe A	Montes Ortega, Antonio Javier	5	08/30/2012	10	25.6022	0	-3,296
CGX Energy Inc.	Common Shares	Arata, Jose Francisco	4, 6	10/19/2011	00			
CGX Energy Inc.	Common Shares	Arata, Jose Francisco	4, 6	06/28/2012	00			
CGX Energy Inc.	Common Shares	De La Campa, Miguel Angel	6	10/19/2011	00			
CGX Energy Inc.	Common Shares	De La Campa, Miguel Angel	6	10/19/2011	00			
CGX Energy Inc.	Common Shares	De La Campa, Miguel Angel	6	03/08/2012	10	1.29	15,000	15,000
CGX Energy Inc.	Common Shares	De La Campa, Miguel Angel	6	03/08/2012	10	1.3	75,000	60,000
CGX Energy Inc.	Common Shares	De La Campa, Miguel Angel	6	05/08/2012	10	0.395	100,000	25,000
CGX Energy Inc.	Common Shares	De La Campa, Miguel Angel	6	05/08/2012	10	0.4	150,000	50,000
CGX Energy Inc.	Common Shares	De La Campa, Miguel Angel	6	05/08/2012	10	0.405	175,000	25,000
CGX Energy Inc.	Common Shares	De La Campa, Miguel Angel	6	05/08/2012	10	0.415	200,000	25,000
CGX Energy Inc.	Common Shares	De La Campa, Miguel Angel	6	07/09/2012	00			
CGX Energy Inc.	Common Shares	Efromovich, German	6	10/19/2011	00			
CGX Energy Inc.	Common Shares	Efromovich, German	6	07/09/2012	00			
CGX Energy Inc.	Common Shares	Iacono, Serafino	6	10/19/2011	00			
CGX Energy Inc.	Common Shares	Iacono, Serafino	6	07/09/2012	00			
CGX Energy Inc.	Common Shares	Lopez, Augusto	6	10/19/2011	00			
CGX Energy Inc.	Common Shares	Lopez, Augusto	6	07/09/2012	00			
CGX Energy Inc.	Common Shares	Mills, Dennis Joseph	6	02/13/2012	00			
CGX Energy Inc.	Common Shares	Mills, Dennis Joseph	6	07/09/2012	00			
CGX Energy Inc.	Common Shares	Pantin, Ronald	6	10/19/2011	00			
CGX Energy Inc.	Common Shares	Pantin, Ronald	6	07/09/2012	00			
CGX Energy Inc.	Common Shares	Perez Olmedo, Carlos	6	10/19/2011	00			
CGX Energy Inc.	Common Shares	Perez Olmedo, Carlos	6	07/09/2012	00			
CGX Energy Inc.	Common Shares	Rivera Giraldo, Victor German	6	10/19/2011	00			
CGX Energy Inc.	Common Shares	Rivera Giraldo, Victor German	6	07/09/2012	00			
CGX Energy Inc.	Common Shares	Sole Franco, Francisco	6	02/13/2012	00			
CGX Energy Inc.	Common Shares	Sole Franco, Francisco	6	07/09/2012	00			
Chesswood Group Limited	Common Shares	Obront, David Mitchell Aaron	4	08/24/2012	10	8.3	91,039	-9,200
Chorus Aviation Inc.	Class B Voting Shares	Whitehead, Douglas William Geoffrey	6	01/03/2012	00			
Chorus Aviation Inc.	Class B Voting Shares	Whitehead, Douglas William Geoffrey	6	08/31/2012	10	3.65	5,000	5,000
Chorus Aviation Inc.	Class B Voting Shares	Whitehead, Douglas William Geoffrey	6	08/31/2012	10	3.66	11,500	6,500
Chorus Aviation Inc.	Class B Voting Shares	Whitehead, Douglas William Geoffrey	6	08/31/2012	10	3.67	17,900	6,400
Chorus Aviation Inc.	Class B Voting Shares	Whitehead, Douglas William Geoffrey	6	08/31/2012	10	3.69	20,000	2,100
CI Financial Corp.	Common Shares	Pauli, David C.	5	08/27/2012	10	22.5063	600,200	-25,000
Clairvest Group Inc.	Common Shares	Frenkiel, Steve	7	08/27/2012	11	17	1,900	-6,000
Clarke Inc.	Common Shares	Cull, Dean Maxwell	7	07/20/2012	30	4.0047	25,142	304
ClubLink Enterprises Limited	Common Shares	ClubLink Enterprises Limited	1	08/24/2012	38	7	800	800
ClubLink Enterprises Limited	Common Shares	ClubLink Enterprises Limited	1	08/24/2012	38	7	0	-800
CML HealthCare Inc.	Deferred Share Units	Barry, Gery J.	4	08/22/2012	56		11,603	83

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CML HealthCare Inc.	Deferred Share Units	Chepa, Steven	4	08/22/2012	56		11,603	83
CML HealthCare Inc.	Performance Share Units	Crawford, Cameron	5	08/22/2012	56		11,045	41
CML HealthCare Inc.	Performance Share Units	Crawford, Cameron	5	08/22/2012	56		11,082	37
CML HealthCare Inc.	Deferred Share Units	Fairbrother, Joseph	4	08/22/2012	56		11,603	83
CML HealthCare Inc.	Deferred Share Units	Fisher Jr., Robert P.	4	08/22/2012	56		17,948	128
CML HealthCare Inc.	Deferred Share Units	Merrin, Patrice	4	08/22/2012	56		32,650	231
CML HealthCare Inc.	Performance Share Units	Weber, Thomas S.	5	08/22/2012	56		23,863	93
CML HealthCare Inc.	Performance Share Units	Weber, Thomas S.	5	08/22/2012	56		23,940	77
CML HealthCare Inc.	Performance Share Units	Wellner, Thomas Gordon	5	08/22/2012	56		19,068	135
CML HealthCare Inc.	Restricted Share Units	Wellner, Thomas Gordon	5	08/22/2012	56		10,389	73
CML HealthCare Inc.	Performance Share Units	Wentzell, Kent	5	08/22/2012	56		7,244	7
CML HealthCare Inc.	Performance Share Units	Wentzell, Kent	5	08/22/2012	56		7,288	44
CML HealthCare Inc.	Deferred Share Units	Wiseman, Stephen R.	4	08/22/2012	56		11,603	83
Co-operators General Insurance Company	Preferred Shares Class A Series B	Daniel, Kevin	7	08/31/2012	30	100	855	1
Co-operators General Insurance Company	Preferred Shares Class A Series B	McCombie, Richard Allen	7	08/31/2012	30	100	1,896	4
Coastal Contacts Inc.	Common Shares	Collins, Gary	5	08/14/2012	00			
Cobriza Metals Corp.	Common Shares	Pitirri, Anthony	5	08/15/2012	00			
Cobriza Metals Corp.	Warrants	Pitirri, Anthony	5	08/15/2012	00			
Cominar Real Estate Investment Trust	Options	Fuoco, Dino	5	08/24/2012	00		150,000	
COMPASS Income Fund	Trust Units	COMPASS Income Fund	1	08/27/2012	38	12.2	30,491,629	300
COMPASS Income Fund	Trust Units	COMPASS Income Fund	1	08/28/2012	38	12.15	30,492,029	400
COMPASS Income Fund	Trust Units	COMPASS Income Fund	1	08/29/2012	38	12.02	30,492,929	900
COMPASS Income Fund	Trust Units	COMPASS Income Fund	1	08/30/2012	38	12	30,493,329	400
Computer Modelling Group Ltd.	Common Shares	Zaozirny, John Brian	4	08/27/2012	51	7.8	226,800	2,800
Computer Modelling Group Ltd.	Common Shares	Zaozirny, John Brian	4	08/27/2012	51	9.07	229,600	2,800
Computer Modelling Group Ltd.	Common Shares	Zaozirny, John Brian	4	08/27/2012	51	13.43	234,600	5,000
Computer Modelling Group Ltd.	Options	Zaozirny, John Brian	4	08/27/2012	51	7.8	24,600	-2,800
Computer Modelling Group Ltd.	Options	Zaozirny, John Brian	4	08/27/2012	51	9.07	21,800	-2,800
Computer Modelling Group Ltd.	Options	Zaozirny, John Brian	4	08/27/2012	51	13.43	16,800	-5,000
Condor Petroleum Inc.	Common Shares	Eurasia Resource Holdings AG	3	08/28/2012	10	0.29	146,799,645	25,000
Condor Petroleum Inc.	Common Shares	Eurasia Resource Holdings AG	3	08/29/2012	10	0.285	146,874,645	75,000
CONDOR RESOURCES INC.	Options	Boyd, Robert T.	4	05/09/2008	50	0.79		200,000
CONDOR RESOURCES INC.	Options	Boyd, Robert T.	4	05/09/2008	50	0.12	200,000	200,000
CONDOR RESOURCES INC.	Options	Boyd, Robert T.	4	11/16/2009	50	0.15		120,000
CONDOR RESOURCES INC.	Options	Boyd, Robert T.	4	11/16/2009	50	0.12	320,000	120,000
CONDOR RESOURCES INC.	Options	Boyd, Robert T.	4	03/14/2011	50	0.55		250,000
CONDOR RESOURCES INC.	Options	Boyd, Robert T.	4	03/14/2011	50	0.12	570,000	250,000
CONDOR RESOURCES INC.	Options	Boyd, Robert T.	4	11/14/2011	50	0.32		110,000
CONDOR RESOURCES INC.	Options	Boyd, Robert T.	4	11/14/2011	50	0.12	680,000	110,000
CONDOR RESOURCES INC.	Options	Davis, Lyle Roy	4	10/12/2007	50			25,000
CONDOR RESOURCES INC.	Options	Davis, Lyle Roy	4	04/22/2008	50			80,000
CONDOR RESOURCES INC.	Options	Davis, Lyle Roy	4	03/14/2011	50	0.55		250,000
CONDOR RESOURCES INC.	Options	Davis, Lyle Roy	4	11/14/2011	50			110,000
CONDOR RESOURCES INC.	Options	Davis, Lyle Roy	4	08/29/2012	97		145,000	25,000
CONDOR RESOURCES INC.	Options	Davis, Lyle Roy	4	08/29/2012	97		225,000	80,000
CONDOR RESOURCES INC.	Options	Davis, Lyle Roy	4	08/29/2012	50	0.12		250,000
CONDOR RESOURCES INC.	Options	Davis, Lyle Roy	4	08/29/2012	97	0.12	475,000	250,000
CONDOR RESOURCES INC.	Options	Davis, Lyle Roy	4	08/29/2012	50			110,000
CONDOR RESOURCES INC.	Options	Davis, Lyle Roy	4	08/29/2012	97		585,000	110,000

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CONDOR RESOURCES INC.	Options	Watt, John Stewart	5	08/29/2012	36		0	-495,000
CONDOR RESOURCES INC.	Options	Watt, John Stewart	5	08/29/2012	36	0.12	200,000	200,000
CONDOR RESOURCES INC.	Options	Watt, John Stewart	5	08/29/2012	36	0.12	400,000	200,000
CONDOR RESOURCES INC.	Options	Watt, John Stewart	5	08/29/2012	36	0.12	495,000	95,000
Constellation Software Inc.	Common Shares	Keates, Tracey Elizabeth	5	07/10/2012	30	92.8016	1,821	20
CORAL GOLD RESOURCES LTD.	Common Shares	Wolfin, David	4	08/24/2012	10	0.23	581,800	2,000
Cornerstone Metals Inc.	Common Shares	Sveinson, Frederick J.	4, 5	08/27/2012	10	0.15	516,700	17,500
Corvus Gold Inc.	Common Shares	BRECHTEL, Carl	5	05/29/2012	00		50,000	
Corvus Gold Inc.	Options	BRECHTEL, Carl	5	09/08/2010	00			
Corvus Gold Inc.	Options	BRECHTEL, Carl	5	05/09/2012	11	0.67		50,000
Corvus Gold Inc.	Options	BRECHTEL, Carl	5	05/17/2012	11	0.67		50,000
Corvus Gold Inc.	Options	BRECHTEL, Carl	5	05/17/2012	11	0.67		50,000
Corvus Gold Inc.	Options	BRECHTEL, Carl	5	05/29/2012	00			
Corvus Gold Inc.	Options	BRECHTEL, Carl	5	05/29/2012	00			
Corvus Gold Inc.	Options	BRECHTEL, Carl	5	05/29/2012	00			
Corvus Gold Inc.	Options	BRECHTEL, Carl	5	05/29/2012	00			
Corvus Gold Inc.	Options	BRECHTEL, Carl	5	08/28/2012	50	0.92	300,000	100,000
Corvus Gold Inc.	Common Shares	PONTIUS, Jeffrey A.	4, 5	08/30/2012	51	0.75	2,396,166	800,000
Corvus Gold Inc.	Options	PONTIUS, Jeffrey A.	4, 5	08/30/2012	51	0.75	140,000	-800,000
Corvus Gold Inc.	Common Shares	Talbot, Lawrence William Edward	4, 5	08/26/2010	97			94,450
Corvus Gold Inc.	Common Shares	Talbot, Lawrence William Edward	4, 5	08/26/2010	97		96,950	96,950
Corvus Gold Inc.	Common Shares	Talbot, Lawrence William Edward	4, 5	08/21/2012	10	0.91	46,950	-20,000
Corvus Gold Inc.	Common Shares	Talbot, Lawrence William Edward	4, 5	08/22/2012	10	0.85	0	-2,000
Corvus Gold Inc.	Common Shares	Talbot, Lawrence William Edward	4, 5	08/28/2012	51	0.75	100,000	100,000
Corvus Gold Inc.	Options	Talbot, Lawrence William Edward	4, 5	08/28/2012	51		120,000	-100,000
Corvus Gold Inc.	Options	Yarrow, Edward William	4	10/03/2010	50	0.75		100,000
Corvus Gold Inc.	Options	Yarrow, Edward William	4	10/03/2010	51	0.75	100,000	100,000
Crescent Point Energy Corp.	Common Shares	Colborne, Paul	4	03/31/2011	10	46.59		50,000
Crescent Point Energy Corp.	Common Shares	Colborne, Paul	4	03/31/2011	10	46.59	213,428	28,000
Crescent Point Energy Corp.	Common Shares	Colborne, Paul	4	04/11/2011	10	45.51		-15,000
Crescent Point Energy Corp.	Common Shares	Colborne, Paul	4	04/11/2011	10	45.51		15,000
Crescent Point Energy Corp.	Common Shares	Colborne, Paul	4	04/11/2011	10	45.51	213,428	-15,000
Crescent Point Energy Corp.	Common Shares	Colborne, Paul	4	07/01/2011	97			-974
Crescent Point Energy Corp.	Common Shares	Colborne, Paul	4	07/01/2011	97		77,562	-86
Crescent Point Energy Corp.	Common Shares	Colborne, Paul	4	12/14/2011	10	42.7		-15,000
Crescent Point Energy Corp.	Common Shares	Colborne, Paul	4	12/14/2011	10	42.7		15,000
Crescent Point Energy Corp.	Common Shares	Colborne, Paul	4	12/14/2011	10	42.7	-4,888	-15,000
Crescent Point Energy Corp.	Common Shares	Colborne, Paul	4	08/29/2012	11	41	75,953	37,000
Crescent Point Energy Corp.	Common Shares	Colborne, Paul	4	08/29/2012	10	41	3,000	3,000
Crescent Point Energy Corp.	Common Shares	Colborne, Paul	4	07/16/2012	35	36.29		580
Crescent Point Energy Corp.	Common Shares	Colborne, Paul	4	07/16/2012	35	36.29	102,236	489
Crescent Point Energy Corp.	Common Shares	Colborne, Paul	4	08/15/2012	35	38.67	102,843	607
Creso Exploration Inc.	Options	Gauthier, Pierre	4	08/27/2012	52		2,450,000	-300,000
Creso Exploration Inc.	Common Shares	Tchakmakian, VatchÚ	5	08/29/2012	16	0.05	870,000	700,000
Creso Exploration Inc.	Options	Tchakmakian, VatchÚ	5	08/27/2012	52		450,000	-50,000
Crest Petroleum Corp.	Common Shares	Meidl, Jesse David	4	06/26/2012	00		100,000	
Crest Petroleum Corp.	Options	Meidl, Jesse David	4	06/26/2012	00		100,000	
CriticalControl Solutions Corp.	Common Shares	Hammett, William T.	4	08/24/2012	90		1,491,400	25,875
CriticalControl Solutions Corp.	Common Shares	Hammett, William T.	4	08/24/2012	90		43,899	-25,875
Crombie Real Estate Investment Trust	Convertible Debentures Series B Convertible Debentures Unsecured Subordinated	Empire Company Limited	3	08/30/2012	90		$0	-$10,000,000
Crombie Real Estate Investment Trust	Convertible Debentures Series B Convertible Debentures Unsecured Subordinated	Empire Company Limited	3	03/13/2006	00			
Crombie Real Estate Investment Trust	Convertible Debentures Series B Convertible Debentures Unsecured Subordinated	Empire Company Limited	3	08/30/2012	90		$10,000,000	$10,000,000
Cyberplex Inc.	Common Shares	Lobo, Vernon	4, 6	08/22/2012	10	0.05	87,500	12,000
Cyberplex Inc.	Common Shares	Lobo, Vernon	4, 6	08/27/2012	10	0.05	112,500	25,000
Cyberplex Inc.	Common Shares	Lobo, Vernon	4, 6	08/27/2012	10	0.055	262,500	150,000
D-Box Technologies Inc.	Options	Goodship, Lawrence Strath	4	08/24/2012	50		170,000	40,000

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D-Box Technologies Inc.	Options	PH╔NIX, ╔LAINE C.	4	08/24/2012	50		200,000	40,000
Debut Diamonds Inc	Options	Flett, Douglas Melville	4	07/24/2012	38	0.3	0	-300,000
Debut Diamonds Inc	Options	Flett, Douglas Melville	4	08/27/2012	50	0.1	300,000	300,000
Debut Diamonds Inc	Options	MASTERS, THOMAS EDWARD	5	09/25/2011	50	0.3		375,000
Debut Diamonds Inc	Options	MASTERS, THOMAS EDWARD	5	09/25/2011	50	0.3		375,000
Debut Diamonds Inc	Options	MASTERS, THOMAS EDWARD	5	08/28/2012	50	0.1	400,000	400,000
Debut Diamonds Inc	Options	Meraw, Christopher William	5	08/27/2012	50	0.1	500,000	500,000
Debut Diamonds Inc	Options	Saint-Pierre, Luce	4	07/23/2012	38		0	-300,000
Debut Diamonds Inc	Options	Saint-Pierre, Luce	4	08/27/2012	50	0.1	300,000	300,000
Debut Diamonds Inc	Options	Smeenk, Frank Cornelius	4, 5	08/27/2012	50		700,000	700,000
Deveron Resources Ltd.	Common Shares	Irwin, Christopher	4	08/28/2012	00		100,000	
Deveron Resources Ltd.	Common Shares	Irwin, Christopher	4	08/28/2012	00		100,000	
Deveron Resources Ltd.	Warrants	Irwin, Christopher	4	08/28/2012	00		100,000	
Deveron Resources Ltd.	Warrants	Irwin, Christopher	4	08/28/2012	00		100,000	
Deveron Resources Ltd.	Common Shares	Power, Michael	4	08/29/2012	00		200,000	
Deveron Resources Ltd.	Warrants	Power, Michael	4	08/29/2012	00		200,000	
Diadem Resources Ltd.	Common Shares	Dupuy, Andre Jacques	4	08/22/2012	10	0.01	3,790,240	196,000
Diagnos Inc.	Options	Baxter, Richard	4	08/28/2012	50	0.16	200,000	100,000
Diagnos Inc.	Options	Ben Tahar, Houssem	5	06/26/2012	52	0.26	195,000	-20,000
Diagnos Inc.	Options	Ben Tahar, Houssem	5	08/28/2012	50	0.16	295,000	100,000
Diagnos Inc.	Options	Fontaine, Michel	5	08/26/2012	50	0.16	335,002	100,000
Diagnos Inc.	Options	Fontaine, Michel	5	08/30/2012	52	0.26	285,002	-50,000
Diagnos Inc.	Options	Larente, AndrÚ	4, 5	06/24/2012	52	0.26	1,699,684	-150,000
Diagnos Inc.	Options	Larente, AndrÚ	4, 5	08/28/2012	50	0.16	1,799,684	100,000
Diagnos Inc.	Options	lerolle, olivier	4	08/28/2012	50	0.16	600,000	100,000
Diagnos Inc.	Options	Maruzzo, Bruno	4	08/28/2012	50	0.16	329,000	100,000
Diagnos Inc.	Options	Massue, Marc-AndrÚ	5	08/28/2012	50	0.16	335,000	100,000
Diagnos Inc.	Options	Nowacki, Piotr	5	08/28/2012	50	0.16	260,000	100,000
Diagnos Inc.	Options	Renaud, Philip	4	08/28/2012	50		500,000	100,000
Discovery Air Inc.	Common Shares Class A	Gibeau, Daniel	7	08/29/2012	00		29,500	
DNI Metals Inc.	Common Shares	clement, denis arthur	4	08/28/2012	10	0.31	2,117,187	-16,000
Dorel Industries Inc.	Rights Executive Deferred Share Units	Jacomin, Jean-Claude	5	08/30/2012	00		3,151	
Dorel Industries Inc.	Options	Warren, Barry	4	08/27/2012	51	19.47	14,000	-6,000
Dorel Industries Inc.	Subordinate Voting Shares Class B	Warren, Barry	4	08/27/2012	51	19.47	6,200	6,000
Dorel Industries Inc.	Subordinate Voting Shares Class B	Warren, Barry	4	08/27/2012	10		200	-6,000
DXStorm.Com Inc.	Common Shares	Cameron, Hugh	4	12/28/2011	00			
DXStorm.Com Inc.	Common Shares	Cameron, Hugh	4	03/22/2012	97		24,038	24,038
DXStorm.Com Inc.	Common Shares	Cameron, Hugh	4	12/28/2011	00		24,038	
DXStorm.Com Inc.	Common Shares	Cameron, Hugh	4	03/22/2012	97		0	-24,038
Dynamic Fuel Systems Inc.	Common Shares	Freeman, Jay	4	05/24/2012	00		500,000	
Dynamic Fuel Systems Inc.	Options	Freeman, Jay	4	05/24/2012	00			
Dynamic Fuel Systems Inc.	Options	Freeman, Jay	4	08/16/2012	50	0.19	325,000	325,000
Dynamic Fuel Systems Inc.	Options	Payne, Murray Ernest James	4	08/16/2012	50	0.19	1,725,000	725,000
Dynex Power Inc.	Common Shares	Banks, David	3, 4	08/21/2012	10	0.332	4,824,147	5,000
Eacom Timber Corporation	Common Shares	Masson, Gilles	4	08/29/2012	10	0.12	150,000	150,000
Eastmain Resources Inc.	Common Shares	Hansuld, John Alexander	4	08/27/2012	10	0.95	103,000	-10,000
Eco (Atlantic) Oil & Gas Ltd. (formerly Goldbard Capital Corporation)	Common Shares	Amalwa, Naeman	4	07/26/2012	11		2,357,596	-625,000
Eco (Atlantic) Oil & Gas Ltd. (formerly Goldbard Capital Corporation)	Common Shares	Angula, Phillipine	7	07/26/2012	11		2,507,596	-625,000
Eco (Atlantic) Oil & Gas Ltd. (formerly Goldbard Capital Corporation)	Common Shares	Bastos, Jose Luis	7	04/24/2012	00		1,410,000	
Eco (Atlantic) Oil & Gas Ltd. (formerly Goldbard Capital Corporation)	Common Shares	Holzman, Gil	4	08/29/2012	10	0.45	2,195,021	10,000
Edleun Group, Inc.	Common Shares	Goodman, Gary Michael	4	08/27/2012	10	0.69	209,500	9,500
Edleun Group, Inc.	Options	Michaels, Dean	5	08/16/2012	00			
Edleun Group, Inc.	Options	Michaels, Dean	5	08/24/2012	50		300,000	300,000
Element Financial Corporation	Common Shares	Barcellos, Mary Patricia	5	08/24/2012	00			
Element Financial Corporation	Common Shares	Barcellos, Mary Patricia	5	08/30/2012	10	5.79	4,300	4,300
Element Financial Corporation	Common Shares	Campbell, Paul Michael Troy	7	08/27/2012	10	5.9	7,090	3,300
EMED Mining Public Limited	Common Shares	Yanggu Xiangguang Copper Co. Ltd.	3	07/07/2012	16	0.158		32,247,662
EMED Mining Public Limited	Common Shares	Yanggu Xiangguang Copper Co. Ltd.	3	07/07/2012	16	0.158	137,626,181	32,247,662

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Emera Incorporated	Exchange Traded Put Options	Huskilson, Christopher	4, 5	11/01/2004	00			
Emera Incorporated	Exchange Traded Put Options	Huskilson, Christopher	4, 5	08/22/2012	70	1	-200	-200
Enbridge Inc.	Common Shares	Bird, John Richard	5	08/16/2012	30	39.71	32,838	1,001
Enbridge Inc.	Options $39.34 - August 15, 2020 Expiry	Bird, John Richard	5	06/06/2003	00			
Enbridge Inc.	Options $39.34 - August 15, 2020 Expiry	Bird, John Richard	5	08/15/2012	50		591,200	591,200
Enbridge Inc.	Rights - 2010 Performance Units (PUs) - December 31, 2012 Expiry	Bird, John Richard	5	06/01/2012	30		53,003	366
Enbridge Inc.	Rights - 2011 Performance Units (PUs) - December 31, 2013 Expiry	Bird, John Richard	5	06/01/2012	30		14,643	101
Enbridge Inc.	Rights - 2012 Performance Units (PUs) - December 31, 2014 Expiry	Bird, John Richard	5	06/01/2012	30		16,331	113
Enbridge Inc.	Common Shares	Monaco, Albert	4, 5	06/08/2012	30	39.88	111,116	537
Enbridge Inc.	Common Shares	Monaco, Albert	4, 5	08/16/2012	30	40.17	112,512	1,396
Enbridge Inc.	Options $39.34 - August 15, 2020 Expiry	Monaco, Albert	4, 5	02/13/2003	00			
Enbridge Inc.	Options $39.34 - August 15, 2020 Expiry	Monaco, Albert	4, 5	08/15/2012	50		1,058,800	1,058,800
Enbridge Inc.	Rights - 2010 Performance Units (PUs) - December 31, 2012 Expiry	Monaco, Albert	4, 5	06/01/2012	30		53,003	366
Enbridge Inc.	Rights - 2011 Performance Units (PUs) - December 31, 2013 Expiry	Monaco, Albert	4, 5	06/01/2012	30		14,643	101
Enbridge Inc.	Rights - 2012 Performance Units (PUs) - December 31, 2014 Expiry	Monaco, Albert	4, 5	06/01/2012	30		21,504	148
Enbridge Inc.	Common Shares	Radford, Karen	5	08/16/2012	30	39.87	94	44
Enbridge Inc.	Options $39.34 - August 15, 2020 Expiry	Radford, Karen	5	09/02/2011	00			
Enbridge Inc.	Options $39.34 - August 15, 2020 Expiry	Radford, Karen	5	08/15/2012	50		293,600	293,600
Enbridge Inc.	Rights - 2011 Performance Units (PUs) - December 31, 2013 Expiry	Radford, Karen	5	06/01/2012	30		7,149	49
Enbridge Inc.	Rights - 2012 Performance Units (PUs) - December 31, 2014 Expiry	Radford, Karen	5	06/01/2012	30		7,810	53
Enbridge Inc.	Common Shares	Robottom, David Thomas	5	06/01/2012	30	38.75	4,934	70
Enbridge Inc.	Common Shares	Robottom, David Thomas	5	08/16/2012	30	39.87	46,748	285
Enbridge Inc.	Options $39.34 - August 15, 2020 Expiry	Robottom, David Thomas	5	06/01/2006	00			
Enbridge Inc.	Options $39.34 - August 15, 2020 Expiry	Robottom, David Thomas	5	08/15/2012	50		325,300	325,300
Enbridge Inc.	Rights - 2010 Performance Units (PUs) - December 31, 2012 Expiry	Robottom, David Thomas	5	06/01/2012	30		36,345	251
Enbridge Inc.	Rights - 2011 Performance Units (PUs) - December 31, 2013 Expiry	Robottom, David Thomas	5	06/01/2012	30		11,086	76
Enbridge Inc.	Rights - 2012 Performance Units (PUs) - December 31, 2014 Expiry	Robottom, David Thomas	5	06/01/2012	30		8,723	60
Enbridge Inc.	Common Shares	Wuori, Stephen John	5	08/16/2012	30	39.71	254,290	1,990
Enbridge Inc.	Common Shares	Wuori, Stephen John	5	06/01/2012	30	38.15	2,138	15
Enbridge Inc.	Options $39.34 - August 15, 2020 Expiry	Wuori, Stephen John	5	02/13/2003	00			
Enbridge Inc.	Options $39.34 - August 15, 2020 Expiry	Wuori, Stephen John	5	08/15/2012	50		617,600	617,600

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Enbridge Inc.	Rights - 2010 Performance Units (PUs) - December 31, 2012 Expiry	Wuori, Stephen John	5	06/01/2012	30		53,003	366
Enbridge Inc.	Rights - 2011 Performance Units (PUs) - December 31, 2013 Expiry	Wuori, Stephen John	5	06/01/2012	30		14,643	101
Enbridge Inc.	Rights - 2012 Performance Units (PUs) - December 31, 2014 Expiry	Wuori, Stephen John	5	06/01/2012	30		17,041	117
EnerCare Inc. (formerly The Consumers' Waterheater Income Fund)	Common Shares	Octavian Advisors, LP	3	08/27/2012	10	8.9817	6,823,965	2,300
EnerCare Inc. (formerly The Consumers' Waterheater Income Fund)	Common Shares	Octavian Advisors, LP	3	08/28/2012	10	8.983	696,235	-39,300
EnerCare Inc. (formerly The Consumers' Waterheater Income Fund)	Common Shares	Octavian Advisors, LP	3	08/29/2012	10	8.8837	695,035	-1,200
Energy Fuels Inc.	Options	Antony, Stephen	5	08/27/2012	50	0.23	3,010,000	1,000,000
Energy Fuels Inc.	Options	Bovaird, James Birks	4	08/27/2012	50	0.23	1,810,000	1,000,000
Energy Fuels Inc.	Options	Carroll, Paul Aylward	4	08/27/2012	50	0.23	1,710,000	1,000,000
Energy Fuels Inc.	Options	Dengler, W. Robert	4	06/30/2012	00			
Energy Fuels Inc.	Options	Dengler, W. Robert	4	08/27/2012	50		1,000,000	1,000,000
Energy Fuels Inc.	Options	Goldberg, Larry	4	08/27/2012	50	0.23	1,360,000	1,000,000
Energy Fuels Inc.	Options	GOODMAN, Mark	4	08/27/2012	50		1,610,000	1,000,000
Energy Fuels Inc.	Options	Hansen, Bruce Douglas	4	08/27/2012	50	0.23	1,810,000	1,000,000
Energy Fuels Inc.	Options	Hochstein, Ronald F.	4	06/30/2012	00			
Energy Fuels Inc.	Options	Hochstein, Ronald F.	4	08/27/2012	50	0.23	1,000,000	1,000,000
Energy Fuels Inc.	Options	Inwentash, Sheldon	4	08/27/2012	50	0.23	1,700,000	1,000,000
Energy Fuels Inc.	Options	Patricio, Richard J	4	08/27/2012	50	0.23	1,666,000	1,000,000
Energy Fuels Inc.	Options	Steele, Gary	5	08/27/2012	50	0.23	1,220,000	600,000
Energy Fuels Inc.	Options	Vigil, Jeffrey L.	5	08/27/2012	50	0.23	1,220,000	600,000
ENERGY INDEXPLUS Dividend Fund	Trust Units	Energy Indexplus Dividend Fund	1	08/24/2012	38	8.26	452,700	400
ENERGY INDEXPLUS Dividend Fund	Trust Units	Energy Indexplus Dividend Fund	1	08/27/2012	38	8.41	453,100	400
ENERGY INDEXPLUS Dividend Fund	Trust Units	Energy Indexplus Dividend Fund	1	08/28/2012	38	8.31	455,200	2,100
ENERGY INDEXPLUS Dividend Fund	Trust Units	Energy Indexplus Dividend Fund	1	08/30/2012	38	8.1	455,600	400
Energy Leaders Income Fund	Trust Units	Kovacs, Michael	4, 5	08/28/2012	10	11.53	1,000	-200
Enertopia Corp.	Common Shares	McAllister, Robert	4, 5	08/24/2012	11	0.05	3,187,000	80,000
Enertopia Corp.	Warrants	McAllister, Robert	4, 5	08/24/2012	11	0.2	280,000	80,000
EnerVest Diversified Income Trust	Warrants	Chernoff, M. Bruce	3	08/27/2012	53	13.26	2,638	2,638
EnerVest Diversified Income Trust	Warrants	Chernoff, M. Bruce	3	08/27/2012	53	13.26	28,757	28,757
Enhanced Oil Resources, Inc.	Common Shares	Eson, Rodney L.	4	08/22/2012	10		199,000	2,000
Enpar Technologies Inc.	Common Shares	Shelp, Barry Jess	3, 4, 5	08/27/2012	10	0.07	3,781,334	34,000
Enpar Technologies Inc.	Common Shares	Shelp, Barry Jess	3, 4, 5	08/29/2012	10	0.07	3,786,334	5,000
Enpar Technologies Inc.	Common Shares	Shelp, Barry Jess	3, 4, 5	08/30/2012	10	0.07	3,797,334	11,000
Enpar Technologies Inc.	Common Shares	Shelp, Gene Sidney	4, 5	08/24/2012	10	0.065	3,539,074	30,000
Enpar Technologies Inc.	Common Shares	Shelp, Gene Sidney	4, 5	08/27/2012	10	0.07	3,584,074	45,000
Enpar Technologies Inc.	Common Shares	Shelp, Gene Sidney	4, 5	08/30/2012	10	0.07	3,589,074	5,000
Enseco Energy Services Corp.	Common Shares	Brussa, John Albert	4	08/31/2012	10	0.85	298,300	15,000
Enterprise Group, Inc.	Common Shares	JAROSZUK, LEONARD	4, 5	08/27/2012	10	0.2	4,585,068	15,000
Enterprise Group, Inc.	Common Shares	JAROSZUK, LEONARD	4, 5	08/24/2012	10	0.19	4,378,332	7,000
Entourage Metals Ltd.	Common Shares	Sundar, Jeffrey Rohit	4, 5	08/23/2012	10	0.28	1,330,501	2,000
Entourage Metals Ltd.	Common Shares	Sundar, Jeffrey Rohit	4, 5	08/23/2012	10	0.26	1,333,001	2,500
Entourage Metals Ltd.	Common Shares	Sundar, Jeffrey Rohit	4, 5	08/23/2012	10	0.27	1,335,501	2,500
Entourage Metals Ltd.	Common Shares	Sundar, Jeffrey Rohit	4, 5	08/23/2012	10	0.29	1,337,501	2,000
Entourage Metals Ltd.	Common Shares	Sundar, Jeffrey Rohit	4, 5	08/23/2012	11	0.3	1,339,501	2,000
Environmental Waste International Inc.	Common Shares	Martinsons, Valdis Andris	4	08/31/2012	10	0.2	824,000	5,000
Epic Data International Inc.	Convertible Debentures	Nygren, Robert Carl	5	06/10/2008	00			
Epic Data International Inc.	Convertible Debentures	Nygren, Robert Carl	5	08/09/2012	16	0.2	$400,000	$400,000
Epsilon Energy Ltd.	Common Shares	Atwood, Paul Callis	8	08/23/2012	10	1.99	44,500	2,300
Epsilon Energy Ltd.	Common Shares	Atwood, Paul Callis	8	08/24/2012	10	1.9833	44,800	300
Epsilon Energy Ltd.	Common Shares	Atwood, Paul Callis	8	08/27/2012	10	1.9256	46,600	1,800
Epsilon Energy Ltd.	Common Shares	Atwood, Paul Callis	8	08/28/2012	10	1.9746	47,900	1,300
Epsilon Energy Ltd.	Common Shares	Atwood, Paul Callis	8	08/30/2012	10	1.967	50,600	2,700
Epsilon Energy Ltd.	Common Shares	Bond, Bruce Lane	5	08/27/2012	10	1.95	57,000	6,000
Epsilon Energy Ltd.	Common Shares	Bond, Bruce Lane	5	08/29/2012	10	2.04	60,000	3,000

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Equitable Group Inc.	Common Shares	Edmunds, William Reid	7	08/20/2012	10	30.5	4,800	-500
Equitable Group Inc.	Common Shares	Fryer, Scott Allan	5	08/23/2012	51	28.79	2,797	500
Equitable Group Inc.	Common Shares	Fryer, Scott Allan	5	08/29/2012	10	30.45	2,297	-500
Equitable Group Inc.	Options Options granted	Fryer, Scott Allan	5	08/23/2012	51	28.79	18,130	-500
Erdene Resource Development Corporation	Options	Akerley, Peter	4, 5	08/27/2012	50	0.3	1,190,000	200,000
Erdene Resource Development Corporation	Options	Akerley, Peter	4, 5	08/30/2012	97	1.26	640,000	-550,000
Erdene Resource Development Corporation	Options	BURTON, WILLIAM B.	4	08/27/2012	50	0.3	500,000	100,000
Erdene Resource Development Corporation	Options	BURTON, WILLIAM B.	4	08/30/2012	97	1.26	250,000	-250,000
Erdene Resource Development Corporation	Options	Byrne, John Philip	4	08/27/2012	50	0.3	500,000	100,000
Erdene Resource Development Corporation	Options	Carnell, David	4	08/27/2012	50	0.3	500,000	100,000
Erdene Resource Development Corporation	Options	Carnell, David	4	08/30/2012	97	1.26	250,000	-250,000
Erdene Resource Development Corporation	Options	Cowan, John Christopher	4	08/27/2012	50	0.3	985,000	150,000
Erdene Resource Development Corporation	Options	MacDonald, Kenneth	4	08/27/2012	50	0.3	875,000	150,000
Erdene Resource Development Corporation	Options	MacDonald, Kenneth	4	08/30/2012	97	1.26	425,000	-450,000
Erdene Resource Development Corporation	Options	O'Keefe, Michael Austin	7	08/30/2012	97	0.91	225,000	-35,000
Erdene Resource Development Corporation	Options	O'Keefe, Michael Austin	7	08/30/2012	97	1.26	105,000	-120,000
Erdene Resource Development Corporation	Options	Rath, Stuart P.	4	08/27/2012	50	0.3	500,000	100,000
Erdene Resource Development Corporation	Options	Webster, Philip L.	4	08/27/2012	50	0.3	500,000	100,000
Essential Energy Services Ltd.	Common Shares	Webster, Donald	5	08/23/2012	10	2.35	62,802	-40,000
Essential Energy Services Ltd.	Common Shares	Webster, Donald	5	08/23/2012	10	2.33	2,802	-60,000
Essex Angel Capital Inc.	Common Shares	Galdi, Richard Joseph	5	08/22/2012	10	0.05	1,492,000	1,000
Essex Angel Capital Inc.	Common Shares	Galdi, Richard Joseph	5	08/23/2012	10	0.045	1,497,000	5,000
Essex Angel Capital Inc.	Common Shares	Galdi, Richard Joseph	5	08/23/2012	10	0.045	1,499,000	2,000
Essex Angel Capital Inc.	Common Shares	Galdi, Richard Joseph	5	08/24/2012	10	0.045	1,500,000	1,000
Essex Angel Capital Inc.	Common Shares	Galdi, Richard Joseph	5	08/24/2012	10	0.06	1,501,000	1,000
Essex Angel Capital Inc.	Common Shares	Galdi, Richard Joseph	5	08/28/2012	10	0.06	1,502,000	1,000
Everton Resources Inc.	Common Shares	Audet, AndrÚ	4	08/24/2012	11	0.08	3,062,350	1,250,000
Excellon Resources Inc.	Options	Crossgrove, Peter Alexander	4, 5	08/23/2012	52	0.56	2,031,000	-54,000
Excellon Resources Inc.	Options	Crossgrove, Peter Alexander	4, 5	08/31/2012	52	0.74	1,977,000	-54,000
Excellon Resources Inc.	Common Shares	Excellon Resources Inc.	1	08/24/2012	38		0	-1,181,500
Excellon Resources Inc.	Options	Ryan, Timothy J.	4	08/23/2012	52	0.56	1,131,000	-54,000
Excellon Resources Inc.	Options	Ryan, Timothy J.	4	08/31/2012	52	0.74	1,077,000	-54,000
Exco Technologies Limited	Common Shares	Robbins, Brian Andrew	3, 4, 5	08/27/2012	10	4.85	1,055,746	-6,300
Exco Technologies Limited	Common Shares	Robbins, Brian Andrew	3, 4, 5	08/28/2012	10	4.85	1,054,546	-1,200
EXFO Inc. (formerly know as EXFO Electro-Optical Engineering Inc.)	Options	Lamonde, Germain	3, 4, 5	08/30/2012	51	2.5	29,160	-50,000
EXFO Inc. (formerly know as EXFO Electro-Optical Engineering Inc.)	Subordinate Voting Shares	Lamonde, Germain	3, 4, 5	08/30/2012	51	2.5	140,588	50,000
Extorre Gold Mines Limited	Common Shares	Daubaras, Darcy Glenn	5	08/15/2012	10	2.04	157,400	20,000
Extorre Gold Mines Limited	Options	Daubaras, Darcy Glenn	5	08/15/2012	51	2.04	56,250	-20,000
F.D.G. Mining Inc.	Common Shares	Dunn, David	4	08/30/2012	10	0.13	110,000	10,000
Faircourt Gold Income Corp.	Common Shares	Faircourt Asset Management Inc.	8	08/30/2012	10	7.3833	3,000	600
Faircourt Gold Income Corp.	Common Shares	Faircourt Asset Management Inc.	8	08/31/2012	10	7.59	3,300	300
Faircourt Split Trust	Trust Units	Faircourt Asset Management Inc.	8	08/31/2012	10	4.96	9,200	300
Faircourt Split Trust	Trust Units	Faircourt Asset Management Inc.	8	08/31/2012	10	4.88	9,600	400
Faircourt Split Trust	Warrants Series C	Faircourt Asset Management Inc.	8	03/16/2006	00			
Faircourt Split Trust	Warrants Series C	Faircourt Asset Management Inc.	8	08/29/2012	15		8,000	8,000
Faircourt Split Trust	Warrants Series C	Marigold Corporation	3	03/16/2006	00			
Faircourt Split Trust	Warrants Series C	Marigold Corporation	3	08/29/2012	53		403,886	403,886
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	03/16/2006	00			
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	08/29/2012	53		4,478	4,478
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	08/29/2012	53		5,678	1,200
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	03/16/2006	00			

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	08/29/2012	53		18,645	18,645
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	03/16/2006	00			
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	08/29/2012	53		958	958
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	03/16/2006	00			
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	08/29/2012	53		26,101	26,101
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	03/16/2006	00			
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	08/29/2012	53		135	135
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	03/16/2006	00			
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	08/29/2012	53		17,828	17,828
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	03/16/2006	00			
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	08/29/2012	53		75,856	75,856
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	03/16/2006	00			
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	08/29/2012	53		5,478	5,478
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	03/16/2006	00			
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	08/29/2012	53		47,758	47,758
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	03/16/2006	00			
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	08/29/2012	53		5,674	5,674
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	03/16/2006	00			
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	08/29/2012	53		135	135
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	03/16/2006	00			
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	08/29/2012	53		135	135
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	03/16/2006	00			
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	08/29/2012	53		15,696	15,696
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	03/16/2006	00			
Faircourt Split Trust	Warrants Series C	Taerk, Charles G.	6	08/29/2012	53		681	681
Faircourt Split Trust	Warrants Series C	Waterson, Douglas John	4	03/16/2006	00			
Faircourt Split Trust	Warrants Series C	Waterson, Douglas John	4	08/28/2012	15		1,942	1,942
Faircourt Split Trust	Warrants Series C	Waterson, Douglas John	4	03/16/2006	00			
Faircourt Split Trust	Warrants Series C	Waterson, Douglas John	4	08/28/2012	15		3,333	3,333
Financial 15 Split Corp. II	Class A Shares	Periscope Capital Inc.	3	08/23/2012	10	3.15	653,200	300
Financial 15 Split Corp. II	Class A Shares	Periscope Capital Inc.	3	08/28/2012	10	3.06	654,700	1,500
Financial 15 Split Corp. II	Class A Shares	Periscope Capital Inc.	3	08/29/2012	10	3.09	658,200	3,500
First Majestic Silver Corp.	Common Shares	McCallum, Robert A.	4	08/27/2012	51	4.34	110,000	40,000
First Majestic Silver Corp.	Options	McCallum, Robert A.	4	08/27/2012	51	4.34	62,400	-40,000
First Majestic Silver Corp.	Common Shares	Pezzotti, Tony	4	08/28/2012	51	4.34	258,956	100,000
First Majestic Silver Corp.	Options	Pezzotti, Tony	4	08/28/2012	51	4.34	162,400	-100,000
First Majestic Silver Corp.	Common Shares	SHAW, DAVID ANDREW	4	04/29/2011	10	20.1	88,700	-3,800
First Majestic Silver Corp.	Common Shares	SHAW, DAVID ANDREW	4	04/29/2011	10	20.11	87,500	-1,200
First Majestic Silver Corp.	Common Shares	SHAW, DAVID ANDREW	4	04/29/2011	10	20	85,500	-2,000
First Majestic Silver Corp.	Common Shares	SHAW, DAVID ANDREW	4	04/29/2011	10	20.02	82,500	-3,000
First Majestic Silver Corp.	Common Shares	SHAW, DAVID ANDREW	4	08/24/2012	10	19.42	131,800	-1,200
First Majestic Silver Corp.	Common Shares	SHAW, DAVID ANDREW	4	08/24/2012	10	19.41	131,400	-400
First Majestic Silver Corp.	Common Shares	SHAW, DAVID ANDREW	4	08/24/2012	10	19.394	131,000	-400
First Majestic Silver Corp.	Common Shares	SHAW, DAVID ANDREW	4	08/27/2012	10	19.23	130,000	-1,000
Fletcher Nickel Inc.	Warrants with an exercise price of $1.20, expiring February 6, 2008	O'Leary, Michael John	4	02/06/2008	56	1.2		-50,000
Fletcher Nickel Inc.	Warrants with an exercise price of $1.20, expiring February 6, 2008	O'Leary, Michael John	4	02/06/2008	55	1.2	0	-50,000
Fletcher Nickel Inc.	Warrants with an exercise price of $1.25, expiring April 3, 2008	O'Leary, Michael John	4	04/03/2008	55	1.25	0	-50,000
Fletcher Nickel Inc.	Warrants with an exercise price of $1.25, expiring February 28, 2008	O'Leary, Michael John	4	02/28/2008	55	1.25	0	-625,000
Foran Mining Corporation	Common Shares	March, Roger Bursell	5	08/30/2012	11	0.65	100,000	30,000
Foran Mining Corporation	Common Shares	Morcombe, Darren James	4	08/30/2012	11	0.58	9,300,000	595,666
Foran Mining Corporation	Warrants	Morcombe, Darren James	4	08/30/2012	11	0.8	297,833	297,833
Foran Mining Corporation	Common Shares	Thiessen, Tim	5	04/15/2011	00			
Foran Mining Corporation	Common Shares	Thiessen, Tim	5	08/30/2012	11	0.65	15,000	15,000
Foremost Income Fund	Trust Units	Grenon, James Terrence	3	08/29/2012	11		11,622,420	1,633,551
Fortress Paper Ltd.	Common Shares Class A voting without par value	Buckle, Danial	5	08/28/2012	57	15.81	16,068	4,334
Fortress Paper Ltd.	Rights Restricted Share Units	Buckle, Danial	5	08/28/2012	57	15.81	11,659	-4,334
Fortress Paper Ltd.	Common Shares Class A voting without par value	Ciotola, Alfonso	7	08/28/2012	57	15.81	50,000	16,668
Fortress Paper Ltd.	Rights Restricted Share Units	Ciotola, Alfonso	7	08/28/2012	57	15.81	0	-16,668
Fortress Paper Ltd.	Common Shares Class A voting without par value	Hansen, Tracy Leanne	5	08/28/2012	57	15.81	785	234
Fortress Paper Ltd.	Rights Restricted Share Units	Hansen, Tracy Leanne	5	08/28/2012	57	15.81	5,118	-234
Fortress Paper Ltd.	Common Shares Class A voting without par value	Loewen, Kurt	5	08/28/2012	57	15.81	20,768	5,334

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Fortress Paper Ltd.	Rights Restricted Share Units	Loewen, Kurt	5	08/28/2012	57	15.81	18,427	-5,334
Fortress Paper Ltd.	Common Shares Class A voting without par value	Wasilenkoff, Chadwick James	3, 4, 5	08/27/2012	51	8	2,407,961	18,000
Fortress Paper Ltd.	Common Shares Class A voting without par value	Wasilenkoff, Chadwick James	3, 4, 5	08/28/2012	57	15.81	2,424,629	16,668
Fortress Paper Ltd.	Options	Wasilenkoff, Chadwick James	3, 4, 5	08/27/2012	51	8	304,675	-18,000
Fortress Paper Ltd.	Options	Wasilenkoff, Chadwick James	3, 4, 5	08/31/2012	50	15.41	504,675	200,000
Fortress Paper Ltd.	Rights Restricted Share Units	Wasilenkoff, Chadwick James	3, 4, 5	08/28/2012	57	15.81	102,882	-16,668
Fortune Minerals Limited	Common Shares	Clouter, Carl Lester	4	08/23/2012	10	0.59	898,869	-37,500
Fortune Minerals Limited	Common Shares	Clouter, Carl Lester	4	08/24/2012	10	0.59	789,869	-109,000
Fortune Minerals Limited	Common Shares	Clouter, Carl Lester	4	08/29/2012	10	0.59	751,369	-38,500
Fortune Minerals Limited	Common Shares	Clouter, Carl Lester	4	08/29/2012	10	0.58	750,900	-469
Franco-Nevada Corporation	Common Shares	Gardiner, Stuart James	7	11/16/2011	10	41.443	6,114	-4,000
Franco-Nevada Corporation	Common Shares	Gardiner, Stuart James	7	08/29/2012	10	48.61	114	-6,000
Franco-Nevada Corporation	Common Shares	Lassonde, Pierre	4	08/24/2012	47		11,523	-10,047
Freegold Ventures Limited	Common Shares	Steblin, Gordon	5	08/22/2012	54	0.75	141,666	10,000
Freegold Ventures Limited	Warrants	Steblin, Gordon	5	08/22/2012	54	0.75	70,000	-10,000
Freeport Capital Inc.	Common Shares	Baillio, Bruce Donald	4	08/17/2012	46	0.315	704,210	154,210
Freeport Capital Inc.	Options	Baillio, Bruce Donald	4	11/20/2009	00			
Freeport Capital Inc.	Options	Baillio, Bruce Donald	4	08/17/2012	53		115,658	115,658
Freeport Capital Inc.	Options	Baillio, Bruce Donald	4	08/23/2012	50		315,658	200,000
Freeport Capital Inc.	Common Shares	Barone, Massimo	7	11/20/2009	00			
Freeport Capital Inc.	Common Shares	Barone, Massimo	7	08/17/2012	46	0.315	741,429	741,429
Freeport Capital Inc.	Options	Barone, Massimo	7	11/20/2009	00			
Freeport Capital Inc.	Options	Barone, Massimo	7	08/17/2012	53		556,072	556,072
Freeport Capital Inc.	Options	Barone, Massimo	7	08/23/2012	50		1,030,370	474,298
Freeport Capital Inc.	Common Shares	Continelli, Paolo	7	11/20/2009	00			
Freeport Capital Inc.	Common Shares	Continelli, Paolo	7	08/17/2012	46	0.315	461,105	461,105
Freeport Capital Inc.	Options	Continelli, Paolo	7	11/20/2009	00			
Freeport Capital Inc.	Options	Continelli, Paolo	7	08/17/2012	53		345,829	345,829
Freeport Capital Inc.	Options	Continelli, Paolo	7	08/23/2012	50		820,127	474,298
Freeport Capital Inc.	Common Shares	Tasillo, Michele	4	08/17/2012	46	0.315	630,887	80,887
Freeport Capital Inc.	Options	Tasillo, Michele	4	11/20/2009	00			
Freeport Capital Inc.	Options	Tasillo, Michele	4	08/17/2012	53		60,665	60,665
Freeport Capital Inc.	Options	Tasillo, Michele	4	08/23/2012	50		210,665	150,000
Frontier Acquisition Corp.	Common Shares	Peterson, Darrell	4	01/31/2012	00		250,001	
Frontier Acquisition Corp.	Options	Peterson, Darrell	4	01/31/2012	00		109,000	
Gamehost Inc.	Common Shares	Thomas, Craig McLeod	5	12/31/2010	00		1,000	
Gamehost Inc.	Common Shares	Thomas, Craig McLeod	5	08/24/2012	10	13.1	12,650	-3,800
GeoGlobal Resources Inc.	Common Shares	Roy, Jean Paul Joseph	3, 4	08/28/2012	11		18,344,991	-4,075,000
GeoNovus Minerals Corp.	Common Shares	England, Michael Bruno John Franz	4	08/28/2012	10	0.075	716,320	35,000
GeoNovus Minerals Corp.	Common Shares	England, Michael Bruno John Franz	4	08/30/2012	10	0.075	729,320	13,000
Gildan Activewear Inc.	Options	Brito, Luis Alonso	5	08/20/2012	51			-200
Gildan Activewear Inc.	Options	Brito, Luis Alonso	5	08/20/2012	51	27.17	2,420	-200
Gildan Activewear Inc.	Options	Brito, Luis Alonso	5	08/20/2012	51			-90
Gildan Activewear Inc.	Options	Brito, Luis Alonso	5	08/20/2012	51	27.17	2,330	-90
Gildan Activewear Inc.	Options	Brito, Luis Alonso	5	08/20/2012	51			-400
Gildan Activewear Inc.	Options	Brito, Luis Alonso	5	08/20/2012	51	23.49	1,930	-400
Gildan Activewear Inc.	Options	Brito, Luis Alonso	5	08/20/2012	51			-100
Gildan Activewear Inc.	Options	Brito, Luis Alonso	5	08/20/2012	51	23.49	1,830	-100
Gildan Activewear Inc.	Options	Brito, Luis Alonso	5	08/20/2012	51			-33
Gildan Activewear Inc.	Options	Brito, Luis Alonso	5	08/20/2012	51	23.49	1,797	-33
Gildan Activewear Inc.	Common Shares	Castro, Marco	5	08/29/2012	51	23.49	3,142	1,142
Gildan Activewear Inc.	Common Shares	Castro, Marco	5	08/29/2012	10	30.349	2,000	-1,142
Gildan Activewear Inc.	Common Shares	Castro, Marco	5	08/29/2012	10	30.294	1,000	-1,000
Gildan Activewear Inc.	Options	Castro, Marco	5	08/29/2012	51	23.49	1,660	-1,142
Globex Mining Enterprises Inc.	Common Shares	STOCH, JACK	3, 4, 5	08/23/2012	10	0.97	2,117,162	12,000
Globex Mining Enterprises Inc.	Common Shares	STOCH, JACK	3, 4, 5	08/23/2012	10	0.99	2,130,662	13,500
Globex Mining Enterprises Inc.	Common Shares	STOCH, JACK	3, 4, 5	08/24/2012	10	0.95	2,132,162	1,500
GLV Inc.	Subordinate Voting Shares GLV.A	LALANDE, Sylvie	4	08/31/2012	10	1.9	52,850	2,650
GLV Inc.	Subordinate Voting Shares GLV.A	LALANDE, Sylvie	4	08/31/2012	10	1.89	53,750	900
Gold Canyon Resources Inc.	Common Shares	Inwentash, Sheldon	6	08/27/2012	10	1.56	4,030,800	45,000
Gold Canyon Resources Inc.	Common Shares	Inwentash, Sheldon	6	08/28/2012	10	1.55	4,050,800	20,000
Gold Royalties Corporation (formerly Richmond Row Capital Corp.)	Options	Downie, Charles Claude	4	07/27/2012	00			

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Gold Royalties Corporation (formerly Richmond Row Capital Corp.)	Options	Downie, Charles Claude	4	08/22/2012	50	0.825	150,000	150,000
Gold Royalties Corporation (formerly Richmond Row Capital Corp.)	Options	Fleming, Robert Ian	5	08/22/2012	00			
Gold Royalties Corporation (formerly Richmond Row Capital Corp.)	Options	Fleming, Robert Ian	5	08/22/2012	00		100,000	
Gold Royalties Corporation (formerly Richmond Row Capital Corp.)	Options	Hearst, Brian Mack	4	08/22/2012	00			
Gold Royalties Corporation (formerly Richmond Row Capital Corp.)	Options	Hearst, Brian Mack	4	08/22/2012	50	0.825	200,000	200,000
Gold Royalties Corporation (formerly Richmond Row Capital Corp.)	Common Shares	Kalt, Ryan	4, 5	08/22/2012	00		573,300	
Gold Royalties Corporation (formerly Richmond Row Capital Corp.)	Common Shares	Kalt, Ryan	4, 5	08/22/2012	00		540,000	
Gold Royalties Corporation (formerly Richmond Row Capital Corp.)	Options	Kalt, Ryan	4, 5	08/22/2012	00		50,000	
Gold Royalties Corporation (formerly Richmond Row Capital Corp.)	Options	Kalt, Ryan	4, 5	08/22/2012	50		250,000	200,000
Gold Royalties Corporation (formerly Richmond Row Capital Corp.)	Warrants	Kalt, Ryan	4, 5	08/22/2012	00		3,600,000	
Gold Royalties Corporation (formerly Richmond Row Capital Corp.)	Warrants	Kalt, Ryan	4, 5	08/22/2012	11		3,611,665	11,665
Gold Royalties Corporation (formerly Richmond Row Capital Corp.)	Warrants	Kalt, Ryan	4, 5	08/22/2012	00		540,000	
Gold Royalties Corporation (formerly Richmond Row Capital Corp.)	Common Shares	King, Stephen Walter	4	08/22/2012	00		71,000	
Gold Royalties Corporation (formerly Richmond Row Capital Corp.)	Options	King, Stephen Walter	4	08/22/2012	00		200,000	
Gold Royalties Corporation (formerly Richmond Row Capital Corp.)	Warrants	King, Stephen Walter	4	08/22/2012	00		35,500	
Goldcorp Inc.	Common Shares	Gardiner, Stuart James	7	05/01/2009	00			
Goldcorp Inc.	Options	Gardiner, Stuart James	7	02/28/2012	50	48.8	87,356	13,356
Golden Dawn Minerals Inc.	Common Shares	Wiese, Wolfgang	4, 5	08/28/2012	10	0.05	1,946,333	-383,000
Golden Predator Corp.	Options	Abrams, Mark John	5	04/13/2011	50	1		60,000
Golden Predator Corp.	Options	Abrams, Mark John	5	04/13/2011	50	0.5	160,000	60,000
Golden Predator Corp.	Options	Abrams, Mark John	5	06/07/2011	50			50,000
Golden Predator Corp.	Options	Abrams, Mark John	5	06/07/2011	50		210,000	50,000
Golden Predator Corp.	Options	Abrams, Mark John	5	11/22/2011	50			25,000
Golden Predator Corp.	Options	Abrams, Mark John	5	11/22/2011	50		235,000	25,000
Golden Predator Corp.	Options	Harris, William	4	01/04/2010	50	0.76		2,500
Golden Predator Corp.	Options	Harris, William	4	01/04/2010	50	0.5	225,000	2,500
Golden Predator Corp.	Options	Harris, William	4	12/09/2010	50	0.68		65,000
Golden Predator Corp.	Options	Harris, William	4	12/09/2010	50	0.5	315,000	65,000
Golden Predator Corp.	Options	Harris, William	4	04/13/2011	50	1		75,000
Golden Predator Corp.	Options	Harris, William	4	04/13/2011	50	0.5	390,000	75,000
Golden Predator Corp.	Options	Harris, William	4	06/07/2011	50			100,000
Golden Predator Corp.	Options	Harris, William	4	06/07/2011	50		490,000	100,000
Golden Predator Corp.	Options	Harris, William	4	11/22/2011	50			50,000
Golden Predator Corp.	Options	Harris, William	4	11/22/2011	50		540,000	50,000
Golden Predator Corp.	Options	La Couvee, Nancy Carol	5	08/27/2012	38	0.7	165,000	-15,000
Golden Predator Corp.	Options	La Couvee, Nancy Carol	5	08/27/2012	50	0.5		15,000
Golden Predator Corp.	Options	La Couvee, Nancy Carol	5	08/27/2012	50	0.5	180,000	15,000
Golden Predator Corp.	Options	La Couvee, Nancy Carol	5	08/27/2012	38	1.05		-25,000
Golden Predator Corp.	Options	La Couvee, Nancy Carol	5	08/27/2012	38	1.05	155,000	-25,000
Golden Predator Corp.	Options	La Couvee, Nancy Carol	5	08/27/2012	50	0.5	180,000	25,000
Golden Predator Corp.	Options	La Couvee, Nancy Carol	5	08/27/2012	38	1	160,000	-20,000
Golden Predator Corp.	Options	La Couvee, Nancy Carol	5	08/27/2012	50	0.5	180,000	20,000
Golden Predator Corp.	Options	La Couvee, Nancy Carol	5	08/27/2012	38	0.68	155,000	-25,000
Golden Predator Corp.	Options	La Couvee, Nancy Carol	5	08/27/2012	50	0.5	180,000	25,000
Golden Predator Corp.	Options	La Couvee, Nancy Carol	5	08/27/2012	38	0.6	150,000	-30,000
Golden Predator Corp.	Options	La Couvee, Nancy Carol	5	08/27/2012	50	0.5	180,000	30,000
Golden Predator Corp.	Options	La Couvee, Nancy Carol	5	08/27/2012	38	0.6	130,000	-50,000
Golden Predator Corp.	Options	La Couvee, Nancy Carol	5	08/27/2012	50	0.5	180,000	50,000
Golden Predator Corp.	Options	Lee, Janet	5	08/27/2012	38	0.7	502,500	-45,000

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Golden Predator Corp.	Options	Lee, Janet	5	08/27/2012	50	0.5	547,500	45,000
Golden Predator Corp.	Options	Lee, Janet	5	08/27/2012	38	1.05	462,500	-85,000
Golden Predator Corp.	Options	Lee, Janet	5	08/27/2012	50	0.5	547,500	85,000
Golden Predator Corp.	Options	Lee, Janet	5	08/27/2012	38	1	487,500	-60,000
Golden Predator Corp.	Options	Lee, Janet	5	08/27/2012	50	0.5	547,500	60,000
Golden Predator Corp.	Options	Lee, Janet	5	08/27/2012	38	0.68	482,500	-65,000
Golden Predator Corp.	Options	Lee, Janet	5	08/27/2012	50	0.5	547,500	65,000
Golden Predator Corp.	Options	Lee, Janet	5	08/27/2012	38	0.6	522,500	-25,000
Golden Predator Corp.	Options	Lee, Janet	5	08/27/2012	50	0.5	547,500	25,000
Golden Predator Corp.	Options	Lee, Janet	5	08/27/2012	38	0.76	540,500	-7,000
Golden Predator Corp.	Options	Lee, Janet	5	08/27/2012	50	0.5	547,500	7,000
Golden Predator Corp.	Options	Lee, Janet	5	08/27/2012	38	0.65	482,000	-65,500
Golden Predator Corp.	Options	Lee, Janet	5	08/27/2012	50	0.5	547,500	65,500
Golden Predator Corp.	Options	Lee, Janet	5	08/27/2012	38	0.65	527,500	-20,000
Golden Predator Corp.	Options	Lee, Janet	5	08/27/2012	50	0.5	547,500	20,000
Golden Predator Corp.	Options	Legg, John William	4, 5	08/27/2012	38	0.7	2,025,000	-175,000
Golden Predator Corp.	Options	Legg, John William	4, 5	08/27/2012	50	0.5	2,200,000	175,000
Golden Predator Corp.	Options	Legg, John William	4, 5	08/27/2012	38	1.05	1,800,000	-400,000
Golden Predator Corp.	Options	Legg, John William	4, 5	08/27/2012	50	0.5	2,200,000	400,000
Golden Predator Corp.	Options	Legg, John William	4, 5	08/27/2012	38	1	1,900,000	-300,000
Golden Predator Corp.	Options	Legg, John William	4, 5	08/27/2012	50	0.5	2,200,000	300,000
Golden Predator Corp.	Options	Legg, John William	4, 5	08/27/2012	38	0.68	1,950,000	-250,000
Golden Predator Corp.	Options	Legg, John William	4, 5	08/27/2012	50	0.5		250,000
Golden Predator Corp.	Options	Legg, John William	4, 5	08/27/2012	50	0.5	2,200,000	250,000
Golden Predator Corp.	Options	Legg, John William	4, 5	08/27/2012	38	0.6		-175,000
Golden Predator Corp.	Options	Legg, John William	4, 5	08/27/2012	38	0.6	2,025,000	-175,000
Golden Predator Corp.	Options	Legg, John William	4, 5	08/27/2012	50	0.5		175,000
Golden Predator Corp.	Options	Legg, John William	4, 5	08/27/2012	50	0.5	2,200,000	175,000
Golden Predator Corp.	Options	Legg, John William	4, 5	08/27/2012	38	0.76	2,176,750	-23,250
Golden Predator Corp.	Options	Legg, John William	4, 5	08/27/2012	50	0.5	2,200,000	23,250
Golden Predator Corp.	Options	Legg, John William	4, 5	08/27/2012	38	0.65	1,873,250	-326,750
Golden Predator Corp.	Options	Legg, John William	4, 5	08/27/2012	50	0.5	2,200,000	326,750
Golden Predator Corp.	Options	Legg, John William	4, 5	08/27/2012	38	0.65	1,965,000	-235,000
Golden Predator Corp.	Options	Legg, John William	4, 5	08/27/2012	50	0.5	2,200,000	235,000
Golden Predator Corp.	Options	Legg, John William	4, 5	08/27/2012	38	0.61	2,160,000	-40,000
Golden Predator Corp.	Options	Legg, John William	4, 5	08/27/2012	50	0.5	2,200,000	40,000
Golden Predator Corp.	Options	Maslowski, Michael	5	08/27/2012	38	0.7	375,000	-200,000
Golden Predator Corp.	Options	Maslowski, Michael	5	08/27/2012	50	0.5	575,000	200,000
Golden Predator Corp.	Options	Maslowski, Michael	5	08/27/2012	38	1.05	500,000	-75,000
Golden Predator Corp.	Options	Maslowski, Michael	5	08/27/2012	50	0.5	575,000	75,000
Golden Predator Corp.	Options	Maslowski, Michael	5	08/27/2012	38	1	375,000	-200,000
Golden Predator Corp.	Options	Maslowski, Michael	5	08/27/2012	50	0.5	575,000	200,000
Golden Predator Corp.	Options	McDonald, Piers	4	08/27/2012	38	0.7	525,000	-75,000
Golden Predator Corp.	Options	McDonald, Piers	4	08/27/2012	50	0.5	600,000	75,000
Golden Predator Corp.	Options	McDonald, Piers	4	08/27/2012	38	1.05	475,000	-125,000
Golden Predator Corp.	Options	McDonald, Piers	4	08/27/2012	50	0.5	600,000	125,000
Golden Predator Corp.	Options	McDonald, Piers	4	08/27/2012	38	1	500,000	-100,000
Golden Predator Corp.	Options	McDonald, Piers	4	08/27/2012	50	0.5	600,000	100,000
Golden Predator Corp.	Options	McDonald, Piers	4	08/27/2012	38	0.68	485,000	-115,000
Golden Predator Corp.	Options	McDonald, Piers	4	08/27/2012	50	0.5	600,000	115,000
Golden Predator Corp.	Options	McDonald, Piers	4	08/27/2012	38	0.6	475,000	-125,000
Golden Predator Corp.	Options	McDonald, Piers	4	08/27/2012	50	0.5	600,000	125,000
Golden Predator Corp.	Options	O'Brien, Michael Charles	5	08/27/2012	38	0.7	565,000	-25,000
Golden Predator Corp.	Options	O'Brien, Michael Charles	5	08/27/2012	50	0.5	590,000	25,000
Golden Predator Corp.	Options	O'Brien, Michael Charles	5	08/27/2012	38	1.05	490,000	-100,000
Golden Predator Corp.	Options	O'Brien, Michael Charles	5	08/27/2012	50	0.5	590,000	100,000
Golden Predator Corp.	Options	O'Brien, Michael Charles	5	08/27/2012	38	1	490,000	-100,000
Golden Predator Corp.	Options	O'Brien, Michael Charles	5	08/27/2012	50	0.5	590,000	100,000
Golden Predator Corp.	Options	O'Brien, Michael Charles	5	08/27/2012	38	0.68	525,000	-65,000
Golden Predator Corp.	Options	O'Brien, Michael Charles	5	08/27/2012	50	0.5	590,000	65,000
Golden Predator Corp.	Options	O'Brien, Michael Charles	5	08/27/2012	38	0.6	545,000	-45,000
Golden Predator Corp.	Options	O'Brien, Michael Charles	5	08/27/2012	50	0.5	590,000	45,000
Golden Predator Corp.	Options	O'Brien, Michael Charles	5	08/27/2012	38	0.76	410,000	-180,000
Golden Predator Corp.	Options	O'Brien, Michael Charles	5	08/27/2012	50	0.5	590,000	180,000
Golden Predator Corp.	Options	RAYMENT, BARRY DONALD	4	12/09/2010	50	0.68		65,000
Golden Predator Corp.	Options	RAYMENT, BARRY DONALD	4	12/09/2010	50	0.5	315,000	65,000
Golden Predator Corp.	Options	RAYMENT, BARRY DONALD	4	04/13/2011	50	1		75,000
Golden Predator Corp.	Options	RAYMENT, BARRY DONALD	4	04/13/2011	50	0.5	390,000	75,000
Golden Predator Corp.	Options	RAYMENT, BARRY DONALD	4	06/07/2011	50	0.5	490,000	100,000
Golden Predator Corp.	Options	RAYMENT, BARRY DONALD	4	06/08/2011	50	1.05		100,000
Golden Predator Corp.	Options	RAYMENT, BARRY DONALD	4	11/22/2011	50	0.7		50,000

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Golden Predator Corp.	Options	RAYMENT, BARRY DONALD	4	11/22/2011	50	0.5		50,000
Golden Predator Corp.	Options	RAYMENT, BARRY DONALD	4	11/22/2011	50	0.5	540,000	50,000
Golden Predator Corp.	Options	Sheriff, William Morris	6	08/27/2012	38	0.7	1,950,000	-175,000
Golden Predator Corp.	Options	Sheriff, William Morris	6	08/27/2012	50	0.5	2,125,000	175,000
Golden Predator Corp.	Options	Sheriff, William Morris	6	08/27/2012	38	1.05		-400,000
Golden Predator Corp.	Options	Sheriff, William Morris	6	08/27/2012	38	1.05	1,725,000	-400,000
Golden Predator Corp.	Options	Sheriff, William Morris	6	08/27/2012	50	0.5		400,000
Golden Predator Corp.	Options	Sheriff, William Morris	6	08/27/2012	50	0.5	2,125,000	400,000
Golden Predator Corp.	Options	Sheriff, William Morris	6	08/27/2012	38	1	1,825,000	-300,000
Golden Predator Corp.	Options	Sheriff, William Morris	6	08/27/2012	50	0.5	2,125,000	300,000
Golden Predator Corp.	Options	Sheriff, William Morris	6	08/27/2012	38	0.68	1,875,000	-250,000
Golden Predator Corp.	Options	Sheriff, William Morris	6	08/27/2012	50	0.5	2,125,000	250,000
Golden Predator Corp.	Options	Sheriff, William Morris	6	08/27/2012	38	0.6	1,975,000	-150,000
Golden Predator Corp.	Options	Sheriff, William Morris	6	08/27/2012	50	0.5		150,000
Golden Predator Corp.	Options	Sheriff, William Morris	6	08/27/2012	50	0.5	2,125,000	150,000
Golden Predator Corp.	Options	Sheriff, William Morris	6	08/27/2012	38	0.76	2,100,250	-24,750
Golden Predator Corp.	Options	Sheriff, William Morris	6	08/27/2012	50	0.5	2,125,000	24,750
Golden Predator Corp.	Options	Sheriff, William Morris	6	08/27/2012	38	0.65	2,034,750	-90,250
Golden Predator Corp.	Options	Sheriff, William Morris	6	08/27/2012	50	0.65	2,125,000	90,250
Golden Predator Corp.	Options	Sheriff, William Morris	6	08/27/2012	38	0.65	1,775,000	-350,000
Golden Predator Corp.	Options	Sheriff, William Morris	6	08/27/2012	50	0.5	2,125,000	350,000
Golden Predator Corp.	Options	Sheriff, William Morris	6	08/27/2012	38	0.61	2,065,000	-60,000
Golden Predator Corp.	Options	Sheriff, William Morris	6	08/27/2012	50	0.5	2,125,000	60,000
Golden Predator Corp.	Options	Shilleto, Blair Mason	4	08/27/2012	38	0.7	475,000	-125,000
Golden Predator Corp.	Options	Shilleto, Blair Mason	4	08/27/2012	50	0.5	600,000	125,000
Golden Predator Corp.	Options	Shilleto, Blair Mason	4	08/27/2012	38	1.05	475,000	-125,000
Golden Predator Corp.	Options	Shilleto, Blair Mason	4	08/27/2012	50	0.5	600,000	125,000
Golden Predator Corp.	Options	Shilleto, Blair Mason	4	08/27/2012	38	1	500,000	-100,000
Golden Predator Corp.	Options	Shilleto, Blair Mason	4	08/27/2012	50	0.5	600,000	100,000
Golden Predator Corp.	Options	Shilleto, Blair Mason	4	08/27/2012	38	0.68	450,000	-150,000
Golden Predator Corp.	Options	Shilleto, Blair Mason	4	08/27/2012	50	0.5	600,000	150,000
Golden Predator Corp.	Options	Skimming, Thomas	4	08/27/2012	38	0.7	550,000	-50,000
Golden Predator Corp.	Options	Skimming, Thomas	4	08/27/2012	50	0.5	600,000	50,000
Golden Predator Corp.	Options	Skimming, Thomas	4	08/27/2012	38	1.05	500,000	-100,000
Golden Predator Corp.	Options	Skimming, Thomas	4	08/27/2012	50	0.5	600,000	100,000
Golden Predator Corp.	Options	Skimming, Thomas	4	08/27/2012	38	1	525,000	-75,000
Golden Predator Corp.	Options	Skimming, Thomas	4	08/27/2012	50	0.5	600,000	75,000
Golden Predator Corp.	Options	Skimming, Thomas	4	08/27/2012	38	0.68	535,000	-65,000
Golden Predator Corp.	Options	Skimming, Thomas	4	08/27/2012	50	0.5	600,000	65,000
Golden Predator Corp.	Options	Skimming, Thomas	4	08/27/2012	38	0.6	575,000	-25,000
Golden Predator Corp.	Options	Skimming, Thomas	4	08/27/2012	50	0.5	600,000	25,000
Golden Predator Corp.	Options	Skimming, Thomas	4	08/27/2012	38	0.76	597,500	-2,500
Golden Predator Corp.	Options	Skimming, Thomas	4	08/27/2012	50	0.5	600,000	2,500
Golden Predator Corp.	Options	Skimming, Thomas	4	08/27/2012	38	0.65	560,000	-40,000
Golden Predator Corp.	Options	Skimming, Thomas	4	08/27/2012	50	0.5	600,000	40,000
Golden Predator Corp.	Options	Skimming, Thomas	4	08/27/2012	38	0.65	505,000	-95,000
Golden Predator Corp.	Options	Skimming, Thomas	4	08/27/2012	50	0.5	600,000	95,000
Golden Predator Corp.	Options	Skimming, Thomas	4	08/27/2012	38	0.61	587,500	-12,500
Golden Predator Corp.	Options	Skimming, Thomas	4	08/27/2012	50	0.5	600,000	12,500
Golden Predator Royalty & Development Corp.	Options	Harris, William	4	07/30/2009	50	0.61		12,500
Golden Predator Royalty & Development Corp.	Options	Harris, William	4	07/30/2009	50	0.5	122,500	12,500
Golden Predator Royalty & Development Corp.	Options	Harris, William	4	09/23/2009	50	0.65		80,000
Golden Predator Royalty & Development Corp.	Options	Harris, William	4	09/23/2009	50	0.5	202,500	80,000
Golden Predator Royalty & Development Corp.	Options	Harris, William	4	11/13/2009	50	0.65		20,000
Golden Predator Royalty & Development Corp.	Options	Harris, William	4	11/13/2009	50	0.5	222,500	20,000
Golden Predator Royalty & Development Corp.	Options	Harris, William	4	04/30/2010	50	0.6		25,000
Golden Predator Royalty & Development Corp.	Options	Harris, William	4	04/30/2010	50	0.5	250,000	25,000
Golden Predator Royalty & Development Corp.	Options	RAYMENT, BARRY DONALD	4	07/30/2009	50	0.61		12,500
Golden Predator Royalty & Development Corp.	Options	RAYMENT, BARRY DONALD	4	07/30/2009	50	0.5	122,500	12,500
Golden Predator Royalty & Development Corp.	Options	RAYMENT, BARRY DONALD	4	09/23/2009	50	0.65		80,000
Golden Predator Royalty & Development Corp.	Options	RAYMENT, BARRY DONALD	4	09/23/2009	50	0.5	202,500	80,000
Golden Predator Royalty & Development Corp.	Options	RAYMENT, BARRY DONALD	4	11/13/2009	50	0.65		20,000
Golden Predator Royalty & Development Corp.	Options	RAYMENT, BARRY DONALD	4	11/13/2009	50	0.5	222,500	20,000

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Golden Predator Royalty & Development Corp.	Options	RAYMENT, BARRY DONALD	4	01/04/2010	50	0.76		2,500
Golden Predator Royalty & Development Corp.	Options	RAYMENT, BARRY DONALD	4	01/04/2010	50	0.5	225,000	2,500
Golden Predator Royalty & Development Corp.	Options	RAYMENT, BARRY DONALD	4	04/30/2010	50	0.6		25,000
Golden Predator Royalty & Development Corp.	Options	RAYMENT, BARRY DONALD	4	04/30/2010	50	0.5	250,000	25,000
Golden Reign Resources Ltd.	Common Shares	Conlon, John	4	08/23/2012	11	0.64	5,779,311	400,000
Golden Share Mining Corporation	Common Shares	Baril, Florent	4	08/28/2012	10	0.055	73,975	19,000
Golden Share Mining Corporation	Common Shares	Baril, Florent	4	08/28/2012	10	0.06	204,975	131,000
GoldQuest Mining Corp.	Common Shares	Gold Fields Dominican Republic BVI Limited	3	08/27/2012	16		0	-8,600,000
GoldQuest Mining Corp.	Common Shares	Gold Fields Exploration B.V.	3	08/27/2012	16		0	-5,362,500
GoldQuest Mining Corp.	Common Shares	Rosseau Asset Management Ltd.	3	08/27/2012	10	1.7	20,346,500	3,000,000
GoldQuest Mining Corp. (formerly Wellington Cove Explorations Ltd.)	Common Shares	Gold Fields Exploration B.V.	3	06/22/2007	00			
GoldQuest Mining Corp. (formerly Wellington Cove Explorations Ltd.)	Common Shares	Gold Fields Exploration B.V.	3	06/22/2007	00		4,162,500	
GoldQuest Mining Corp. (formerly Wellington Cove Explorations Ltd.)	Warrants	Gold Fields Exploration B.V.	3	06/22/2007	00			
GoldQuest Mining Corp. (formerly Wellington Cove Explorations Ltd.)	Warrants	Gold Fields Exploration B.V.	3	06/22/2007	00			
GoldQuest Mining Corp. (formerly Wellington Cove Explorations Ltd.)	Warrants	Gold Fields Exploration B.V.	3	06/22/2007	00			
GoldQuest Mining Corp. (formerly Wellington Cove Explorations Ltd.)	Warrants	Gold Fields Exploration B.V.	3	06/22/2007	00		1,200,000	
Gran Colombia Gold Corp.	Common Shares	Araujo, Maria Consuelo	5	08/27/2012	10	0.325	64,250	13,000
Gran Colombia Gold Corp.	Common Shares	Araujo, Maria Consuelo	5	08/27/2012	10	0.32	171,250	107,000
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Davies, Michael Monier	5	08/11/2011	15	1000		$25,000
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Davies, Michael Monier	5	08/11/2011	15	1000	$25,000	$25,000
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Davies, Michael Monier	5	11/23/2011	10	91		$29,000
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Davies, Michael Monier	5	11/23/2011	10	910		$26,390
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Davies, Michael Monier	5	11/23/2011	10	910	$51,390	$26,390
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Davies, Michael Monier	5	11/23/2011	10	900		$900
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Davies, Michael Monier	5	11/23/2011	10	900	$52,290	$900
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Davies, Michael Monier	5	08/29/2012	10	849.5		$25,485
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Davies, Michael Monier	5	08/29/2012	10	849.5	$77,775	$25,485
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	De La Campa, Miguel Angel	4, 5	08/11/2011	15	1000		$1,000,000
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	De La Campa, Miguel Angel	4, 5	08/11/2011	15	1000	$1,000,000	$1,000,000
Gran Colombia Gold Corp.	Common Shares	Iacono, Serafino	4, 5	08/29/2012	10	0.31	4,490,416	100,000
Gran Colombia Gold Corp.	Common Shares	Iacono, Serafino	4, 5	08/29/2012	10	0.325	4,515,416	25,000
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Iacono, Serafino	4, 5	08/11/2011	15	1000		$1,000,000
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Iacono, Serafino	4, 5	08/11/2011	15	1000	$1,000,000	$1,000,000
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Iacono, Serafino	4, 5	05/18/2012	10	840		$62,160
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Iacono, Serafino	4, 5	05/18/2012	10	840	$1,062,160	$62,160
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Iacono, Serafino	4, 5	05/22/2012	10	840		$36,960
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Iacono, Serafino	4, 5	05/22/2012	10	840	$1,099,120	$36,960
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Iacono, Serafino	4, 5	05/23/2012	10	840		$100,800
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Iacono, Serafino	4, 5	05/23/2012	10	840	$1,199,920	$100,800
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Iacono, Serafino	4, 5	05/24/2012	10	839.9		$1,680
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Iacono, Serafino	4, 5	05/24/2012	10	839.9		$1,680
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Iacono, Serafino	4, 5	05/24/2012	10	839.9	$1,201,600	$1,680
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Iacono, Serafino	4, 5	05/24/2012	10	840		$45,360
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Iacono, Serafino	4, 5	05/24/2012	10	840	$1,246,960	$45,360
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Volk, Peter Joseph	5	08/11/2011	15	1000		$250,000
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Volk, Peter Joseph	5	08/11/2011	15	1000	$250,000	$250,000
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Volk, Peter Joseph	5	12/13/2011	10	85		$42,500
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Volk, Peter Joseph	5	12/13/2011	10	850		$42,500
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Volk, Peter Joseph	5	12/13/2011	10	850	$292,500	$42,500
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Volk, Peter Joseph	5	05/24/2012	10	840		$42,000
Gran Colombia Gold Corp.	Notes Silver-Linked Notes	Volk, Peter Joseph	5	05/24/2012	10	840	$334,500	$42,000
Great Canadian Gaming Corporation	Common Shares	Soo, Walter	5	08/24/2012	38	10	179,900	-26,100
Guardian Capital Group Limited	Non-Voting Shares Class A	Anas, James Sam	4	08/27/2012	10	9.35	3,700	2,500
Guide Exploration Ltd.	Options	ANDREW, WILLIAM E.	4, 5	08/24/2012	52	2.81	25,000	-300,000
Guide Exploration Ltd.	Options	ANDREW, WILLIAM E.	4, 5	08/24/2012	52	2.3	0	-25,000

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Guide Exploration Ltd.	Common Shares	Brussa, John Albert	4	08/24/2012	51	3.13		50,000
Guide Exploration Ltd.	Common Shares	Brussa, John Albert	4	08/24/2012	51	3.13		50,000
Guide Exploration Ltd.	Options	Brussa, John Albert	4	08/24/2012	51	3.13		-50,000
Guide Exploration Ltd.	Options	Brussa, John Albert	4	08/24/2012	51	3.13		-50,000
Guide Exploration Ltd.	Options	Brussa, John Albert	4	08/24/2012	52		0	-50,000
Guide Exploration Ltd.	Options	Carley, Glenn Robert	4	08/24/2012	38		0	-50,000
Guide Exploration Ltd.	Options	Cooke, William Lyall	4	08/24/2012	52		0	-50,000
Guide Exploration Ltd.	Options	Crabtree, Shivon Maureen	5	08/24/2012	52		0	-350,000
Guide Exploration Ltd.	Common Shares	Errico, Jeffery Ernest	4	08/24/2012	38	3.13	0	-50,000
Guide Exploration Ltd.	Options	Fenwick, Lawrence Earl	4	08/24/2012	38	3.13	0	-50,000
Guide Exploration Ltd.	Options	Iverson, James Douglas	5	08/24/2012	52		353,500	-36,000
Guide Exploration Ltd.	Options	Iverson, James Douglas	5	08/24/2012	52	5.4	332,500	-21,000
Guide Exploration Ltd.	Options	Iverson, James Douglas	5	08/24/2012	52	3.9	272,500	-60,000
Guide Exploration Ltd.	Options	Iverson, James Douglas	5	08/24/2012	52	2.23	97,500	-175,000
Guide Exploration Ltd.	Options	Iverson, James Douglas	5	08/24/2012	52	2.3	37,500	-60,000
Guide Exploration Ltd.	Options	MILLER, DALE A.	4, 5	08/24/2012	52	2.81	125,000	-500,000
Guide Exploration Ltd.	Options	MILLER, DALE A.	4, 5	08/24/2012	52	2.3	0	-125,000
Guide Exploration Ltd.	Options	MUNRO, BRADLEY R.	4	08/24/2012	38	3.13	0	-50,000
Guide Exploration Ltd.	Options	Newson, Patricia Marie	4	08/24/2012	38		0	-50,000
Guide Exploration Ltd.	Options	Orton, Dale John	5	08/24/2012	52		0	-367,000
Guide Exploration Ltd.	Options	Sundstrom, Devin Kent	5	08/24/2012	52		0	-352,000
Guide Exploration Ltd.	Options	Tang Kong, William	5	08/24/2012	52	2.81	60,000	-300,000
Guide Exploration Ltd.	Options	Tang Kong, William	5	08/24/2012	52	2.3	0	-60,000
Guyana Goldfields Inc.	Options	Ferry, Alan	4	04/24/2012	50			190,000
Guyana Goldfields Inc.	Options	Ferry, Alan	4	04/24/2012	50			190,000
Guyana Goldfields Inc.	Options	Ferry, Alan	4	08/26/2012	52		290,000	-200,000
Hamilton Thorne Ltd. (formerly Calotto Capital Inc.)	Convertible Debentures non-convertible	AWM Investment Company, Inc.	3	01/18/2011	00			
Hamilton Thorne Ltd. (formerly Calotto Capital Inc.)	Convertible Debentures non-convertible	AWM Investment Company, Inc.	3	08/29/2012	11		$150,000	$150,000
Hamilton Thorne Ltd. (formerly Calotto Capital Inc.)	Convertible Debentures non-convertible	Greenhouse, David	6	01/18/2011	00			
Hamilton Thorne Ltd. (formerly Calotto Capital Inc.)	Convertible Debentures non-convertible	Greenhouse, David	6	08/29/2012	11		$150,000	$150,000
Hamilton Thorne Ltd. (formerly Calotto Capital Inc.)	Convertible Debentures non-convertible	Marxe, Austin	6	01/18/2011	00			
Hamilton Thorne Ltd. (formerly Calotto Capital Inc.)	Convertible Debentures non-convertible	Marxe, Austin	6	08/29/2012	11		$150,000	$150,000
Hamilton Thorne Ltd. (formerly Calotto Capital Inc.)	Convertible Debentures non-convertible	Marxe, Dianne	6	01/18/2011	00			
Hamilton Thorne Ltd. (formerly Calotto Capital Inc.)	Convertible Debentures non-convertible	Marxe, Dianne	6	08/29/2012	11		$150,000	$150,000
Hamilton Thorne Ltd. (formerly Calotto Capital Inc.)	Convertible Debentures non-convertible	Thorne, Daniel Kempner	3	10/28/2009	00			
Hamilton Thorne Ltd. (formerly Calotto Capital Inc.)	Convertible Debentures non-convertible	Thorne, Daniel Kempner	3	08/31/2012	11	150000		$150,000
Hamilton Thorne Ltd. (formerly Calotto Capital Inc.)	Convertible Debentures non-convertible	Thorne, Daniel Kempner	3	08/31/2012	11	150000		$150,000
Hamilton Thorne Ltd. (formerly Calotto Capital Inc.)	Convertible Debentures non-convertible	Thorne, Daniel Kempner	3	08/31/2012	11		$150,000	$150,000
Hanfeng Evergreen Inc.	Common Shares	Hanfeng Evergreen Inc	1	08/31/2012	38	1.36	4,700	4,700
Hanfeng Evergreen Inc.	Common Shares	Hanfeng Evergreen Inc	1	08/31/2012	38	1.36	0	-4,700
HANWEI ENERGY SERVICES CORP.	Common Shares	Clay, Malcolm Frank	4	08/30/2010	00			
HANWEI ENERGY SERVICES CORP.	Common Shares	Clay, Malcolm Frank	4	08/27/2012	10	0.09	19,000	19,000
Harry Winston Diamond Corporation	Restricted Share Units	de Narp, Frederic	7	01/04/2010	00			
Harry Winston Diamond Corporation	Restricted Share Units	de Narp, Frederic	7	08/28/2012	56		101,449	101,449
Heatherdale Resources Ltd.	Options	Brommeland, Lena Kathryn	5	08/20/2012	50	0.165	195,000	39,000
Heatherdale Resources Ltd.	Options	Segsworth, Walter Thomas	4	08/21/2012	50	0.165	180,000	60,000
Helix BioPharma Corp.	Common Shares	Verhagen, Robert	5	08/29/2012	10		3,400	3,400
Hemisphere GPS Inc.	Common Shares	Whitehead, Michael Lloyd	5	08/29/2012	10	0.6	60,337	10,000
Heroux-Devtek Inc.	Common Shares	Meshay, Michael	5	08/27/2012	51	4.79	77,863	25,000
Heroux-Devtek Inc.	Common Shares	Meshay, Michael	5	08/29/2012	51	4.56	85,863	8,000
Heroux-Devtek Inc.	Options	Meshay, Michael	5	08/27/2012	51	4.79	63,200	-25,000
Heroux-Devtek Inc.	Options	Meshay, Michael	5	08/29/2012	51	4.56	55,200	-8,000
Heroux-Devtek Inc.	Common Shares	Morin, Louis	4	08/27/2012	10	11.2	20,000	11,000
High 5 Ventures Inc.	Common Shares	Arenas, Maria	5	08/31/2012	37		3,433	-48,067
High 5 Ventures Inc.	Common Shares	Kalpakian, Bedo H	4	08/31/2012	37		28,583	-400,169
High 5 Ventures Inc.	Common Shares	Kalpakian, Bedo H	4	08/31/2012	37		18,000	-252,000
High 5 Ventures Inc.	Common Shares	Kalpakian, Bedo H	4	08/31/2012	37		71,808	-1,005,325
High 5 Ventures Inc.	Common Shares	Kalpakian, Bedo H	4	08/31/2012	37		10,200	-142,800
High 5 Ventures Inc.	Warrants	Kalpakian, Bedo H	4	08/31/2012	37		133,333	-1,866,667
High 5 Ventures Inc.	Common Shares	Kalpakian, Jacob H	4	08/31/2012	37		31,261	-437,659
High 5 Ventures Inc.	Common Shares	Kalpakian, Jacob H	4	08/31/2012	37		18,000	-252,000
High 5 Ventures Inc.	Common Shares	Kalpakian, Jacob H	4	08/31/2012	37		14,933	-209,067
High 5 Ventures Inc.	Common Shares	Kalpakian, Jacob H	4	08/31/2012	37		71,808	-1,005,325

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
High 5 Ventures Inc.	Warrants	Kalpakian, Jacob H	4	08/31/2012	37		133,333	-1,866,667
High 5 Ventures Inc.	Common Shares	McFarlane, Greg	4	08/31/2012	37		756	-10,586
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	08/17/2012	38	1.63	6,248	6,248
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	08/20/2012	38	1.6131	8,848	2,600
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	08/21/2012	38	1.65	15,096	6,248
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	08/22/2012	38	1.679	21,344	6,248
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	08/22/2012	38		15,096	-6,248
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	08/23/2012	38	1.7	21,344	6,248
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	08/23/2012	38		18,744	-2,600
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	08/24/2012	38		12,496	-6,248
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	08/27/2012	38	1.74	18,744	6,248
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	08/27/2012	38		12,496	-6,248
High Arctic Energy Services Inc.	Common Shares	High Arctic Energy Services Inc., High Arctic Energy Services Inc.	1	08/28/2012	38		6,248	-6,248
High Arctic Energy Services Inc.	Common Shares	WARREN, Christopher Randall	4	08/27/2012	51	0.75	240,000	24,000
High Arctic Energy Services Inc.	Options	WARREN, Christopher Randall	4	08/27/2012	51	0.75	0	-24,000
Hillcrest Resources Ltd.	Common Shares	Currie, Donald James	4, 5	08/27/2012	10	0.08	887,000	5,000
Hillcrest Resources Ltd.	Common Shares	Currie, Donald James	4, 5	08/28/2012	10	0.07	892,000	5,000
Hillcrest Resources Ltd.	Common Shares	Currie, Donald James	4, 5	08/28/2012	10	0.075	895,000	3,000
Hillcrest Resources Ltd.	Common Shares	Currie, Donald James	4, 5	08/28/2012	10	0.08	912,000	17,000
Home Capital Group Inc.	Common Shares	Decina, Pino	5	08/17/2012	30	50.8605	544	2
Home Capital Group Inc.	Common Shares	Decina, Pino	5	08/17/2012	30	50.8605	238	1
Home Capital Group Inc.	Common Shares	Holland, Marie	5	08/17/2012	30	50.8605	385	26
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	08/24/2012	38	52.15	2,000	2,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	08/24/2012	38	52.15	0	-2,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	08/24/2012	38	52.25	2,000	2,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	08/24/2012	38	52.25	0	-2,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	08/27/2012	38	50.95	2,000	2,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	08/27/2012	38	50.95	0	-2,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	08/28/2012	38	50.65	2,000	2,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	08/28/2012	38	50.65	0	-2,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	08/28/2012	38	50.7	2,000	2,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	08/28/2012	38	50.7	0	-2,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	08/30/2012	38	50.5	2,000	2,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	08/30/2012	38	50.5	0	-2,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	08/30/2012	38	50.6	2,000	2,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	08/30/2012	38	50.6	0	-2,000
Home Capital Group Inc.	Common Shares	Hong, John	5	08/17/2012	30	50.8605	226	11
Home Capital Group Inc.	Common Shares	Mitchell, Robert A.	4	08/27/2012	10	51.08	1,400	-600
Home Capital Group Inc.	Common Shares	Mitchell, Robert A.	4	08/28/2012	10	50.43	150	-1,250
Home Capital Group Inc.	Common Shares	Reid, Martin	5	08/17/2012	30	50.8605	5,599	54
Home Capital Group Inc.	Common Shares	Soloway, Gerald M.	4, 5	08/17/2012	30	50.8605	266,493	65
Huntingdon Capital Corp.	Deferred Share Units	Doyle, Donald Gregory	4	08/08/2012	46	12.15	59,517	82
Huntingdon Capital Corp.	Deferred Share Units	Doyle, Donald Gregory	4	08/22/2012	46	12.65	59,596	79
Huntingdon Capital Corp.	Deferred Share Units	Evans, Steve	4	08/22/2012	46	12.65	18,626	79
Huntingdon Capital Corp.	Deferred Share Units	George, Zachary R.	4, 5	08/08/2012	46	12.15	170,983	82
Huntingdon Capital Corp.	Deferred Share Units	George, Zachary R.	4, 5	08/22/2012	46	12.65	171,062	79
Huntingdon Capital Corp.	Deferred Share Units	Goodman, Gary Michael	4	08/08/2012	46	12.65		82
Huntingdon Capital Corp.	Deferred Share Units	Goodman, Gary Michael	4	08/08/2012	46	12.15	69,752	82
Huntingdon Capital Corp.	Deferred Share Units	Goodman, Gary Michael	4	08/22/2012	46	12.65	69,831	79
Huntingdon Capital Corp.	Deferred Share Units	Hutcheson, Robert Scott	4	08/08/2012	46	12.15	54,129	82
Huntingdon Capital Corp.	Deferred Share Units	Hutcheson, Robert Scott	4	08/22/2012	46	12.65	54,208	79
Huntingdon Capital Corp.	Deferred Share Units	Lorber, David	4	08/08/2012	46	12.15	18,283	82
Huntingdon Capital Corp.	Deferred Share Units	Lorber, David	4	08/22/2012	46	12.65	18,362	79
Hydrogenics Corporation	Common Shares	Seagram, Norman	4	10/27/2009	00		19,225	
Hydrogenics Corporation	Common Shares	Seagram, Norman	4	03/12/2010	37		769	-18,456

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Hydrogenics Corporation	Common Shares	Seagram, Norman	4	10/27/2009	00			
Hydrogenics Corporation	Common Shares	Seagram, Norman	4	10/27/2009	00			
Hydrogenics Corporation	Common Shares	Seagram, Norman	4	10/27/2009	00		16,475	
Hydrogenics Corporation	Common Shares	Seagram, Norman	4	03/12/2010	37			-15,837
Hydrogenics Corporation	Common Shares	Seagram, Norman	4	03/12/2010	37			-34,272
Hydrogenics Corporation	Common Shares	Seagram, Norman	4	03/12/2010	37		659	-15,816
IGM Financial Inc.	Common Shares	Sims, Charles	4, 5	08/30/2012	10	38.26	11,927	400
IGM Financial Inc.	Common Shares	Sims, Charles	4, 5	08/30/2012	10	38.3	12,127	200
IGM Financial Inc.	Common Shares	Sims, Charles	4, 5	08/30/2012	10	38.37	12,627	500
IGM Financial Inc.	Common Shares	Sims, Charles	4, 5	08/30/2012	10	38.41	12,727	100
IGM Financial Inc.	Common Shares	Sims, Charles	4, 5	08/30/2012	10	38.42	12,827	100
IGM Financial Inc.	Common Shares	Sims, Charles	4, 5	08/30/2012	10	38.43	12,927	100
IGM Financial Inc.	Common Shares	Sims, Charles	4, 5	08/30/2012	10	38.44	14,027	1,100
Immunovaccine Inc.	Common Shares	SCARDINO, ALBERT JAMES	4	08/30/2012	10	0.252	594,547	81,000
IMRIS Inc.	Common Shares	Dahan, Meir	5	08/24/2012	30	2.01	11,000	6,000
IMRIS Inc.	Common Shares	Dahan, Meir	5	08/24/2012	10	4.01	5,000	-6,000
IMRIS Inc.	Options	Dahan, Meir	5	08/24/2012	51	2.01	350,679	-6,000
INDEXPLUS Dividend Fund	Trust Units	Indexplus Dividend Fund	1	08/24/2012	38	9.51	722,400	1,800
INDEXPLUS Dividend Fund	Trust Units	Indexplus Dividend Fund	1	08/27/2012	38	9.5	722,800	400
Indexplus Income Fund	Trust Units	IndexPlus Income Fund	1	08/24/2012	38	12.4	30,703,145	400
Indexplus Income Fund	Trust Units	IndexPlus Income Fund	1	08/27/2012	38	12.4	30,703,545	400
Indexplus Income Fund	Trust Units	IndexPlus Income Fund	1	08/28/2012	38	12.33	30,704,345	800
Indexplus Income Fund	Trust Units	IndexPlus Income Fund	1	08/29/2012	38	12.25	30,705,145	800
Indexplus Income Fund	Trust Units	IndexPlus Income Fund	1	08/30/2012	38	12.17	30,705,745	600
Industrial Alliance Insurance and Financial Services inc.	Common Shares	Charest, Yvon	4, 5	08/31/2012	10	25.25	113,542	2,000
Inmet Mining Corporation	Common Shares	Wojnicki, Jan (Jon)	5	08/24/2012	10	45.69	2,000	2,000
Inmet Mining Corporation	Common Shares	Wojnicki, Jan (Jon)	5	08/28/2012	10	45.18	3,000	1,000
Inmet Mining Corporation	Exchange Traded Call Options	Wojnicki, Jan (Jon)	5	01/16/2012	00			
Inmet Mining Corporation	Exchange Traded Call Options	Wojnicki, Jan (Jon)	5	08/24/2012	70	9.75	10	10
Inmet Mining Corporation	Exchange Traded Call Options	Wojnicki, Jan (Jon)	5	08/27/2012	70	12.75	20	10
Inmet Mining Corporation	Exchange Traded Call Options	Wojnicki, Jan (Jon)	5	08/27/2012	70	12.65	30	10
Inter Pipeline Fund	Deferred Unit Right	Arsenych, Stephen James	5	08/08/2011	57	15.474		12,000
Inter Pipeline Fund	Deferred Unit Right	Arsenych, Stephen James	5	08/08/2011	57	15.474	77,691	-12,000
Inter Pipeline Fund	Deferred Unit Right	Roberge, Jeremy Allan	5	08/08/2011	57	15.474		4,500
Inter Pipeline Fund	Deferred Unit Right	Roberge, Jeremy Allan	5	08/08/2011	57	15.474	59,001	-4,500
Inter Pipeline Fund	Deferred Unit Right	Roberge, Jeremy Allan	5	08/27/2012	57	21.552	51,366	-6,000
Inter Pipeline Fund	Deferred Unit Right	van Yzerloo, William Arnold	5	08/29/2012	57	21.408	162,669	-30,558
International Datacasting Corporation	Common Shares	Ata, Georges	4	06/25/2012	00			
International Datacasting Corporation	Common Shares	Ata, Georges	4	08/22/2012	10	0.195	2,500	2,500
International Datacasting Corporation	Common Shares	Ata, Georges	4	08/23/2012	10	0.195	4,000	1,500
International Datacasting Corporation	Common Shares	Lippert, Delbert	4	06/25/2012	00			
International Datacasting Corporation	Common Shares	Lippert, Delbert	4	06/25/2012	00			
International Datacasting Corporation	Common Shares	Lippert, Delbert	4	08/22/2012	10	0.208		136,000
International Datacasting Corporation	Common Shares	Lippert, Delbert	4	08/22/2012	10	0.208	136,000	136,000
International Tower Hill Mines Ltd.	Options	Drescher, Anton J.	4	08/24/2012	50		300,000	200,000
International Tower Hill Mines Ltd.	Options	Haddon, Timothy John	4	08/24/2012	50	3.17	250,000	150,000
International Tower Hill Mines Ltd.	Options	PONTIUS, Jeffrey A.	4	08/24/2012	50	3.17	750,000	650,000
International Tower Hill Mines Ltd.	Options	Yip, Tom	5	08/24/2012	50	3.17	1,100,000	500,000
InterRent Real Estate Investment Trust	Trust Units	Bouzanis, Paul	4	08/28/2012	10	5.72	129,573	300
InterRent Real Estate Investment Trust	Trust Units	Bouzanis, Paul	4	08/28/2012	10	5.75	128,286	600
InterRent Real Estate Investment Trust	Trust Units	Levinson, Jacie Sydney	4	08/29/2012	10	5.643	169,165	10,000
Intertainment Media Inc.	Common Shares	Lucatch, David Marc	6	08/28/2012	10	0.2	9,334,237	-3,451,000
Intertainment Media Inc.	Common Shares	Lucatch, David Marc	6	08/29/2012	10	0.1866	9,484,237	150,000
Intertainment Media Inc.	Common Shares	Lucatch, David Marc	6	08/29/2012	10	0.1963	9,784,237	300,000
Intertainment Media Inc.	Common Shares	Lucatch, David Marc	6	08/29/2012	10	0.205	9,984,237	200,000
Intertape Polymer Group Inc.	Common Shares	Bunze, George J.	4	08/29/2012	10	7.4125	35,250	10,000
Intertape Polymer Group Inc.	Common Shares	Yull, Gregory	4, 5	08/28/2012	10	6.834	171,666	500
Intertape Polymer Group Inc.	Common Shares	Yull, Gregory	4, 5	08/28/2012	10	6.84	172,066	400
Intertape Polymer Group Inc.	Common Shares	Yull, Gregory	4, 5	08/29/2012	10	7.15	182,066	10,000

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Intertape Polymer Group Inc.	Common Shares	Yull, Gregory	4, 5	08/28/2012	10	6.9	16,109	1,789
Intertape Polymer Group Inc.	Common Shares	Yull, Gregory	4, 5	08/28/2012	10	6.893	16,609	500
Intertape Polymer Group Inc.	Common Shares	Yull, Melbourne F.	4	08/28/2012	10	7.0754	798,134	15,000
IROC Energy Services Corp.	Common Shares	Troob Capital Management LLC	3	08/28/2012	10	2.5133	965,500	12,100
IROC Energy Services Corp.	Common Shares	Troob Capital Management LLC	3	08/29/2012	10	2.4999	977,900	12,400
IROC Energy Services Corp.	Common Shares	Troob Capital Management LLC	3	08/30/2012	10	2.4673	983,800	5,900
IROC Energy Services Corp.	Common Shares	Troob Capital Management LLC	3	08/28/2012	10	2.5297	2,884,457	1,201
IROC Energy Services Corp.	Common Shares	Troob Capital Management LLC	3	08/29/2012	10	2.5	2,884,657	200
IROC Energy Services Corp.	Common Shares	Troob Capital Management LLC	3	08/30/2012	10	2.4393	2,885,779	1,122
IROC Energy Services Corp.	Common Shares	Troob Capital Management LLC	3	08/28/2012	10	2.5297	3,543,998	1,699
IROC Energy Services Corp.	Common Shares	Troob Capital Management LLC	3	08/29/2012	10	2.5	3,544,798	800
IROC Energy Services Corp.	Common Shares	Troob Capital Management LLC	3	08/30/2012	10	2.4393	3,548,076	3,278
IROC Energy Services Corp.	Common Shares	Troob Capital Management LLC	3	08/29/2012	10	2.4995	367,600	8,200
IROC Energy Services Corp.	Common Shares	Troob Capital Management LLC	3	08/30/2012	10	2.49	368,200	600
ISEE3D Inc.	Common Shares	Don, Stevenson	4	08/29/2012	00		25,200	
ISEE3D Inc.	Common Shares	Don, Stevenson	4	08/29/2012	00		900	
ISEE3D Inc.	Common Shares	Don, Stevenson	4	08/29/2012	00		900	
Ivrnet Inc.	Common Shares	Webb, Wayne	4	08/23/2012	10	0.03	91,782	-423
Jovian Capital Corporation	Common Shares	Employee Share Purchase Plan	1	08/20/2012	30	8.11	18,871	300
Jovian Capital Corporation	Common Shares	Employee Share Purchase Plan	1	08/21/2012	30	8.11	19,171	300
Jovian Capital Corporation	Common Shares	Employee Share Purchase Plan	1	08/23/2012	30	8.11	21,171	2,000
Just Energy Group Inc.	Rights 2010 Restricted Share Grant Plan	MCWILLIAMS, ANDREW	5	08/30/2012	00		58,965	
Keyera Corp.	Common Shares	Davies, Michael Bruce Cook	4	08/24/2012	30	46.5	11,031	-500
Khalkos Exploration Inc.	Common Shares	Doucet, Dominique	4, 6, 5	08/29/2012	10	0.075	76,281	2,000
KHAN RESOURCES INC.	Common Shares	Kaplan, Robert Phillip	4	08/22/2012	10	0.15	75,500	500
KHAN RESOURCES INC.	Common Shares	Kaplan, Robert Phillip	4	08/23/2012	10	0.15	85,500	10,000
Kinross Gold Corporation	Common Shares	Crossland, James	5	08/28/2012	57	8.81	63,156	2,272
Kinross Gold Corporation	Common Shares	Crossland, James	5	08/28/2012	10	8.81	62,046	-1,110
Kinross Gold Corporation	Restricted Shares	Crossland, James	5	08/28/2012	57	8.81	137,173	-2,272
Kitrinor Metals Inc.	Common Shares	Budning, Andrew Stewart	4	08/24/2012	00		720,000	
Kitrinor Metals Inc.	Warrants	Budning, Andrew Stewart	4	08/24/2012	00		210,000	
Kitrinor Metals Inc.	Common Shares	Cullen, John Richard	4	08/24/2012	00		1,475,000	
Kitrinor Metals Inc.	Options	Cullen, John Richard	4	08/24/2012	00		250,000	
Kitrinor Metals Inc.	Common Shares	Fairbairn, James Neville	4	08/24/2012	00		30,000	
Kitrinor Metals Inc.	Common Shares	Fairbairn, James Neville	4	08/24/2012	00		160,000	
Kitrinor Metals Inc.	Warrants	Fairbairn, James Neville	4	08/24/2012	00		30,000	
Kitrinor Metals Inc.	Common Shares	Hawke, Donald Robert	5	08/24/2012	00		50,000	
Kitrinor Metals Inc.	Common Shares	Johnson, Harvey Morris	5	08/24/2012	00		188,333	
Kitrinor Metals Inc.	Common Shares	Kellam, Richard Clarence	4	08/24/2012	00		447,059	
Kitrinor Metals Inc.	Warrants	Kellam, Richard Clarence	4	08/24/2012	00		73,592	
Kitrinor Metals Inc.	Common Shares	Mohan, Patrick	4, 5	08/24/2012	00		1,721,667	
Kitrinor Metals Inc.	Warrants	Mohan, Patrick	4, 5	08/24/2012	00		133,333	
Klondex Mines Ltd.	Common Shares	Pountney, Glenn	3	08/29/2012	10	1.24	5,324,300	10,000
Klondex Mines Ltd.	Common Shares	Pountney, Glenn	3	08/29/2012	10	1.22	5,334,300	10,000
Klondex Mines Ltd.	Common Shares	Pountney, Glenn	3	08/29/2012	10	1.2	5,335,200	900
Klondex Mines Ltd.	Common Shares	Pountney, Glenn	3	08/30/2012	10	1.2	5,340,200	5,000
Klondex Mines Ltd.	Common Shares	Pountney, Glenn	3	08/30/2012	10	1.18	5,345,200	5,000
Klondex Mines Ltd.	Common Shares	Pountney, Glenn	3	08/30/2012	10	1.16	5,347,300	2,100
Klondex Mines Ltd.	Common Shares	Pountney, Glenn	3	08/31/2012	10	1.16	5,364,600	17,300
Knick Exploration Inc.	Common Shares	Thivierge, Alain	4	08/23/2012	10	0.085	198,782	10,000
Labrador Iron Mines Holdings Limited	Common Shares	Coon Come, Matthew	4, 5	08/22/2012	51			12,500
Labrador Iron Mines Holdings Limited	Common Shares	Coon Come, Matthew	4, 5	08/22/2012	51			12,500
Labrador Iron Mines Holdings Limited	Options	Coon Come, Matthew	4, 5	08/22/2012	51			-12,500
Labrador Iron Mines Holdings Limited	Options	Coon Come, Matthew	4, 5	08/22/2012	51			-12,500
Labrador Iron Mines Holdings Limited	Common Shares	McKillen, Terence Nigel	4, 5	08/22/2012	10	2.21	627,200	-62,800
Lakeview Hotel Real Estate Investment Trust	Trust Units	Levit, Yetta	3	08/29/2012	10	0.34	5,443,500	13,000

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Lakeview Hotel Real Estate Investment Trust	Trust Units	Levit, Yetta	3	08/29/2012	10	0.345	5,461,500	18,000
Lakeview Hotel Real Estate Investment Trust	Trust Units	Levit, Yetta	3	08/29/2012	10	0.35	5,501,500	40,000
Lakeview Hotel Real Estate Investment Trust	Trust Units	Levit, Yetta	3	08/30/2012	10	0.33	5,504,000	2,500
Laramide Resources Ltd.	Common Shares	Ferron, Greg	5	08/24/2012	10	0.93	82,400	10,000
Legacy Oil + Gas Inc.	Common Shares	Colborne, Paul	4	07/23/2012	36	3.24		182,749
Legacy Oil + Gas Inc.	Common Shares	Colborne, Paul	4	07/23/2012	36	3.24		182,749
Legacy Oil + Gas Inc.	Common Shares	Colborne, Paul	4	07/23/2012	36	3.24		36,551
Legacy Oil + Gas Inc.	Common Shares	Colborne, Paul	4	07/23/2012	36	3.24		36,551
Legacy Oil + Gas Inc.	Common Shares	Colborne, Paul	4	07/23/2012	36	3.24		146,199
Legacy Oil + Gas Inc.	Common Shares	Colborne, Paul	4	07/23/2012	36	3.24		146,199
Legacy Oil + Gas Inc.	Common Shares	Colborne, Paul	4	08/15/2012	10	6.83		-230,000
Legacy Oil + Gas Inc.	Common Shares	Colborne, Paul	4	08/15/2012	10	6.83	239,300	-225,000
Legacy Oil + Gas Inc.	Common Shares	Colborne, Paul	4	06/07/2012	10	7.34		5,000
Legacy Oil + Gas Inc.	Common Shares	Colborne, Paul	4	06/20/2012	10	6.49		5,000
Legacy Oil + Gas Inc.	Common Shares	Colborne, Paul	4	07/03/2012	10	6.07		500
Legacy Oil + Gas Inc.	Common Shares	Colborne, Paul	4	06/07/2012	10	7.34	5,000	5,000
Legacy Oil + Gas Inc.	Common Shares	Colborne, Paul	4	06/20/2012	10	6.49	10,000	5,000
Legacy Oil + Gas Inc.	Common Shares	Colborne, Paul	4	07/03/2012	10	6.07	28,500	500
Legacy Oil + Gas Inc.	Warrants	Colborne, Paul	4	08/11/2011	54			-146,199
Legacy Oil + Gas Inc.	Warrants	Colborne, Paul	4	08/11/2011	54			-146,199
Legacy Oil + Gas Inc.	Warrants	Colborne, Paul	4	07/23/2012	36			-182,749
Legacy Oil + Gas Inc.	Warrants	Colborne, Paul	4	07/23/2012	36			-182,749
Legacy Oil + Gas Inc.	Warrants	Colborne, Paul	4	07/23/2012	36			-36,551
Legacy Oil + Gas Inc.	Warrants	Colborne, Paul	4	07/23/2012	36			-36,551
Legend Gold Corp.	Common Shares	Perkins, Douglas	4, 5	08/30/2012	10	5.5		18,000
Legend Gold Corp.	Common Shares	Perkins, Douglas	4, 5	08/30/2012	10	0.055	153,000	18,000
Legend Gold Corp.	Common Shares	Perkins, Douglas	4, 5	08/30/2012	10	0.08		2,000
Legend Gold Corp.	Common Shares	Perkins, Douglas	4, 5	08/30/2012	10	0.08	155,000	2,000
Legumex Walker Inc.	Options	Kulbacki, Anthony	5	08/24/2012	50		150,000	50,000
Leisureworld Senior Care Corporation	Common Shares	Cutler, David	4, 5	05/23/2012	30	11.8805	147,265	12,265
Leisureworld Senior Care Corporation	Common Shares	Cutler, David	4, 5	08/23/2012	10	12.142	147,065	-200
Leisureworld Senior Care Corporation	Common Shares	Cutler, David	4, 5	08/23/2012	10	12.14	145,815	-1,250
Leisureworld Senior Care Corporation	Common Shares	Cutler, David	4, 5	08/23/2012	10	12.13	136,515	-9,300
LGX Oil + Gas Inc.	Options	Bloomer, Christopher John Charles	4	08/29/2012	50		660,000	60,000
LGX Oil + Gas Inc.	Options	Franko, Mark Gordon	5	07/05/2012	00			
LGX Oil + Gas Inc.	Options	Franko, Mark Gordon	5	08/29/2012	50		60,000	60,000
LGX Oil + Gas Inc.	Options	Janisch, Matthew L.	5	07/05/2012	00			
LGX Oil + Gas Inc.	Options	Janisch, Matthew L.	5	08/29/2012	50	1.09	150,000	150,000
LGX Oil + Gas Inc.	Options	Labelle, Curtis William	5	07/05/2012	00			
LGX Oil + Gas Inc.	Options	Labelle, Curtis William	5	08/29/2012	50	1.09	125,000	125,000
LGX Oil + Gas Inc.	Options	Mennis, Dale	5	07/05/2012	00			
LGX Oil + Gas Inc.	Options	Mennis, Dale	5	08/29/2012	50	1.09	125,000	125,000
LGX Oil + Gas Inc.	Options	Oliver, Mark Thomas	5	07/05/2012	00			
LGX Oil + Gas Inc.	Options	Oliver, Mark Thomas	5	08/29/2012	50	1.09	125,000	125,000
LGX Oil + Gas Inc.	Common Shares	Pasieka, James Murray	4	07/05/2012	00			
LGX Oil + Gas Inc.	Common Shares	Pasieka, James Murray	4	08/20/2012	37	0.065	0	-4,000,000
LGX Oil + Gas Inc.	Common Shares	Pasieka, James Murray	4	08/20/2012	37	1.3	200,000	200,000
LGX Oil + Gas Inc.	Options	Pasieka, James Murray	4	07/05/2012	00			
LGX Oil + Gas Inc.	Options	Pasieka, James Murray	4	08/29/2012	50	1.09	60,000	60,000
LGX Oil + Gas Inc.	Warrants	Pasieka, James Murray	4	08/20/2012	37	0.065	0	-4,000,000
LGX Oil + Gas Inc.	Warrants	Pasieka, James Murray	4	08/20/2012	37	1.3	200,000	200,000
LGX Oil + Gas Inc.	Common Shares	ROSZELL, NEIL JACK	4	08/20/2012	37		100,000	-1,900,000
LGX Oil + Gas Inc.	Options	ROSZELL, NEIL JACK	4	07/05/2012	00			
LGX Oil + Gas Inc.	Options	ROSZELL, NEIL JACK	4	08/29/2012	50	1.09	60,000	60,000
LGX Oil + Gas Inc.	Warrants	ROSZELL, NEIL JACK	4	08/20/2012	37		100,000	-1,900,000
LGX Oil + Gas Inc.	Options	Wee, William	5	07/05/2012	00			
LGX Oil + Gas Inc.	Options	Wee, William	5	08/29/2012	50	1.09	125,000	125,000
LGX Oil + Gas Inc.	Options	Welykochy, James	4	08/29/2012	50	1.09	80,000	60,000
LGX Oil + Gas Inc.	Options	Yanko, Trenton James	5	07/05/2012	00			
LGX Oil + Gas Inc.	Options	Yanko, Trenton James	5	08/29/2012	50	1.09	200,000	200,000
LGX Oil + Gas Inc.	Options	Ziemer, Curtis Wade	5	07/05/2012	00			
LGX Oil + Gas Inc.	Options	Ziemer, Curtis Wade	5	08/29/2012	50	1.09	125,000	125,000
LIBERTY MINES INC.	Options	Oishi, Kim	4	08/28/2012	50	0.06	2,250,000	250,000
LIBERTY MINES INC.	Options	Pinsent, John Harld Charles	4	08/29/2012	50	0.06	1,200,000	250,000
LIBERTY MINES INC.	Options	Stewart, Christopher Alexander	5	08/28/2012	50	0.06	1,900,000	1,500,000
Lithium Americas Corp.	Common Shares	DiCapo, Pasquale	3	08/27/2012	11	1.12	885,812	-885,811
Loblaw Companies Limited	Common Shares	Clark, Christie James Beckett	4	08/29/2012	10	35.01	12,260	560

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Logan International Inc. (formerly Destiny Resource Services Corp.)	Common Shares	Bruce, Ian	4	08/28/2012	36	3.8	255,674	5,674
Logan International Inc. (formerly Destiny Resource Services Corp.)	Rights Restricted Stock Units	Bruce, Ian	4	08/28/2012	36		0	-5,674
Logan International Inc. (formerly Destiny Resource Services Corp.)	Common Shares	Jones, David	1, 5	08/28/2012	36	3.8	143,816	14,801
Logan International Inc. (formerly Destiny Resource Services Corp.)	Rights Restricted Stock Units	Jones, David	1, 5	08/28/2012	36		67,379	-14,801
Logan International Inc. (formerly Destiny Resource Services Corp.)	Common Shares	Keister, Lawrence	5	08/27/2012	36	3.8	35,569	10,814
Logan International Inc. (formerly Destiny Resource Services Corp.)	Rights Restricted Stock Units	Keister, Lawrence	5	08/28/2012	36		48,220	-10,814
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	08/30/2012	38	22	2,600	200
Loncor Resources Inc.	Common Shares	KONDRAT, ARNOLD T.	3, 4, 5	08/29/2012	10	1.1	725,200	4,500
Loon Energy Corporation	Options	Beaman, Edwin Andrew	5	12/10/2008	00			
Loon Energy Corporation	Options	Beaman, Edwin Andrew	5	11/25/2010	50	0.13	930,000	930,000
Loon Energy Corporation	Options	Beaman, Edwin Andrew	5	02/21/2012	37		93,000	-837,000
Loon Energy Corporation	Options	Beaman, Edwin Andrew	5	08/28/2012	50	0.1	100,000	7,000
Loon Energy Corporation	Options	Beaman, Edwin Andrew	5	11/25/2010	50	0.13		930,000
Loon Energy Corporation	Options	Beaman, Edwin Andrew	5	02/21/2012	37			-837,000
Loon Energy Corporation	Options	Elliott, Timothy M.	4	08/28/2012	38		0	-198,000
Loon Energy Corporation	Options	Graham, Jock MacDonald	4	08/28/2012	38		0	-198,000
Loon Energy Corporation	Options	Holton, Norman William	4	08/28/2012	38		0	-198,000
Loon Energy Corporation	Options	Rehill, Trent Alan	5	08/28/2012	00		39,000	
Loon Energy Corporation	Options	Rehill, Trent Alan	5	08/28/2012	50	0.1	100,000	61,000
Loon Energy Corporation	Options	Rose, Paul Herbert	5	08/28/2012	50	0.1	100,000	61,000
Loon Energy Corporation	Options	Silenzi, Alec Nicholas	5	01/16/2012	00			
Loon Energy Corporation	Options	Silenzi, Alec Nicholas	5	08/28/2012	50	0.1	100,000	100,000
Loyalist Group Limited	Common Shares	Ryu, Andrew Jong Soo	4, 5	08/27/2012	16	0.2	17,396,102	1,000,000
Luna Gold Corp.	Rights Restricted Share Units	Blake, William John	4, 5	09/24/2010	00			
Luna Gold Corp.	Rights Restricted Share Units	Blake, William John	4, 5	07/01/2012	56		200,000	200,000
Luna Gold Corp.	Rights Restricted Share Units	HAGGAN, TITUS	7, 5	11/27/2007	00			
Luna Gold Corp.	Rights Restricted Share Units	HAGGAN, TITUS	7, 5	07/01/2012	56		150,000	150,000
Luna Gold Corp.	Rights Restricted Share Units	Halpin, Mark	5	07/01/2012	56			150,000
Luna Gold Corp.	Rights Restricted Share Units	Halpin, Mark	5	07/01/2012	56			150,000
Luna Gold Corp.	Rights Restricted Share Units	Halpin, Mark	5	07/16/2012	00			
Luna Gold Corp.	Rights Restricted Share Units	Halpin, Mark	5	07/16/2012	00		150,000	
Luna Gold Corp.	Rights Restricted Share Units	LO, DUANE	5	07/29/2009	00			
Luna Gold Corp.	Rights Restricted Share Units	LO, DUANE	5	07/01/2012	56		150,000	150,000
Luna Gold Corp.	Rights Restricted Share Units	MAH, GEORGE PETER	5	06/22/2011	00			
Luna Gold Corp.	Rights Restricted Share Units	MAH, GEORGE PETER	5	07/01/2012	56		150,000	150,000
Macarthur Minerals Limited	Common Shares	Hickey, Simon	4	08/29/2012	10	0.42	420,500	30,000
Macarthur Minerals Limited	Common Shares	Inwentash, Sheldon	6	08/24/2012	10	0.358	7,250,000	250,000
Macarthur Minerals Limited	Common Shares	Inwentash, Sheldon	6	08/28/2012	10	0.4796	7,400,000	150,000
Macarthur Minerals Limited	Common Shares	Pinetree Capital Ltd.	3	08/24/2012	10	0.358	7,250,000	250,000
Macarthur Minerals Limited	Common Shares	Pinetree Capital Ltd.	3	08/28/2012	10	0.4796	7,400,000	150,000
MacDonald, Dettwiler and Associates Ltd.	(DSUs, DRSUs, SARs)	Friedmann, Daniel	5	08/29/2012	59	19.42	418,500	-41,667
MacDonald, Dettwiler and Associates Ltd.	(DSUs, DRSUs, SARs)	Iskander, Magued	7	08/29/2012	59	49.97	118,667	-29,000
MacDonald, Dettwiler and Associates Ltd.	Common Shares	Iskander, Magued	7	08/28/2012	10	60.5		-4,000
MacDonald, Dettwiler and Associates Ltd.	Common Shares	Iskander, Magued	7	08/28/2012	10	50.55		-4,000
MacDonald, Dettwiler and Associates Ltd.	Common Shares	Iskander, Magued	7	08/28/2012	10	60.5	12,583	-4,000
MacDonald, Dettwiler and Associates Ltd.	Common Shares	Iskander, Magued	7	08/28/2012	10	60.6		-3,600
MacDonald, Dettwiler and Associates Ltd.	Common Shares	Iskander, Magued	7	08/28/2012	10	50.65		-3,600

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
MacDonald, Dettwiler and Associates Ltd.	Common Shares	Iskander, Magued	7	08/28/2012	10	60.6	8,983	-3,600
MacDonald, Dettwiler and Associates Ltd.	(DSUs, DRSUs, SARs)	Piche, Terrence	5	08/29/2012	59	47.96	52,668	-11,666
MacDonald, Dettwiler and Associates Ltd.	Common Shares	Piche, Terrence	5	08/28/2012	10	60.37	14,451	-6,000
MacDonald, Dettwiler and Associates Ltd.	Common Shares	Piche, Terrence	5	08/29/2012	10	59.58	10,451	-4,000
MacDonald, Dettwiler and Associates Ltd.	Common Shares	Piche, Terrence	5	08/30/2012	10	59.5	9,451	-1,000
MAG Silver Corp.	Common Shares	Megaw, Peter	4	08/05/2012	47	0.14	529,886	68,750
Magnum Capital Corp.	Common Shares	Mason, Douglas Lawrence	4	08/30/2012	10	0.05	93,000	10,000
Majescor Resources Inc.	Common Shares	Barrie, C. Tucker	4, 5	08/31/2012	10	0.14	550,000	8,500
Malbex Resources Inc.	Warrants Issued June 22, 2010	Sun Valley Gold LLC	3	06/22/2012	55			-5,000,000
Malbex Resources Inc.	Warrants Issued June 22, 2010	Sun Valley Gold LLC	3	06/22/2012	55			-5,000,000
Malbex Resources Inc.	Warrants Issued June 22, 2010	Sun Valley Gold LLC	3	06/22/2012	55		0	-5,000,000
Malbex Resources Inc.	Warrants Issued June 22, 2010	Sun Valley Gold Master Fund, Ltd.	3	06/22/2012	55		0	-5,000,000
Mandalay Resources Corporation	Common Shares	Mills, Bradford	4, 5	08/24/2012	10	0.7403	535,181	-735,135
Mandalay Resources Corporation	Common Shares	Sander, Mark VanDyke	5	08/24/2012	10	0.7403	152,740	-186,036
Mandalay Resources Corporation	Common Shares	Swarup, Sanjay	4	08/24/2012	10	0.7403	25,591	-59,009
Marquee Energy Ltd.	Common Shares	Thompson, Richard David	4, 5	08/28/2012	10	0.9858	946,425	20,500
Marquee Energy Ltd.	Common Shares	Thompson, Richard David	4, 5	08/28/2012	10	0.98	948,425	2,000
Marquee Energy Ltd.	Common Shares	Thompson, Richard David	4, 5	08/28/2012	10	0.98	950,925	2,500
Marquee Energy Ltd.	Common Shares	Thompson, Richard David	4, 5	08/28/2012	10	0.99	951,308	383
Martinrea International Inc.	Options Options to purchase common shares	Olson, Fred Donald	4	08/30/2012	52	10.35	175,000	-50,000
Matamec Explorations Inc.	Options	Gauthier, AndrÚ	4, 5	02/26/2012	52		800,000	-400,000
Matamec Explorations Inc.	Options	Gauthier, AndrÚ	4, 5	03/02/2012	52			-400,000
Matamec Explorations Inc.	Options	Gauthier, AndrÚ	4, 5	07/17/2012	52		600,000	-200,000
Matamec Explorations Inc.	Options	Gauthier, AndrÚ	4, 5	08/07/2012	50		1,200,000	600,000
Matamec Explorations Inc.	Options	Leclerc, Aline	4, 5	02/26/2012	52		800,000	-400,000
Matamec Explorations Inc.	Options	Leclerc, Aline	4, 5	03/02/2012	52			-400,000
Matamec Explorations Inc.	Options	Leclerc, Aline	4, 5	07/17/2012	52		600,000	-200,000
Matamec Explorations Inc.	Options	Leclerc, Aline	4, 5	08/07/2012	50		1,200,000	600,000
Matamec Explorations Inc.	Common Shares	St-Gelais, Laval	4	08/29/2012	10	0.195	10,200	4,700
MATRRIX Energy Technologies Inc.	Common Shares	Jespersen, Kent	4	08/28/2012	51	0.2	440,000	50,000
MATRRIX Energy Technologies Inc.	Options	Jespersen, Kent	4	08/28/2012	51	0.2	99,000	-50,000
Maxim Power Corp.	Common Shares	Bobenic, John Robert	4, 5	08/31/2012	10	1.9	147,265	100
Maxim Power Corp.	Common Shares	Bobenic, John Robert	4, 5	08/31/2012	10	1.89	147,565	300
MAYA GOLD & SILVER INC.	Common Shares	Goulet, Guy	4	08/27/2012	10	0.18	949,400	5,000
MAYA GOLD & SILVER INC.	Common Shares	Goulet, Guy	4	08/30/2012	10	0.206	959,400	10,000
MCAN Mortgage Corporation	Common Shares	Cruise, Brydon	4	08/29/2012	10	11.85	29,214	412
MCAN Mortgage Corporation	Common Shares	Johnson, Brian A.	4	08/28/2012	10	11.85	6,823	1,287
MCAN Mortgage Corporation	Common Shares	Johnson, Brian A.	4	08/28/2012	10	11.85	44,733	926
MCAN Mortgage Corporation	Common Shares	Johnson, Brian A.	4	08/28/2012	10	11.85	1,060	21
MCAN Mortgage Corporation	Common Shares	Johnson, Brian A.	4	08/28/2012	10	11.85	1,235	25
MCAN Mortgage Corporation	Common Shares	Johnson, Brian A.	4	08/28/2012	10	11.85	926	18
MCAN Mortgage Corporation	Rights	Lai, Paco	5	08/22/2012	58 - Expiration of rights		0	-500
MCAN Mortgage Corporation	Common Shares	Stuebing, Robert A.	4	08/28/2012	10	11.85	30,940	3,763
MCAN Mortgage Corporation	Common Shares	Sutherland, Derek	5	08/28/2012	10	11.85	877	12
MCAN Mortgage Corporation	Common Shares	Sutherland, Derek	5	08/28/2012	10	11.85	49,523	1,398
MCAN Mortgage Corporation	Common Shares	Sutherland, Ian	3, 4	08/27/2012	57	11.85	1,474,000	134,000
MCAN Mortgage Corporation	Common Shares	Sutherland, Ian	3, 4	08/27/2012	57	11.85	1,495,041	21,041
MCAN Mortgage Corporation	Common Shares	Sutherland, Ian	3, 4	08/27/2012	57	11.85	270,000	70,000
MCAN Mortgage Corporation	Common Shares	Sutherland, Ian	3, 4	08/27/2012	57	11.85	280,992	10,992
MCAN Mortgage Corporation	Common Shares	Sutherland, Ian	3, 4	08/27/2012	57	11.85	484,000	44,000
MCAN Mortgage Corporation	Common Shares	Sutherland, Ian	3, 4	08/27/2012	57	11.85	492,732	8,732
MCAN Mortgage Corporation	Common Shares	Sutherland, Ian	3, 4	08/27/2012	57	11.85	109,700	20,900

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
MCAN Mortgage Corporation	Common Shares	Sutherland, Ian	3, 4	08/27/2012	57	11.85	112,982	3,282
MCAN Mortgage Corporation	Rights	Sutherland, Ian	3, 4	08/27/2012	58 - Expiration of rights		0	-1,340,000
MCAN Mortgage Corporation	Rights	Sutherland, Ian	3, 4	08/27/2012	58 - Expiration of rights		0	-700,000
MCAN Mortgage Corporation	Rights	Sutherland, Ian	3, 4	08/27/2012	58 - Expiration of rights		0	-440,000
MCAN Mortgage Corporation	Rights	Sutherland, Ian	3, 4	08/27/2012	58 - Expiration of rights		0	-209,000
McChip Resources Inc	Common Shares	McCloskey, Richard Duncan	4, 5	08/27/2012	10	1.83	175,700	100
McCoy Corporation	Common Shares	McEachern, Andy	5	08/28/2012	51	1.5		-5,433
McCoy Corporation	Common Shares	McEachern, Andy	5	08/28/2012	51	1.5	28,415	5,433
McCoy Corporation	Common Shares	McEachern, Andy	5	08/28/2012	10	3.6	22,982	-5,433
McCoy Corporation	Common Shares	McEachern, Andy	5	08/29/2012	51	1.5	25,682	2,700
McCoy Corporation	Common Shares	McEachern, Andy	5	08/29/2012	10	3.6	22,982	-2,700
McCoy Corporation	Common Shares	McEachern, Andy	5	08/30/2012	51	1.5	23,282	300
McCoy Corporation	Common Shares	McEachern, Andy	5	08/30/2012	10	3.6	22,982	-300
McCoy Corporation	Options	McEachern, Andy	5	08/28/2012	51	1.5	69,567	-5,433
McCoy Corporation	Options	McEachern, Andy	5	08/29/2012	51	1.5	66,867	-2,700
McCoy Corporation	Options	McEachern, Andy	5	08/30/2012	51	1.5	66,567	-300
Mediagrif Interactive Technologies Inc.	Common Shares	Les Services de gestion Claude Roy Inc.	3	08/09/2012	10	17.98		11,300
Mediagrif Interactive Technologies Inc.	Common Shares	Les Services de gestion Claude Roy Inc.	3	08/09/2012	10	17.98	2,702,020	11,320
Mediagrif Interactive Technologies Inc.	Common Shares	Les Services de gestion Claude Roy Inc.	3	08/23/2012	10	17.9	2,704,420	2,400
Mediagrif Interactive Technologies Inc.	Common Shares	Les Services de gestion Claude Roy Inc.	3	08/28/2012	10	17.9	2,714,320	9,900
Mediagrif Interactive Technologies Inc.	Common Shares	Moquin, Suzanne	5	08/24/2012	10	17.85	9,400	1,300
Mediagrif Interactive Technologies Inc.	Common Shares	Roy, Claude	3, 4, 5	08/09/2012	10	17.96		6,000
Mediagrif Interactive Technologies Inc.	Common Shares	Roy, Claude	3, 4, 5	08/09/2012	10	17.96	598,000	5,000
Mediagrif Interactive Technologies Inc.	Common Shares	Roy, Claude	3, 4, 5	08/09/2012	10	17.98		11,300
Mediagrif Interactive Technologies Inc.	Common Shares	Roy, Claude	3, 4, 5	08/09/2012	10	17.98	2,702,020	11,320
Mediagrif Interactive Technologies Inc.	Common Shares	Roy, Claude	3, 4, 5	08/23/2012	10	17.9	2,704,420	2,400
Mediagrif Interactive Technologies Inc.	Common Shares	Roy, Claude	3, 4, 5	08/28/2012	10	17.9	2,714,320	9,900
Mediagrif Interactive Technologies Inc.	Common Shares	Saunders, Paul	7	08/28/2012	10	17.9	58,862	-10,000
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/23/2012	51	7	1,158,145	5,000
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/23/2012	10	38.19	1,158,045	-100
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/23/2012	10	38.25	1,154,745	-3,300
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/23/2012	10	38.255	1,154,645	-100
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/23/2012	10	38.26	1,154,145	-500
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/23/2012	10	38.264	1,154,045	-100
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/23/2012	10	38.265	1,153,745	-300
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/23/2012	10	38.27	1,153,645	-100
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/23/2012	10	38.28	1,153,345	-300
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/23/2012	10	38.285	1,153,245	-100
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/23/2012	10	38.31	1,153,145	-100
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	51	7	1,163,145	10,000
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.85	1,162,245	-900
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.855	1,162,045	-200
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.858	1,161,945	-100

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.86	1,158,845	-3,100
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.864	1,158,545	-300
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.87	1,158,345	-200
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.876		-300
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.875	1,158,045	-300
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.876	1,157,845	-200
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.88	1,156,645	-1,200
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.895	1,156,545	-100
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.89	1,156,445	-100
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.9	1,155,945	-500
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.905	1,155,845	-100
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.918	1,155,445	-400
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.92	1,155,345	-100
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.93	1,155,245	-100
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.94	1,154,545	-700
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.946	1,154,345	-200
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.955	1,153,945	-400
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.96	1,153,545	-400
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/24/2012	10	38.97	1,153,145	-400
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/27/2012	51	7	1,158,145	5,000
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/27/2012	10	38.73	1,158,045	-100
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/27/2012	10	38.75	1,157,945	-100
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/27/2012	10	38.76	1,157,745	-200
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/27/2012	10	38.8	1,156,845	-900
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/27/2012	10	38.81	1,156,545	-300
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/27/2012	10	38.82	1,156,045	-500
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/27/2012	10	38.83	1,155,945	-100
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/27/2012	10	38.845	1,155,845	-100
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/27/2012	10	38.85	1,154,745	-1,100
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/27/2012	10	38.86	1,154,445	-300
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/27/2012	10	38.87	1,154,045	-400
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/27/2012	10	38.88	1,153,645	-400
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/27/2012	10	39.15	1,153,245	-400
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/27/2012	10	39.165	1,153,145	-100
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/28/2012	51	7	1,153,445	300
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/28/2012	10	39	1,153,245	-200
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/28/2012	10	39.02	1,153,145	-100
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/29/2012	51	7	1,160,845	7,700
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/29/2012	10	38.4	1,159,345	-1,500

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/29/2012	10	38.41	1,158,845	-500
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/29/2012	10	38.42	1,158,445	-400
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/29/2012	10	38.43	1,157,745	-700
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/29/2012	10	38.44	1,157,245	-500
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/29/2012	10	38.45	1,156,945	-300
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/29/2012	10	38.46	1,156,745	-200
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/29/2012	10	38.47	1,155,945	-800
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/29/2012	10	38.48	1,155,245	-700
MEG Energy Corp.	Common Shares	McCAFFREY, William Joseph	4, 5	08/29/2012	10	38.49	1,153,145	-2,100
MEG Energy Corp.	Options	McCAFFREY, William Joseph	4, 5	08/23/2012	51	7	639,300	-5,000
MEG Energy Corp.	Options	McCAFFREY, William Joseph	4, 5	08/24/2012	51	7	629,300	-10,000
MEG Energy Corp.	Options	McCAFFREY, William Joseph	4, 5	08/27/2012	51	7	624,300	-5,000
MEG Energy Corp.	Options	McCAFFREY, William Joseph	4, 5	08/28/2012	51	7	624,000	-300
MEG Energy Corp.	Options	McCAFFREY, William Joseph	4, 5	08/29/2012	51	7	616,300	-7,700
Melcor Developments Ltd.	Common Shares	Young, Ralph Barclay	4, 5	08/21/2012	90		0	-10,000
Melcor Developments Ltd.	Common Shares	Young, Ralph Barclay	4, 5	08/21/2012	90		82,600	10,000
Melior Resources Inc. (formerly Coalcorp Mining Inc.)	Common Shares	Takota Asset Management Inc	3	08/24/2012	10	0.08	12,332,529	-26,800
Melior Resources Inc. (formerly Coalcorp Mining Inc.)	Common Shares	Takota Asset Management Inc	3	08/24/2012	10	0.085	12,329,529	-3,000
Mercator Transport Group Corporation	Common Shares	Gagnon, Robert	5	08/27/2012	10	0.07	3,000	3,000
Mercator Transport Group Corporation	Common Shares	Gagnon, Robert	5	08/27/2012	10	0.09	9,000	6,000
Mercator Transport Group Corporation	Common Shares	Gagnon, Robert	5	08/27/2012	10	0.095	10,000	1,000
Merus Labs International Inc.	Common Shares	DiCapo, Pasquale	3	08/27/2012	11	1.66	261,875	-261,875
Metalcorp Limited	Common Shares	Consolidated International Investment Holdings Inc.	3	08/27/2012	10	0.02	3,124,286	-1,000,000
Metalore Resources Limited	Common Shares	Chilian, George	6	08/30/2012	10	3.7	361,308	500
Metalore Resources Limited	Common Shares	Chilian, George	6	08/31/2012	10	3.89	361,608	300
Metals Plus Income Corp.	Class A Shares	Faircourt Asset Management Inc.	3	08/31/2012	10	5.73	5,500	500
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/27/2012	51	20.76	134,909	1,500
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/27/2012	10	28.84	133,409	-1,500
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/27/2012	51	20.76	133,509	100
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/27/2012	10	28.81	133,409	-100
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/27/2012	51	20.76	136,809	3,400
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/27/2012	10	28.8	133,409	-3,400
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/28/2012	51	20.76	133,509	100
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/28/2012	10	29.33	133,409	-100
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/28/2012	51	20.76	134,709	1,300
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/28/2012	10	29.32	133,409	-1,300
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/28/2012	51	20.76	137,009	3,600
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/28/2012	10	29.25	133,409	-3,600
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/29/2012	51	20.76	138,009	4,600
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/29/2012	10	28.9	133,409	-4,600
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/29/2012	51	20.76	133,809	400
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/29/2012	10	28.93	133,409	-400
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/30/2012	51	20.76	138,409	5,000
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/30/2012	10	28.98	133,409	-5,000
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/31/2012	51	20.76	138,409	5,000
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/31/2012	10	28.87	136,009	-2,400
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/31/2012	10	28.86	134,209	-1,800
Methanex Corporation	Common Shares	AITKEN, BRUCE	4, 5	08/31/2012	10	28.85	133,409	-800
Methanex Corporation	Options	AITKEN, BRUCE	4, 5	08/27/2012	51		768,700	-1,500
Methanex Corporation	Options	AITKEN, BRUCE	4, 5	08/27/2012	51		768,600	-100
Methanex Corporation	Options	AITKEN, BRUCE	4, 5	08/27/2012	51		765,200	-3,400
Methanex Corporation	Options	AITKEN, BRUCE	4, 5	08/28/2012	51		765,100	-100
Methanex Corporation	Options	AITKEN, BRUCE	4, 5	08/28/2012	51		763,800	-1,300
Methanex Corporation	Options	AITKEN, BRUCE	4, 5	08/28/2012	51		760,200	-3,600
Methanex Corporation	Options	AITKEN, BRUCE	4, 5	08/29/2012	51		755,600	-4,600

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Methanex Corporation	Options	AITKEN, BRUCE	4, 5	08/29/2012	51		755,200	-400
Methanex Corporation	Options	AITKEN, BRUCE	4, 5	08/30/2012	51		750,200	-5,000
Methanex Corporation	Options	AITKEN, BRUCE	4, 5	08/31/2012	51	20.76	745,200	-5,000
Methanex Corporation	Rights Share Appreciation Rights	Boyd, Bradley	5	08/26/2012	56		41,005	10,000
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	51	20.76	17,290	300
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	10	29.24	16,990	-300
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	51	2076	17,190	200
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	10	29.23	16,990	-200
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	51	20.76	17,490	500
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	10	29.22	16,990	-500
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	51	20.76	17,590	600
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	10	29.21	16,990	-600
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	51	20.76	17,590	600
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	10	29.2	16,990	-600
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	51	20.76	17,290	300
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	10	29.18	16,990	-300
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	51	20.76	17,890	900
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	10	29.17	16,990	-900
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	51	20.76	17,390	400
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	10	29.16	16,990	-400
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	51	20.76	17,090	100
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	10	29.14	16,990	-100
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	51	20.76	17,090	100
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	10	29.13	16,990	-100
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	51	20.76	30,790	13,800
Methanex Corporation	Common Shares	Cameron, Ian Peter	5	08/28/2012	10	29.12	16,990	-13,800
Methanex Corporation	Options	Cameron, Ian Peter	5	08/28/2012	51	20.76	140,500	-300
Methanex Corporation	Options	Cameron, Ian Peter	5	08/28/2012	51	20.76	140,300	-200
Methanex Corporation	Options	Cameron, Ian Peter	5	08/28/2012	51	20.76	139,800	-500
Methanex Corporation	Options	Cameron, Ian Peter	5	08/28/2012	51	20.76	139,200	-600
Methanex Corporation	Options	Cameron, Ian Peter	5	08/28/2012	51	20.76	138,600	-600
Methanex Corporation	Options	Cameron, Ian Peter	5	08/28/2012	51	20.76	138,300	-300
Methanex Corporation	Options	Cameron, Ian Peter	5	08/28/2012	51	20.76	137,400	-900
Methanex Corporation	Options	Cameron, Ian Peter	5	08/28/2012	51	20.76	137,000	-400
Methanex Corporation	Options	Cameron, Ian Peter	5	08/28/2012	51	20.76	136,900	-100
Methanex Corporation	Options	Cameron, Ian Peter	5	08/28/2012	51	20.76	136,800	-100
Methanex Corporation	Options	Cameron, Ian Peter	5	08/28/2012	51	20.76	123,000	-13,800
Methanex Corporation	Common Shares	Milner, Randall M.	5	08/28/2012	51	20.76	10,574	100
Methanex Corporation	Common Shares	Milner, Randall M.	5	08/28/2012	10	29.23	10,474	-100
Methanex Corporation	Common Shares	Milner, Randall M.	5	08/28/2012	51	20.76	15,374	4,900
Methanex Corporation	Common Shares	Milner, Randall M.	5	08/28/2012	10	29.22	10,474	-4,900
Methanex Corporation	Options	Milner, Randall M.	5	08/28/2012	51		147,900	-100
Methanex Corporation	Options	Milner, Randall M.	5	08/28/2012	51		143,000	-4,900
Mexivada Mining Corp.	Common Shares	Redfern, Richard Robert	3, 4, 5	08/24/2012	10	0.0325	6,549,614	3,000
Mexivada Mining Corp.	Common Shares	Redfern, Richard Robert	3, 4, 5	08/27/2012	10	0.03	6,550,614	1,000
Mexivada Mining Corp.	Common Shares	Redfern, Richard Robert	3, 4, 5	08/28/2012	10	0.033	6,555,614	5,000
Mexivada Mining Corp.	Common Shares	Redfern, Richard Robert	3, 4, 5	08/29/2012	10	0.033	6,556,614	1,000
Mexivada Mining Corp.	Common Shares	Redfern, Richard Robert	3, 4, 5	08/31/2012	10	0.033	6,560,614	4,000
MicroPlanet Technology Corp.	Common Shares	Ironside, Brett	4	08/29/2012	10	0.06	1,005,000	2,000
Middlefield Income Plus II Corp.	Non-Voting Shares equity shares	Income Plus II	1	08/24/2012	38	11.55	700	700
Middlefield Income Plus II Corp.	Non-Voting Shares equity shares	Income Plus II	1	08/24/2012	38		0	-700
Middlefield Income Plus II Corp.	Non-Voting Shares equity shares	Income Plus II	1	08/27/2012	38	11.62	1,700	1,700
Middlefield Income Plus II Corp.	Non-Voting Shares equity shares	Income Plus II	1	08/27/2012	38		0	-1,700
Middlefield Income Plus II Corp.	Non-Voting Shares equity shares	Income Plus II	1	08/28/2012	38	11.57	3,300	3,300
Middlefield Income Plus II Corp.	Non-Voting Shares equity shares	Income Plus II	1	08/28/2012	38		0	-3,300
Middlefield Income Plus II Corp.	Non-Voting Shares equity shares	Income Plus II	1	08/30/2012	38	11.45	7,200	7,200
Middlefield Income Plus II Corp.	Non-Voting Shares equity shares	Income Plus II	1	08/30/2012	38		0	-7,200
Midlands Minerals Corporation	Options	Garcelon, James William	4	06/25/2012	00			
Midlands Minerals Corporation	Options	Garcelon, James William	4	08/29/2012	50	0.1		1,000,000
Midlands Minerals Corporation	Options	Garcelon, James William	4	08/29/2012	50	0.1	1,000,000	1,000,000
Midlands Minerals Corporation	Options	Lean, Ralph E.	4	06/25/2012	00			
Midlands Minerals Corporation	Options	Lean, Ralph E.	4	08/28/2012	50	0.1	1,000,000	1,000,000
Midlands Minerals Corporation	Options	Pearman, Craig	4, 5	08/28/2012	50	0.1	2,500,000	500,000

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Minaurum Gold Inc.	Common Shares	Megaw, Peter	4	08/05/2012	47	0.14	518,500	-68,750
Minco Gold Corporation	Common Shares	Pacific Canada Resources Inc.	3	08/28/2012	10	0.53	4,938,836	-5,500
Mineral Mountain Resources Ltd.	Common Shares	baker, nelson	4	08/21/2012	10	0.2	2,695,500	25,000
Mineral Mountain Resources Ltd.	Common Shares	baker, nelson	4	08/21/2012	10	0.19	2,714,500	19,000
Mineral Mountain Resources Ltd.	Common Shares	baker, nelson	4	08/21/2012	10	0.195	2,718,500	4,000
Mineral Mountain Resources Ltd.	Common Shares	baker, nelson	4	08/21/2012	10	0.19	2,720,500	2,000
MINT Income Fund	Trust Units	MINT Income Fund	1	08/28/2012	38	10.19	42,123,063	500
MINT Income Fund	Trust Units	MINT Income Fund	1	08/30/2012	38	10.13	42,123,863	800
MONETA PORCUPINE MINES INC.	Common Shares	Henry, Alexander David	4	08/24/2012	10	0.15	909,250	50,000
Mood Media Corporation (formerly Fluid Music Canada, Inc.)	Common Shares	von Stauffenberg, Philippe Graf Schenk	4	08/22/2012	10	1.545	1,183,598	203,000
Namibia Rare Earths Inc.	Warrants X Warrants	Hickey, Adrian Thomas	3	07/28/2012	55	0.75	0	-2,844,500
Namibia Rare Earths Inc.	Warrants X Warrants	McConnell, Gerald James	4, 5	07/28/2012	55	0.75	0	-600,000
Natcore Technology Inc.	Common Shares	Flood, Dennis Jack	5	08/17/2012	10	1.203	1,056,600	-8,400
Natcore Technology Inc.	Common Shares	Flood, Dennis Jack	5	08/20/2012	10	1.223	1,050,000	-6,600
Naturally Advanced Technologies Inc.	Common Shares	Finnis, Jason	4, 5	08/20/2012	10	2.66	1,404,164	-2,000
Naturally Advanced Technologies Inc.	Common Shares	Harrison, Larisa	4, 5	08/20/2012	10	2.66	1,376,365	-2,000
Nebu Resources Inc.	Common Shares	Consolidated International Investment Holdings Inc.	3	08/27/2012	10	0.06	4,586,500	-101,000
NEMI Northern Energy & Mining Inc.	Common Shares	Helmer, Joseph	4	08/31/2012	10	0.92	40,500	10,000
NEMI Northern Energy & Mining Inc.	Common Shares	SHAW, BARRY ALEXANDER JR	4	08/27/2012	10	0.905	56,423	10,000
Nevsun Resources Ltd.	Common Shares	Nevsun Resources Ltd	1	08/27/2012	38		0	-40,000
Nevsun Resources Ltd.	Common Shares	Nevsun Resources Ltd	1	08/29/2012	10	3.81	40,000	40,000
New Klondike Exploration Ltd.	Common Shares	Supol, George	5	08/17/2012	37		13,000	-87,000
New Klondike Exploration Ltd.	Options	Supol, George	5	08/17/2012	37		50,000	-350,000
New Klondike Exploration Ltd.	Warrants	Supol, George	5	08/17/2012	37		13,000	-87,000
New Look Eyewear Inc.	Common Shares Class A	GagnÚ, Martial	4, 5	08/28/2012	51		45,000	25,000
New Look Eyewear Inc.	Options	GagnÚ, Martial	4, 5	08/28/2012	51	7.44	290,000	-25,000
New Look Eyewear Inc.	Common Shares Class A	Pearson, Charles Emmett	4	08/27/2012	10		105,000	-15,000
Newfoundland Capital Corporation Limited	Subordinate Voting Shares Class A	Newfoundland Capital Corporation Limited	1	08/30/2012	38	8	203,700	203,700
Newfoundland Capital Corporation Limited	Subordinate Voting Shares Class A	Newfoundland Capital Corporation Limited	1	08/30/2012	38		0	-203,700
NexGen Financial Corporation	Common Shares	Munro, Laurie	4, 7, 5	08/28/2012	10	6.35	87,572	3,800
NexGen Financial Corporation	Common Shares	Munro, Laurie	4, 7, 5	08/29/2012	10	6.2	90,072	2,500
NexJ Systems Inc.	Common Shares	Shepherd, David William	5	05/16/2011	00			
NexJ Systems Inc.	Common Shares	Shepherd, David William	5	08/29/2012	10	4.2	35,000	35,000
NexJ Systems Inc.	Common Shares	Tatham, William Murray	3, 4, 5	08/29/2012	10	4.2	1,773,497	200,000
NGEx Resources Inc.	Options	Mir, Pablo	7	08/10/2012	50	1.65	82,500	50,000
NGEx Resources Inc.	Options	Vitaller, Alfredo Omar	2	08/10/2012	50	1.65	295,000	70,000
Norbord Inc.	Common Shares	Black, James L.	5	08/23/2012	10	6.5	14,000	14,000
Norbord Inc.	Common Shares	Black, James L.	5	08/23/2012	10	8.4	14,110	110
Norbord Inc.	Common Shares	Black, James L.	5	08/23/2012	10	16.15	110	-14,000
Norbord Inc.	Common Shares	Black, James L.	5	08/23/2012	10	16.17	0	-110
Norbord Inc.	Options	Black, James L.	5	08/23/2012	51	6.5	81,670	-14,000
Norbord Inc.	Options	Black, James L.	5	08/23/2012	51	8.4	81,560	-110
Nordex Explosives Ltd.	Common Shares	LEVESQUE, JACQUES	6	08/28/2012	10	0.325	1,301,700	12,000
Norsat International Inc.	Common Shares	Caprio, Joseph	4	07/07/2005	10	1.63	5,000	5,000
Norsat International Inc.	Common Shares	Caprio, Joseph	4	12/16/2009	10	0.67	22,050	9,800
Norsat International Inc.	Common Shares	Doninelli, Fabio	4	08/27/2012	10	0.51	145,000	24,000
North American Energy Partners Inc.	Common Shares	Ferron, Martin Robert	4, 5	08/22/2012	10		287,860	860
North American Energy Partners Inc.	Common Shares	Ferron, Martin Robert	4, 5	08/23/2012	10		307,400	19,540
North American Energy Partners Inc.	Common Shares	Ferron, Martin Robert	4, 5	08/24/2012	10		314,566	7,166
North American Nickel Inc.	Options	Clucas, James David	4	08/13/2012	50	0.24		75,000
North American Nickel Inc.	Options	Clucas, James David	4	08/13/2012	50	0.24		75,000
Northern Gold Mining Inc.	Common Shares	Gervais, James Cyrille	4, 5	08/28/2012	10	0.35	53,750	5,000
Northern Gold Mining Inc.	Options	Laxton, Heather Anne	5	08/27/2012	50		260,000	100,000
Northern Gold Mining Inc.	Options	Moeller, Eric M.	4	08/27/2012	50		1,000,000	200,000
Northern Gold Mining Inc.	Options	Shefsky, Martin	4, 5	08/27/2012	50		2,450,000	500,000

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Northern Gold Mining Inc.	Options	Waddington, Dennis Howson	4	08/27/2012	50		1,000,000	200,000
Northern Superior Resources Inc.	Options	Booth, Brian	4	08/15/2012	52	0.38	475,000	-50,000
Northland Power Inc.	Common Shares	Temerty, James C.	3	08/15/2012	30	17.9195	230,570	1,151
Northland Power Inc.	Common Shares	Temerty, James C.	3	08/15/2012	30	17.9195	8,312	41
Northland Power Inc.	Common Shares	Temerty, James C.	3	08/15/2012	30	17.9195	282,967	1,414
Northland Power Inc.	Common Shares	Temerty, James C.	3	08/15/2012	30	17.9195	325,901	42,934
Northland Resources S.A.	Common Shares	Hvide, Anders	4	02/16/2012	11			153,800
Northland Resources S.A.	Common Shares	Hvide, Anders	4	08/24/2012	11		1,647,000	50,000
Northland Resources S.A.	Common Shares	Waplan, Karl-Axel	5	09/22/2011	10			18,000
Northland Resources S.A.	Common Shares	Waplan, Karl-Axel	5	08/27/2012	10		24,000	10,000
Novik Inc.	Common Shares	Gaudreau, Michel	3, 4, 5	08/27/2012	10	0.304	150,000	50,000
Novik Inc.	Common Shares	Gaudreau, Michel	3, 4, 5	08/31/2012	10	0.304	200,000	50,000
Novik Inc.	Common Shares	Gaudreau, Michel	3, 4, 5	08/31/2012	90		250,000	50,000
NuLegacy Gold Corporation	Common Shares	Anderson, James	4, 5	08/27/2012	10	0.13	1,403,000	136,500
NuLegacy Gold Corporation	Common Shares	Anderson, James	4, 5	08/28/2012	10	0.13	1,516,500	113,500
NWM Mining Corporation (formerly Columbia Metals Corporation)	Common Shares	Plaxton, James Mark	8	08/20/2012	10	0.06	31,614,237	-1,630,000
NWM Mining Corporation (formerly Columbia Metals Corporation)	Common Shares	Plaxton, James Mark	8	08/21/2012	10	0.06	31,229,237	-385,000
NWM Mining Corporation (formerly Columbia Metals Corporation)	Common Shares	Plaxton, James Mark	8	08/22/2012	10	0.06	30,633,237	-596,000
NWM Mining Corporation (formerly Columbia Metals Corporation)	Common Shares	Plaxton, James Mark	8	08/23/2012	10	0.06	29,567,237	-1,066,000
NWM Mining Corporation (formerly Columbia Metals Corporation)	Common Shares	Plaxton, James Mark	8	08/24/2012	10	0.06	26,812,237	-2,755,000
NWM Mining Corporation (formerly Columbia Metals Corporation)	Common Shares	Plaxton, James Mark	8	08/27/2012	10	0.06	26,629,237	-183,000
NWM Mining Corporation (formerly Columbia Metals Corporation)	Common Shares	Plaxton, James Mark	8	08/28/2012	10	0.06	26,433,237	-196,000
NWM Mining Corporation (formerly Columbia Metals Corporation)	Common Shares	Plaxton, James Mark	8	08/29/2012	10	0.06	26,251,237	-182,000
NWM Mining Corporation (formerly Columbia Metals Corporation)	Common Shares	Plaxton, James Mark	8	08/30/2012	16	0.06	34,584,570	8,333,333
NWM Mining Corporation (formerly Columbia Metals Corporation)	Common Shares	Plaxton, James Mark	8	08/30/2012	10	0.06	34,335,570	-249,000
Oceanus Resources Corporation	Common Shares	Gordon, Richard Basil	4, 5	08/21/2012	10	0.18	1,037,500	2,000
OPEL Technologies Inc.	Options	Tsiofas, Chris	4	08/21/2012	00			
OPEL Technologies Inc.	Options	Tsiofas, Chris	4	08/21/2012	50		500,000	500,000
Open Text Corporation	Common Shares OTEX Common	Koehler, Walter	7	02/08/2009	51	26.24	10,000	10,000
Open Text Corporation	Common Shares OTEX Common	Koehler, Walter	7	02/08/2009	10	35.1254	0	-10,000
Open Text Corporation	Common Shares OTEX Common	Koehler, Walter	7	02/08/2009	51	16.92	5,000	5,000
Open Text Corporation	Common Shares OTEX Common	Koehler, Walter	7	02/08/2009	10	35.1254	0	-5,000
Open Text Corporation	Common Shares OTEX Common	Koehler, Walter	7	02/08/2009	51	14.94	7,500	7,500
Open Text Corporation	Common Shares OTEX Common	Koehler, Walter	7	02/08/2009	10	35.1254	0	-7,500
Open Text Corporation	Common Shares OTEX Common	Koehler, Walter	7	02/08/2009	51	18.07	7,500	7,500
Open Text Corporation	Common Shares OTEX Common	Koehler, Walter	7	02/08/2009	10	35.1254	0	-7,500
Open Text Corporation	Common Shares OTEX Common	Koehler, Walter	7	02/08/2009	51	15.47	5,000	5,000
Open Text Corporation	Common Shares OTEX Common	Koehler, Walter	7	02/08/2009	10	35.1254	0	-5,000
Open Text Corporation	Common Shares OTEX Common	Koehler, Walter	7	02/14/2011	51	14.94	2,500	2,500
Open Text Corporation	Common Shares OTEX Common	Koehler, Walter	7	02/14/2011	10	58.65	0	-2,500
Open Text Corporation	Common Shares OTEX Common	Koehler, Walter	7	02/14/2011	51	18.07	2,500	2,500
Open Text Corporation	Common Shares OTEX Common	Koehler, Walter	7	02/14/2011	10	58.65	0	-2,500

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Open Text Corporation	Common Shares OTEX Common	Koehler, Walter	7	02/14/2011	51	15.47	5,000	5,000
Open Text Corporation	Common Shares OTEX Common	Koehler, Walter	7	02/14/2011	10	58.65	0	-5,000
Open Text Corporation	Options All OTEX Option Plans	Koehler, Walter	7	11/07/2005	50		25,000	10,000
Open Text Corporation	Options All OTEX Option Plans	Koehler, Walter	7	02/03/2006	50		35,000	10,000
Open Text Corporation	Options All OTEX Option Plans	Koehler, Walter	7	05/08/2006	50		45,000	10,000
Open Text Corporation	Options All OTEX Option Plans	Koehler, Walter	7	02/08/2009	51	26.24	35,000	-10,000
Open Text Corporation	Options All OTEX Option Plans	Koehler, Walter	7	02/08/2009	51	16.92	30,000	-5,000
Open Text Corporation	Options All OTEX Option Plans	Koehler, Walter	7	02/08/2009	51	14.94	22,500	-7,500
Open Text Corporation	Options All OTEX Option Plans	Koehler, Walter	7	02/08/2009	51	18.07	15,000	-7,500
Open Text Corporation	Options All OTEX Option Plans	Koehler, Walter	7	02/08/2009	51	15.47	10,000	-5,000
Open Text Corporation	Options All OTEX Option Plans	Koehler, Walter	7	02/14/2011	51	14.94	7,500	-2,500
Open Text Corporation	Options All OTEX Option Plans	Koehler, Walter	7	02/14/2011	51	18.07	5,000	-2,500
Open Text Corporation	Options All OTEX Option Plans	Koehler, Walter	7	02/14/2011	51	15.47	0	-5,000
Opta Minerals Inc.	Common Shares	Beutel, Austin Cecil	6	08/29/2012	10	2.25	3,424,200	158,500
Opta Minerals Inc.	Common Shares	Hepburn, Victor	4	08/29/2012	30	2.25	10,900	10,000
Opta Minerals Inc.	Common Shares	Kendall, Jeremy	4	08/29/2012	30	2.25	74,200	10,000
Opta Minerals Inc.	Common Shares	Kruse, David	5	08/29/2012	30	2.25	100,648	19,600
Osisko Mining Corporation	Common Shares	Burzynski, John Feliks	5	08/22/2012	10	9.49	489,600	-10,000
Osisko Mining Corporation	Common Shares	Burzynski, John Feliks	5	08/22/2012	10	9.44	485,300	-4,300
Osisko Mining Corporation	Common Shares	Burzynski, John Feliks	5	08/23/2012	10	9.63	457,100	-28,200
Osisko Mining Corporation	Common Shares	Burzynski, John Feliks	5	08/23/2012	10	9.57	447,100	-10,000
Osisko Mining Corporation	Common Shares	Burzynski, John Feliks	5	08/23/2012	10	9.6	437,100	-10,000
Osisko Mining Corporation	Common Shares	Burzynski, John Feliks	5	08/27/2012	10	9.47	422,200	-14,900
Osisko Mining Corporation	Common Shares	Burzynski, John Feliks	5	08/27/2012	10	9.5	388,100	-34,100
Osisko Mining Corporation	Common Shares	Burzynski, John Feliks	5	08/27/2012	10	9.51	385,300	-2,800
Osisko Mining Corporation	Common Shares	Burzynski, John Feliks	5	08/28/2012	10	9.6	375,300	-10,000
Osisko Mining Corporation	Common Shares	Burzynski, John Feliks	5	08/28/2012	10	9.61	285,300	-90,000
Osisko Mining Corporation	Common Shares	Leavenworth Bakali, Mustapha	4	08/31/2012	51	2.2	175,000	75,000
Osisko Mining Corporation	Common Shares	Leavenworth Bakali, Mustapha	4	08/31/2012	10	9.5456	100,000	-75,000
Osisko Mining Corporation	Options	Leavenworth Bakali, Mustapha	4	08/31/2012	51	2.2	150,000	-75,000
Osisko Mining Corporation	Common Shares	Roosen, Sean	4	08/31/2012	51	2.2	979,614	103,600
Osisko Mining Corporation	Common Shares	Roosen, Sean	4	08/31/2012	10	9.57	876,014	-103,600
Osisko Mining Corporation	Options	Roosen, Sean	4	08/31/2012	51	2.2	1,748,600	-103,600
Osisko Mining Corporation	Common Shares	Wares, Robert	4, 5	08/29/2012	51	2.2	1,409,000	100,000
Osisko Mining Corporation	Common Shares	Wares, Robert	4, 5	08/29/2012	10	9.47	1,359,000	-50,000
Osisko Mining Corporation	Common Shares	Wares, Robert	4, 5	08/29/2012	10	9.55	1,309,000	-50,000
Osisko Mining Corporation	Common Shares	Wares, Robert	4, 5	08/15/2012	97			210,000
Osisko Mining Corporation	Common Shares	Wares, Robert	4, 5	08/15/2012	97		197,000	197,000
Osisko Mining Corporation	Options	Wares, Robert	4, 5	08/29/2012	51		1,360,400	-50,000
Osisko Mining Corporation	Options	Wares, Robert	4, 5	08/29/2012	51		1,310,400	-50,000
Pacific Coal Resources Ltd.	Common Shares	Efromovich, German	6	03/14/2011	00			
Pacific Coal Resources Ltd.	Common Shares	Lopez, Augusto	6	03/14/2011	00		300,000	
Pacific Coal Resources Ltd.	Warrants	Lopez, Augusto	6	03/14/2011	00		150,000	
Pacific Coal Resources Ltd.	Common Shares	Mills, Dennis Joseph	6	02/13/2012	00			
Pacific Coal Resources Ltd.	Common Shares	Rivera Giraldo, Victor German	6	03/14/2011	00			
Pacific Coal Resources Ltd.	Common Shares	Sole Franco, Francisco	6	02/13/2012	00			
PACIFIC RIM MINING CORP.	Options	Fagin, David	4	08/27/2012	52		575,000	-75,000
PACIFIC RIM MINING CORP.	Options	Myckatyn, William Harry	4, 5	08/27/2012	52		590,000	-75,000
Pan American Silver Corp.	Options	de Gelder, Neil	4	07/01/2012	00			
Pan American Silver Corp.	Options	de Gelder, Neil	4	08/27/2012	46		12,245	12,245
Pan American Silver Corp.	Common Shares	Press, David Clement	4	08/27/2012	46	17.02	5,391	3,894
Pan American Silver Corp.	Options	Press, David Clement	4	06/10/2008	00			
Pan American Silver Corp.	Common Shares	Segsworth, Walter Thomas	4	08/27/2012	46	17.02	7,944	3,759
Parallel Energy Trust	Trust Units	Feuchuk, Dennis	4, 1, 5	08/29/2012	10	5.909	85,000	2,000
Paramount Resources Ltd.	Common Shares Class A	Claugus, Thomas Eugene	4	08/21/2012	10	25.83	183,700	-900
Paramount Resources Ltd.	Common Shares Class A	Claugus, Thomas Eugene	4	08/27/2012	10	24.9	182,800	-900
Paramount Resources Ltd.	Common Shares Class A	Claugus, Thomas Eugene	4	08/21/2012	10	25.83	1,462,100	-7,200
Paramount Resources Ltd.	Common Shares Class A	Claugus, Thomas Eugene	4	08/27/2012	10	24.9	1,454,900	-7,200
Paramount Resources Ltd.	Common Shares Class A	Claugus, Thomas Eugene	4	08/21/2012	10	25.83	1,707,800	-8,400

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Paramount Resources Ltd.	Common Shares Class A	Claugus, Thomas Eugene	4	08/27/2012	10	24.9	1,699,400	-8,400
Paramount Resources Ltd.	Common Shares Class A	Claugus, Thomas Eugene	4	08/21/2012	10	25.83	4,088,000	-20,000
Paramount Resources Ltd.	Common Shares Class A	Claugus, Thomas Eugene	4	08/27/2012	10	24.9	4,068,000	-20,000
Paramount Resources Ltd.	Common Shares Class A	Claugus, Thomas Eugene	4	08/21/2012	10	25.83	24,600	-100
Paramount Resources Ltd.	Common Shares Class A	Claugus, Thomas Eugene	4	08/27/2012	10	24.9	24,500	-100
Paramount Resources Ltd.	Common Shares Class A	Claugus, Thomas Eugene	4	08/21/2012	10	25.83	304,300	-1,500
Paramount Resources Ltd.	Common Shares Class A	Claugus, Thomas Eugene	4	08/27/2012	10	24.9	302,800	-1,500
Pembina Pipeline Corporation	Common Shares	D'Orazio, Claudia	5	02/15/2012	00			
Pembina Pipeline Corporation	Common Shares	D'Orazio, Claudia	5	08/27/2012	10	27.63	360	360
Pembina Pipeline Corporation	Common Shares	Michaleski, Robert B.	4	08/29/2012	10	27.1	1,006	-500
Pembina Pipeline Corporation	Common Shares	Michaleski, Robert B.	4	08/29/2012	10	27.1	948	-58
Pembina Pipeline Corporation	Common Shares	Michaleski, Robert B.	4	08/29/2012	10	27.101	3,262	-500
Pembina Pipeline Corporation	Common Shares	Michaleski, Robert B.	4	08/29/2012	10	27.1	3,204	-58
Pengrowth Energy Corporation	Common Shares	McDougall, Marlon John	5	08/22/2012	90			-2,685
Pengrowth Energy Corporation	Common Shares	McDougall, Marlon John	5	08/22/2012	90		62,941	-3,157
Pengrowth Energy Corporation	Common Shares	McDougall, Marlon John	5	08/28/2012	10	7	62,341	-600
Pengrowth Energy Corporation	Common Shares	McDougall, Marlon John	5	08/08/2011	00			
Pengrowth Energy Corporation	Common Shares	McDougall, Marlon John	5	08/22/2012	90			2,685
Pengrowth Energy Corporation	Common Shares	McDougall, Marlon John	5	08/22/2012	90		3,157	3,157
Pengrowth Energy Corporation	Common Shares	Webster, Christopher Geoffrey	5	08/31/2012	30	7.89	253,860	10,369
Penn West Petroleum Ltd.	Options	Fitzgerald, Mark	5	08/24/2012	38		400,000	-31,666
Penn West Petroleum Ltd.	Restricted Share Rights	Fitzgerald, Mark	5	08/24/2012	57		125,000	-31,666
Penn West Petroleum Ltd.	Common Shares	Henkelman, Wendy	5	08/30/2012	10	13.78	1,019	-1,100
Perpetual Energy Inc.	Common Shares	Jackson, Gary C.	5	08/28/2012	10	1.23	20,000	20,000
Perpetual Energy Inc.	Common Shares	Riddell, Clayton H.	3, 4	08/28/2012	11	1.2	0	-545,484
Perpetual Energy Inc.	Common Shares	Riddell, Clayton H.	3, 4	08/28/2012	11	1.2	2,430,784	545,484
Pethealth Inc.	Common Shares	Atkins, David Hedley	4	08/29/2012	10	1.1459	48,800	25,000
Pethealth Inc.	Common Shares	Warren, Edward Mark	3, 4, 6, 5	08/29/2012	10	1.15	1,377,474	-11,400
Petrobank Energy and Resources Ltd.	Common Shares	Bloomer, Christopher John Charles	4, 5	08/27/2012	57	0.05	416,393	14,720
Petrobank Energy and Resources Ltd.	Deferred Common Shares	Bloomer, Christopher John Charles	4, 5	08/27/2012	57		17,273	-14,720
Petrobank Energy and Resources Ltd.	Options	Magus, Chad William	5	08/23/2012	50		114,475	26,665
Petrobank Energy and Resources Ltd.	Options	Mervold, Dwight Bernard	5	08/23/2012	50	12.96	197,400	49,000
Petrominerales Ltd.	Common Shares	Ytreland, Geir Kristian	4	08/27/2012	10		63,375	3,375
Petrominerales Ltd.	Common Shares Deferred	Ytreland, Geir Kristian	4	08/27/2012	57		7,403	-3,375
Phoscan Chemical Corp.	Common Shares	PhosCan Chemical Corp.	1	08/15/2012	38	0.28	54,500	19,500
Phoscan Chemical Corp.	Common Shares	PhosCan Chemical Corp.	1	08/16/2012	38	0.285	67,000	12,500
Phoscan Chemical Corp.	Common Shares	PhosCan Chemical Corp.	1	08/17/2012	38			17,000
Phoscan Chemical Corp.	Common Shares	PhosCan Chemical Corp.	1	08/17/2012	38	0.285	49,000	17,000
Phoscan Chemical Corp.	Common Shares	PhosCan Chemical Corp.	1	08/20/2012	38		29,500	-19,500
Phoscan Chemical Corp.	Common Shares	PhosCan Chemical Corp.	1	08/21/2012	38		17,000	-12,500
Phoscan Chemical Corp.	Common Shares	PhosCan Chemical Corp.	1	08/21/2012	38	0.285	18,000	1,000
Phoscan Chemical Corp.	Common Shares	PhosCan Chemical Corp.	1	08/22/2012	38		1,000	-17,000
Phoscan Chemical Corp.	Common Shares	PhosCan Chemical Corp.	1	08/24/2012	38		0	-1,000
Plata Latina Minerals Corporation	Common Shares	BRODIE, MARGARET RUTH	5	08/24/2012	10	0.35	121,500	2,000
Plata Latina Minerals Corporation	Common Shares	BRODIE, MARGARET RUTH	5	08/24/2012	10	0.37	121,675	175
Plata Latina Minerals Corporation	Common Shares	BRODIE, MARGARET RUTH	5	08/24/2012	10	0.36	122,175	500
Plata Latina Minerals Corporation	Common Shares	BRODIE, MARGARET RUTH	5	08/24/2012	10	0.35	125,175	3,000
Platinum Communications Corporation	Common Shares	Bobye, Wayne	4	08/29/2012	10	0.08	3,424,511	45,000
Platinum Communications Corporation	Common Shares	Bobye, Wayne	4	08/29/2012	10	0.085	3,427,511	3,000
Platinum Communications Corporation	Common Shares	Bobye, Wayne	4	08/29/2012	10	0.11	3,437,511	10,000
Platinum Communications Corporation	Common Shares	Bobye, Wayne	4	08/29/2012	10	0.125	3,439,511	2,000
Platinum Communications Corporation	Common Shares	Bobye, Wayne	4	08/31/2012	10	0.115	3,450,511	11,000

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Platinum Communications Corporation	Common Shares	Bobye, Wayne	4	08/31/2012	10	0.12	3,460,511	10,000
Platinum Communications Corporation	Common Shares	Bobye, Wayne	4	08/31/2012	10	0.12	3,480,511	20,000
Platinum Communications Corporation	Common Shares	Bobye, Wayne	4	08/31/2012	10	0.12	3,481,511	1,000
Platinum Communications Corporation	Common Shares	Cargo, Ronald James	3, 4	08/29/2012	10	0.12	9,602,683	10,000
Plexmar Resources Inc.	Options d'achat d'actions	BÚdard, Guy	4, 5	08/30/2012	52		2,375,000	-1,000,000
Posera-HDX Limited	Common Shares	Cote, Michel	5	08/28/2012	10	0.235	2,012,482	500
Power Financial Corporation	Common Shares	Gratton, Robert	4	08/23/2012	10	25.0866	3,171,052	-20,100
Power Financial Corporation	Common Shares	Gratton, Robert	4	08/24/2012	10	25.1259	3,154,052	-17,000
Power Financial Corporation	Common Shares	Gratton, Robert	4	08/27/2012	10	25.0467	3,127,752	-26,300
Power Financial Corporation	Common Shares	Gratton, Robert	4	08/28/2012	10	25.2352	3,110,452	-17,300
Power Financial Corporation	Common Shares	Gratton, Robert	4	08/29/2012	10	25.2235	3,063,852	-46,600
Power Financial Corporation	Common Shares	Gratton, Robert	4	08/30/2012	10	25.0224	3,040,352	-23,500
Power Financial Corporation	Deferred Share Units	Gratton, Robert	4	06/30/2012	56	26.78		773
Power Financial Corporation	Deferred Share Units	Gratton, Robert	4	06/30/2012	56	26.78	24,235	773
Premium Brands Holdings Corporation	Convertible Debentures 7.00% Subordinated	Premium Brands Holdings Corporation	1	08/27/2012	38	121	$31	$5
Premium Brands Holdings Corporation	Convertible Debentures 7.00% Subordinated	Premium Brands Holdings Corporation	1	08/29/2012	38	120.5	$36	$5
Primaris Retail Real Estate Investment Trust	Trust Units	Cardy, Roland	4	07/17/2003	00			
Primaris Retail Real Estate Investment Trust	Trust Units	Cardy, Roland	4	08/28/2012	10	24.11	190	190
Prime City One Capital Corp.	Common Shares	Lorenzo, John Michael	4	08/27/2012	11		789,472	-928,540
Prime City One Capital Corp.	Common Shares	Lorenzo, John Michael	4	08/27/2012	11		7,259,095	-164,472
Prodigy Gold Inc.	Options	Harrington, Robert	4	08/29/2012	50	0.66	1,200,000	250,000
Prodigy Gold Inc.	Options	Maher, Brian	5	08/29/2012	50	0.66	2,075,000	350,000
Prodigy Gold Inc.	Options	Mason, Frederick William Ross	5	08/29/2012	50	0.66	1,450,000	250,000
Prodigy Gold Inc.	Options	Phelps, Michael E.J.	4	08/29/2012	50	0.66	2,000,000	300,000
Prodigy Gold Inc.	Options	Pollock, Thomas Ray	5	08/29/2012	50	0.66	1,025,000	200,000
Prodigy Gold Inc.	Options	Richardson, George David	4	08/29/2012	50	0.66	1,750,000	300,000
Prodigy Gold Inc.	Options	Wood, Antony	5	08/29/2012	50		1,500,000	250,000
Prophecy Coal Corp.	Options	Batista, Harald Fuhrken	4	08/17/2012	00			
Prophecy Coal Corp.	Options	Batista, Harald Fuhrken	4	08/17/2012	00			
Prophecy Coal Corp.	Options	Batista, Harald Fuhrken	4	08/22/2012	50	0.18	150,000	150,000
Prophecy Coal Corp.	Options	Li, Joseph Walter	4	08/24/2012	50		850,000	300,000
Prophecy Coal Corp.	Options	Plavutska, Irina	5	08/11/2011	00		150,000	
Prophecy Coal Corp.	Options	Plavutska, Irina	5	06/18/2012	50		280,000	130,000
Prophecy Coal Corp.	Options	Plavutska, Irina	5	08/22/2012	50		330,000	50,000
Prophecy Platinum Corp.	Options	Plavutska, Irina	5	11/03/2011	00		100,000	
Prophecy Platinum Corp.	Options	Plavutska, Irina	5	12/12/2011	50		110,000	10,000
Prophecy Platinum Corp.	Options	Plavutska, Irina	5	08/07/2012	50		130,000	20,000
Puma Exploration Inc.	Common Shares	Robillard, Marcel	4, 5	08/31/2012	10	0.26	717,000	5,000
Puma Exploration Inc.	Common Shares	Robillard, Marcel	4, 5	08/31/2012	10	0.255	723,000	6,000
Pure Industrial Real Estate Trust	Restricted Units	Bogusz, James K	4	08/15/2012	56		9,072	1,156
Pure Industrial Real Estate Trust	Restricted Units	King, Robert William	4	08/15/2012	56		9,072	454
Pure Industrial Real Estate Trust	Restricted Units	Scott, Douglas R.	4	05/29/2012	57		2,763	-18,279
Pure Industrial Real Estate Trust	Restricted Units	Scott, Douglas R.	4	08/15/2012	56		10,885	8,122
Pure Industrial Real Estate Trust	Restricted Units	Tam, Francis	5	06/01/2011	00			
Pure Industrial Real Estate Trust	Restricted Units	Tam, Francis	5	08/14/2012	46		40,373	40,373
Pure Industrial Real Estate Trust	Restricted Units	Turner, Thomas Richard	4	08/15/2011	57		21,553	-14,883
Pure Industrial Real Estate Trust	Restricted Units	Turner, Thomas Richard	4	08/15/2012	56		10,885	7,689
Pure Industrial Real Estate Trust	Trust Units	Turner, Thomas Richard	4	11/15/2011	36	3.93	19,883	14,883
QSOLAR Limited	Common Shares	Tapakoudes, Andreas	3, 4, 5	08/27/2012	10	0.19	4,774,585	-50,000
Quartet Resources Limited	Common Shares	Challis, Jonathan	4	08/23/2012	00			
Quartet Resources Limited	Common Shares	Challis, Jonathan	4	08/24/2012	00		100,000	

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Quartet Resources Limited	Common Shares	Kahn, Michael	3, 4	08/23/2012	00			
Quartet Resources Limited	Common Shares	Kahn, Michael	3, 4	08/24/2012	00		1,600,000	
Quartet Resources Limited	Common Shares	Koether, Philipp	6	08/23/2012	00			
Quartet Resources Limited	Common Shares	Koether, Philipp	6	08/24/2012	00		2,400,000	
Quartet Resources Limited	Common Shares	Lush, Paul	4	08/23/2012	00			
Quartet Resources Limited	Common Shares	Lush, Paul	4	08/24/2012	00		300,000	
Quartet Resources Limited	Common Shares	Reading, Simon	3, 4, 5	08/23/2012	00			
Quartet Resources Limited	Common Shares	Reading, Simon	3, 4, 5	08/24/2012	00		1,500,000	
Quartet Resources Limited	Common Shares	Rosychuk, Jason	4, 5	08/23/2012	00			
Quartet Resources Limited	Common Shares	Rosychuk, Jason	4, 5	08/24/2012	00		200,000	
Quartet Resources Limited	Common Shares	Varanese, James	4	08/23/2012	00		100,000	
Quartet Resources Limited	Common Shares	VLFT 1 Limited	3	08/23/2012	00			
Quartet Resources Limited	Common Shares	VLFT 1 Limited	3	08/24/2012	00		2,400,000	
Queenston Mining Inc.	Common Shares	Cudney, Robert Douglas	3	08/21/2012	10	3.3605	7,994,850	10,000
Queenston Mining Inc.	Common Shares	Cudney, Robert Douglas	3	08/23/2012	10	3.4	8,094,850	100,000
Queenston Mining Inc.	Common Shares	Inwentash, Sheldon	3	08/28/2012	10	3.4	5,186,900	50,000
Queenston Mining Inc.	Common Shares	Inwentash, Sheldon	3	08/28/2012	10	3.29	5,192,800	5,900
R Split III Corp.	Capital Shares	Barltrop, James William Spencer	4	08/31/2012	10	11.3	2,000	1,000
Rainbow Resources Inc.	Common Shares	Murray, Robert Brian	3, 4, 5	08/22/2012	10	0.25	1,021,000	-100,000
Razore Rock Resources Inc.	Options	de Quadros, Antonio Melicio	4	05/06/2011	50	0.1		150,000
Razore Rock Resources Inc.	Options	de Quadros, Antonio Melicio	4	05/06/2011	50	0.1	150,000	150,000
Razore Rock Resources Inc.	Options	de Quadros, Antonio Melicio	4	08/24/2012	38		0	-150,000
Razore Rock Resources Inc.	Options	de Quadros, Antonio Melicio	4	08/24/2012	50		150,000	150,000
Razore Rock Resources Inc.	Common Shares Options	van de Water, Frank	4	08/24/2012	38	0.15	0	-150,000
Razore Rock Resources Inc.	Common Shares Options	van de Water, Frank	4	08/24/2012	50	0.1	150,000	150,000
Razore Rock Resources Inc.	Options	Wilson, Michael	4, 5	08/24/2012	38		0	-250,000
Razore Rock Resources Inc.	Options	Wilson, Michael	4, 5	08/24/2012	50		250,000	250,000
Redline Communications Group Inc.	Common Shares	Kramer, David	3	08/24/2012	10	4.7667	176,625	-6,000
Redline Communications Group Inc.	Common Shares	Kramer, David	3	08/27/2012	10	4.8223	170,025	-6,600
Redline Communications Group Inc.	Common Shares	Kramer, David	3	08/24/2012	10	4.9	17,175	-800
Regal Resources Inc.	Common Shares	Louie, Tony	8	08/16/2012	16	0.1	1,097,000	997,000
Regal Resources Inc.	Warrants	Louie, Tony	8	03/01/2012	00		1,097,000	
Regal Resources Inc.	Warrants	Louie, Tony	8	08/16/2012	16	0.2	2,094,000	997,000
REIT INDEXPLUS Income Fund	Trust Units	REIT Indexplus Income Fund	1	08/24/2012	38	12.2	799,800	800
REIT INDEXPLUS Income Fund	Trust Units	REIT Indexplus Income Fund	1	08/27/2012	38	12.2	800,200	400
REIT INDEXPLUS Income Fund	Trust Units	REIT Indexplus Income Fund	1	08/29/2012	38	12.05	800,600	400
REIT INDEXPLUS Income Fund	Trust Units	REIT Indexplus Income Fund	1	08/30/2012	38	11.95	801,000	400
Reitmans (Canada) Limited	Options Class A non-voting	Lindy, Brian William	5	08/06/2012	00			
Reitmans (Canada) Limited	Options Class A non-voting	Lindy, Brian William	5	08/29/2012	50	12.62	100,000	100,000
Relentless Resources Ltd.	Common Shares	MacDonald, William Colin	4	08/29/2012	10	0.16	974,612	9,000
Response Biomedical Corp	Common Shares	Shannon, Timothy Patrick	5	07/25/2012	00			
Response Biomedical Corp	Common Shares	Shannon, Timothy Patrick	5	08/24/2012	10	0.075	130,000	130,000
Response Biomedical Corp	Common Shares	Shannon, Timothy Patrick	5	08/29/2012	10	0.07	160,000	30,000
Response Biomedical Corp	Common Shares	Shannon, Timothy Patrick	5	08/30/2012	10	0.074	247,000	87,000
Response Biomedical Corp	Common Shares	Shannon, Timothy Patrick	5	08/30/2012	10	0.075	248,000	1,000
Response Biomedical Corp	Common Shares	Shannon, Timothy Patrick	5	08/30/2012	10	0.079	270,000	22,000
Resverlogix Corp.	Warrants	Eastern Capital Limited	3	11/23/2011	00			
Resverlogix Corp.	Warrants	Eastern Capital Limited	3	08/27/2012	53		1,320,000	1,320,000
Revolution Resources Corp.	Common Shares	Sun Valley Gold LLC	3	04/03/2012	00		5,000,000	
Revolution Resources Corp.	Common Shares	Sun Valley Gold LLC	3	04/03/2012	16	0.34	10,000,000	5,000,000
Revolution Resources Corp.	Warrants	Sun Valley Gold LLC	3	04/03/2012	00			
Revolution Resources Corp.	Warrants	Sun Valley Gold LLC	3	04/03/2012	16		2,500,000	2,500,000
Revolution Resources Corp.	Warrants	Sun Valley Gold LLC	3	04/13/2012	16			2,500,000
Revolution Resources Corp.	Warrants	Sun Valley Gold LLC	3	04/13/2012	16			2,500,000
Revolution Resources Corp.	Common Shares	Sun Valley Gold Master Fund, Ltd.	3	04/03/2012	00		10,000,000	
Revolution Resources Corp.	Common Shares	Sun Valley Gold Master Fund, Ltd.	3	04/03/2012	16	0.34	15,000,000	5,000,000
Revolution Resources Corp.	Warrants	Sun Valley Gold Master Fund, Ltd.	3	04/03/2012	00			
Revolution Resources Corp.	Warrants	Sun Valley Gold Master Fund, Ltd.	3	04/03/2012	16		2,500,000	2,500,000
Richards Packaging Income Fund	Trust Units	Prupas, David Lewis	7	08/27/2012	10	9.2141	317,505	4,600
Richmond Minerals Inc.	Common Shares	Hawkins, Warren Russell	4, 5	08/31/2012	10	0.015	481,000	200,000

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Ridgewood Canadian Investment Grade Bond Fund	Units	Simpson, John H.	5	08/30/2012	10	11.59	23,705	135
Rio Alto Mining Limited	Common Shares	Tweddle, Paul	5	08/29/2012	10	4.92	33,500	-3,000
Rio Alto Mining Limited	Common Shares	Williams, Timothy	5	08/16/2012	51	1.9	50,000	50,000
Rio Alto Mining Limited	Common Shares	Williams, Timothy	5	08/16/2012	10	4.46	43,400	-6,600
Rio Alto Mining Limited	Common Shares	Williams, Timothy	5	08/20/2012	10	4.45	18,400	-25,000
Rio Alto Mining Limited	Options	Williams, Timothy	5	08/16/2012	51		275,000	-50,000
Ritchie Bros. Auctioneers Incorporated	Common Shares	Piekaar, Oliver	5	07/06/2012	00		1,269	
Ritchie Bros. Auctioneers Incorporated	Options	Piekaar, Oliver	5	07/06/2012	00		4,100	
Ritchie Bros. Auctioneers Incorporated	Options	Sgro, Anna	5	07/06/2012	00		17,136	
Ritchie Bros. Auctioneers Incorporated	Common Shares	Watt, Darren	5	07/06/2012	00		484	
Ritchie Bros. Auctioneers Incorporated	Options	Watt, Darren	5	07/06/2012	00		7,800	
Riva Gold Corporation	Common Shares	Parikh, Purni	4	08/28/2012	10	0.25	1,179,701	132,500
Riverstone Resources Inc.	Common Shares	Golden Star Resources Ltd.	3	08/28/2012	10	0.43	25,638,801	-12,500
Riverstone Resources Inc.	Common Shares	Golden Star Resources Ltd.	3	08/29/2012	10	0.42	25,616,801	-22,000
Riverstone Resources Inc.	Common Shares	Golden Star Resources Ltd.	3	08/29/2012	10	0.43	25,615,801	-1,000
Riverstone Resources Inc.	Common Shares	Golden Star Resources Ltd.	3	08/30/2012	10	0.425	25,614,801	-1,000
Riverstone Resources Inc.	Common Shares	Golden Star Resources Ltd.	3	08/31/2012	10	0.425	25,604,801	-10,000
Riverstone Resources Inc.	Common Shares	Golden Star Resources Ltd.	3	08/31/2012	10	0.42	25,594,801	-10,000
Roadrunner Oil & Gas Inc.	Options	Welykochy, James	4	01/22/2010	50	0.17		600,000
Roadrunner Oil & Gas Inc.	Options	Welykochy, James	4	01/22/2010	50	3.4	20,000	20,000
Rocky Mountain Liquor Inc.	Common Shares	Coleman, Frank Joseph	4	02/16/2012	90	0.275	3,479,492	141,907
Rocky Mountain Liquor Inc.	Common Shares	Coleman, Frank Joseph	4	07/27/2010	90	0.405		260,000
Rocky Mountain Liquor Inc.	Common Shares	Coleman, Frank Joseph	4	07/27/2010	90	0.405	560,000	260,000
Rocky Mountain Liquor Inc.	Common Shares	Coleman, Frank Joseph	4	11/09/2010	10	39.5		1,500
Rocky Mountain Liquor Inc.	Common Shares	Coleman, Frank Joseph	4	11/09/2010	10	0.395	278,407	1,500
Rocky Mountain Liquor Inc.	Common Shares	Coleman, Frank Joseph	4	11/09/2010	10	39.5		5,000
Rocky Mountain Liquor Inc.	Common Shares	Coleman, Frank Joseph	4	11/09/2010	10	0.395	283,407	5,000
Rocky Mountain Liquor Inc.	Common Shares	Coleman, Frank Joseph	4	11/09/2010	10	39.5		500
Rocky Mountain Liquor Inc.	Common Shares	Coleman, Frank Joseph	4	11/09/2010	10	0.395	283,907	500
Rocky Mountain Liquor Inc.	Common Shares	Coleman, Frank Joseph	4	07/27/2010	90	0.405		260,000
RONA inc.	Common Shares	Caisse de dÚp¶t et placement du QuÚbec	3	08/30/2012	10	12.663	17,345,900	131,900
RONA inc.	Common Shares	Caisse de dÚp¶t et placement du QuÚbec	3	08/31/2012	10	12.846	17,499,700	153,800
Royal Bank of Canada	Rights Deferred Share Units	Anderson, Robert James	5	08/24/2012	56	53.666	141,510	1,487
Royal Bank of Canada	Rights RBC Capital Markets Unit Awards	Anderson, Robert James	5	08/24/2012	56	53.666	85,427	899
Royal Bank of Canada	Rights Deferred Share Units	Friis, Morten Nicolai	5	08/24/2012	56	53.666	29,999	316
Royal Bank of Canada	Rights Performance Deferred Share Units	Friis, Morten Nicolai	5	08/24/2012	56	53.666	71,801	755
Royal Bank of Canada	Rights Deferred Share Units	Fukakusa, Janice Rose	5	08/24/2012	56	53.666	178,094	1,872
Royal Bank of Canada	Rights Performance Deferred Share Units	Fukakusa, Janice Rose	5	08/24/2012	56	53.666	86,660	912
Royal Bank of Canada	Rights Performance Deferred Share Units	Hirji-Nowaczynski, Zabeen	5	08/24/2012	56	53.666	43,131	453
Royal Bank of Canada	Rights Performance Deferred Share Units	Lewis, Melville George	5	08/24/2012	56	53.666	86,615	910
Royal Bank of Canada	Rights Deferred Share Units	McGregor, Alex Douglas	5	08/24/2012	56	53.666	330,030	3,469
Royal Bank of Canada	Rights Performance Deferred Share Units	McGregor, Alex Douglas	5	08/24/2012	56	53.666	260,992	2,743
Royal Bank of Canada	Rights Performance Deferred Share Units	McKay, David Ian	5	08/24/2012	56	53.666	96,256	1,012
Royal Bank of Canada	Rights Deferred Share Units	Nixon, Gordon Melbourne	4, 5	08/24/2012	56	53.666	166,980	1,756
Royal Bank of Canada	Rights Performance Deferred Share Units	Nixon, Gordon Melbourne	4, 5	08/24/2012	56	53.666	270,704	2,845
Royal Bank of Canada	Rights RBC Share Units	Nixon, Gordon Melbourne	4, 5	08/24/2012	56	53.666	40,377	425
Royal Bank of Canada	Rights Deferred Share Units	Standish, Mark Allan	5	08/24/2012	56	54.158	398,732	4,120
Royal Bank of Canada	Rights Performance Deferred Share Units	Standish, Mark Allan	5	08/24/2012	56	54.158	269,753	2,787
Royal Bank of Canada	Rights Deferred Share Units	Westlake, William James	5	08/24/2012	56	53.666	47,325	498

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Royal Bank of Canada	Rights Performance Deferred Share Units	Westlake, William James	5	08/24/2012	56	53.666	83,897	882
Royal Coal Corp.	Common Shares	Cormark Securities Inc.	3	08/29/2012	00		20,000,000	
Royal Coal Corp.	Warrants	Cormark Securities Inc.	3	08/29/2012	00		10,000,000	
Royal Nickel Corporation	Common Shares	Goudie, Peter James	4	08/23/2012	10	0.057		20,000
Royal Nickel Corporation	Common Shares	Goudie, Peter James	4	08/23/2012	10	0.57	463,850	20,000
Royal Nickel Corporation	Common Shares	Goudie, Peter James	4	08/27/2012	10	0.66	475,850	12,000
Royal Nickel Corporation	Common Shares	Goudie, Peter James	4	08/29/2012	10	0.64	477,350	1,500
Royal Nickel Corporation	Common Shares	Goudie, Peter James	4	08/29/2012	10	0.62	495,850	18,500
Royal Nickel Corporation	Common Shares	Goudie, Peter James	4	08/29/2012	10	0.61	515,850	20,000
Royal Nickel Corporation	Common Shares	Goudie, Peter James	4	08/30/2012	10	0.65	545,850	30,000
Royal Nickel Corporation	Common Shares	Goudie, Peter James	4	08/30/2012	10	0.64	550,850	5,000
Royal Nickel Corporation	Common Shares	Goudie, Peter James	4	08/30/2012	10	0.63	555,850	5,000
Royal Nickel Corporation	Common Shares	Hand, Scott McKee	4, 5	08/27/2012	10	0.66	1,468,765	20,000
Royal Nickel Corporation	Common Shares	Hand, Scott McKee	4, 5	08/27/2012	10	0.65	1,500,000	31,235
Royal Nickel Corporation	Common Shares	Selby, Mark Thomas Henry	5	08/24/2012	10	0.66	267,000	2,000
Royal Nickel Corporation	Common Shares	Selby, Mark Thomas Henry	5	08/27/2012	10	0.633	275,000	8,000
Rusoro Mining Ltd.	Common Shares	Agapov, Andre Vladimir	3, 4, 5	08/22/2012	10	0.02	73,200,667	1,500,000
Rusoro Mining Ltd.	Common Shares	Agapov, Andre Vladimir	3, 4, 5	08/23/2012	10	0.02	76,550,667	3,350,000
Rusoro Mining Ltd.	Common Shares	Agapov, Vladimir Pavlovich	4	08/23/2012	10	0.02	1,740,000	1,740,000
Rusoro Mining Ltd.	Common Shares	Hediger, Peter	4	11/07/2006	00			
Rusoro Mining Ltd.	Common Shares	Hediger, Peter	4	06/25/2012	10	0.03	1,000,000	1,000,000
Rusoro Mining Ltd.	Common Shares	Hediger, Peter	4	08/22/2012	10	0.02	4,000,000	3,000,000
Rusoro Mining Ltd.	Common Shares	Hediger, Peter	4	08/23/2012	10	0.02	12,000,000	8,000,000
Rusoro Mining Ltd.	Common Shares	Hediger, Peter	4	08/27/2012	10	0.03	12,800,000	800,000
Rusoro Mining Ltd.	Common Shares	Hediger, Peter	4	08/28/2012	10	0.03	13,200,000	400,000
Rusoro Mining Ltd.	Common Shares	Hediger, Peter	4	08/29/2012	10	0.03	13,375,000	175,000
Sacre-Coeur Minerals, Ltd.	Options	Todhunter, Jennifer Tina	5	08/24/2011	50	0.35	81,250	50,000
Sacre-Coeur Minerals, Ltd.	Options	Todhunter, Jennifer Tina	5	01/27/2012	52	0.46	71,250	-10,000
Sacre-Coeur Minerals, Ltd.	Options	Todhunter, Jennifer Tina	5	08/07/2012	50	0.11	146,250	75,000
Salida Wealth Preservation (Listed) Fund	Trust Units	Trenholm, Brian	7	08/24/2012	10	6.995	23,700	2,000
Salida Wealth Preservation (Listed) Fund	Trust Units	Trenholm, Brian	7	08/27/2012	10	7	24,700	1,000
San Gold Corporation	Common Shares	Berzins, Ian Martin	5	08/31/2012	10	0.73	115,500	10,000
Sarama Resources Ltd.	Common Shares	Sun Valley Gold LLC	3	11/02/2011	00		1,500,000	
Sarama Resources Ltd.	Common Shares	Sun Valley Gold LLC	3	11/02/2011	15	0.9	6,000,000	4,500,000
Sarama Resources Ltd.	Warrants	Sun Valley Gold LLC	3	11/02/2011	00			
Sarama Resources Ltd.	Warrants	Sun Valley Gold LLC	3	11/02/2011	15		2,250,000	2,250,000
Sarama Resources Ltd.	Common Shares	Sun Valley Gold Master Fund, Ltd.	3	11/02/2011	00		1,500,000	
Sarama Resources Ltd.	Common Shares	Sun Valley Gold Master Fund, Ltd.	3	11/02/2011	15	0.9	6,000,000	4,500,000
Sarama Resources Ltd.	Warrants	Sun Valley Gold Master Fund, Ltd.	3	11/02/2011	00			
Sarama Resources Ltd.	Warrants	Sun Valley Gold Master Fund, Ltd.	3	11/02/2011	15		2,250,000	2,250,000
Score Media Inc.	Subordinate Voting Shares Class A	BURGUNDY ASSET MANAGEMENT LTD.	3	08/27/2012	10	1.71	174,400	-52,900
Score Media Inc.	Subordinate Voting Shares Class A	BURGUNDY ASSET MANAGEMENT LTD.	3	08/27/2012	10	1.71	6,463,500	-1,435,000
Score Media Inc.	Subordinate Voting Shares Class A	BURGUNDY ASSET MANAGEMENT LTD.	3	08/27/2012	10	1.71	2,382,300	-512,100
Score Media Inc.	Subordinate Voting Shares Class A	Kilmer Enterprises Inc.	3	08/28/2012	10	1.72	9,906,919	-1,174,600
Score Media Inc.	Subordinate Voting Shares Class A	Kilmer Enterprises Inc.	3	08/29/2012	10	1.7	9,906,900	-19
Score Media Inc.	Subordinate Voting Shares Class A	Kilmer Enterprises Inc.	3	08/29/2012	10	1.71	152,900	-9,754,000
Score Media Inc.	Subordinate Voting Shares Class A	Kilmer Enterprises Inc.	3	08/29/2012	10	1.712	152,400	-500
Score Media Inc.	Subordinate Voting Shares Class A	Kilmer Enterprises Inc.	3	08/29/2012	10	1.715	151,500	-900
Score Media Inc.	Subordinate Voting Shares Class A	Kilmer Enterprises Inc.	3	08/29/2012	10	1.72	4,400	-147,100
Score Media Inc.	Subordinate Voting Shares Class A	Kilmer Enterprises Inc.	3	08/29/2012	10	1.73	0	-4,400
Sea Dragon Energy Inc.	Common Shares	Fitzgerald, Ken	4	06/28/2011	00			
Sea Dragon Energy Inc.	Common Shares	Fitzgerald, Ken	4	08/29/2012	10	0.045	550,000	550,000
Search Minerals Inc.	Common Shares	Saunders, Raymond James	4	08/27/2012	16	0.2	1,600,000	400,000
Search Minerals Inc.	Common Shares	Saunders, Raymond James	4	08/28/2012	10	0.12	1,600,500	500
Search Minerals Inc.	Common Shares	Saunders, Raymond James	4	08/28/2012	10	0.13	1,650,000	49,500
Search Minerals Inc.	Common Shares	Saunders, Raymond James	4	08/29/2012	16	0.2		400,000

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Search Minerals Inc.	Common Shares	Saunders, Raymond James	4	08/29/2012	10	0.13	1,700,000	50,000
Search Minerals Inc.	Warrants	Saunders, Raymond James	4	08/27/2012	16	0.2	400,000	400,000
Secure Energy Services Inc.	Common Shares	Myrheim, Karen Margaret	5	08/29/2012	10	9	616,000	-25,000
Secure Energy Services Inc.	Common Shares	Myrheim, Karen Margaret	5	08/30/2012	10	9	596,000	-20,000
Shoreline Energy Corp.	Common Shares	Grisdale, Daniel Christopher	5	08/30/2012	10	3.35	42,180	1,000
Sienna Gold Inc.	Common Shares	Rucci, John Maria	4	08/28/2012	10	0.33	1,080,197	-10,000
Silver Standard Resources Inc.	Options	Hohol, Keenan Harry	5	08/27/2012	00			
Silver Standard Resources Inc.	Options	Hohol, Keenan Harry	5	08/27/2012	50	14.17	100,000	100,000
Sirona Biochem Corp.	Common Shares	Verrico, Howard Jarman	4, 5	08/31/2012	10		3,100,000	100,000
Slam Exploration Ltd.	Common Shares	Hosford, Brenda Marie	5	08/17/2012	37		2,000	-18,000
SNC-Lavalin Group Inc.	Options	ASHRAF, Feroz	5	05/10/2012	50	37.04		33,000
SNC-Lavalin Group Inc.	Options	ASHRAF, Feroz	5	05/10/2012	50	37.04	107,400	33,000
SNC-Lavalin Group Inc.	Options	BEAUDOIN, Jean	5	05/10/2012	50	37.04		30,100
SNC-Lavalin Group Inc.	Options	BEAUDOIN, Jean	5	05/10/2012	50	37.04	98,100	30,100
SNC-Lavalin Group Inc.	Options	BURKE, James	5	05/10/2012	50	37.04		25,800
SNC-Lavalin Group Inc.	Options	BURKE, James	5	05/10/2012	50	37.04	128,350	25,800
SNC-Lavalin Group Inc.	Options	CARON, Darleen	5	05/10/2012	50	37.04		34,400
SNC-Lavalin Group Inc.	Options	CARON, Darleen	5	05/10/2012	50	37.04	58,400	34,400
SNC-Lavalin Group Inc.	Options	CHEBL, Charles	5	05/10/2012	50	37.04		23,300
SNC-Lavalin Group Inc.	Options	CHEBL, Charles	5	05/10/2012	50	37.04	51,800	23,300
SNC-Lavalin Group Inc.	Options	DI LILLO, Pasquale	5	05/10/2012	56	37.04		7,800
SNC-Lavalin Group Inc.	Options	DI LILLO, Pasquale	5	05/10/2012	50	37.04		7,800
SNC-Lavalin Group Inc.	Options	DI LILLO, Pasquale	5	05/10/2012	50	37.04	43,800	7,800
SNC-Lavalin Group Inc.	Options	IOFFREDI, Michael	5	05/10/2012	56	37.06		5,100
SNC-Lavalin Group Inc.	Options	IOFFREDI, Michael	5	05/10/2012	50	37.06		5,100
SNC-Lavalin Group Inc.	Options	IOFFREDI, Michael	5	05/10/2012	50	37.04	28,975	5,100
SNC-Lavalin Group Inc.	Options	JACQUI, Christian	5	05/10/2012	50	37.04		26,000
SNC-Lavalin Group Inc.	Options	JACQUI, Christian	5	05/10/2012	50	37.04	26,000	26,000
SNC-Lavalin Group Inc.	Options	Lamarre, Patrick	5	05/10/2012	50	37.04		34,700
SNC-Lavalin Group Inc.	Options	Lamarre, Patrick	5	05/10/2012	50	37.04	147,050	34,700
SNC-Lavalin Group Inc.	Options	LARAM╔E, Gilles	5	05/10/2012	50	37.04		35,200
SNC-Lavalin Group Inc.	Options	LARAM╔E, Gilles	5	05/10/2012	50	37.04	157,000	35,200
SNC-Lavalin Group Inc.	Options	LAVERDI╦RE, Yves	5	05/10/2012	56	37.04		2,400
SNC-Lavalin Group Inc.	Options	LAVERDI╦RE, Yves	5	05/10/2012	50	37.04	10,400	2,400
SNC-Lavalin Group Inc.	Options	MACKINTOSH, Andrew (Andy)	5	05/03/2012	50	37.04		36,000
SNC-Lavalin Group Inc.	Options	MACKINTOSH, Andrew (Andy)	5	05/10/2012	50	37.04		36,000
SNC-Lavalin Group Inc.	Options	MACKINTOSH, Andrew (Andy)	5	05/10/2012	50	37.04	36,000	36,000
SNC-Lavalin Group Inc.	Options	NOVAK, Michael C.	5	05/10/2012	50	37.04		27,300
SNC-Lavalin Group Inc.	Options	NOVAK, Michael C.	5	05/10/2012	50	37.04	130,600	27,300
SNC-Lavalin Group Inc.	Options	RATE, Charles	5	05/10/2012	50	37.04		30,000
SNC-Lavalin Group Inc.	Options	RATE, Charles	5	05/10/2012	50	37.04	125,500	30,000
Sniper Resources Ltd.	Common Shares	Baxter, Scott David	3, 4, 5	08/29/2012	10	0.135	4,881,380	2,500
Solium Capital Inc.	Options	Kezama, Elsie Jeannie	5	08/20/2012	50	1.88	60,000	20,000
Sonde Resources Corp.	Rights Stock Unit Award	Dirks, William	5	08/31/2012	59		192,000	-96,000
Sonde Resources Corp.	Rights Stock Unit Award	Schanck, Jack	5	08/31/2012	59		300,000	-150,000
Source Exploration Corp.	Options	Downie, Ewan Stewart	4	08/29/2012	50	0.2	635,000	40,000
Source Exploration Corp.	Options	Drake, Shaun Anthony	5	08/29/2012	50	0.2	110,000	20,000
Source Exploration Corp.	Options	Drost, Abraham Peter	4	08/29/2012	50	0.2	410,000	40,000
Source Exploration Corp.	Options	Dyll, Nathan Lance	5	07/06/2012	00			
Source Exploration Corp.	Options	Dyll, Nathan Lance	5	08/29/2012	50	0.2	145,000	145,000
Source Exploration Corp.	Options	Glenn, Gorden	4	08/29/2012	00			
Source Exploration Corp.	Options	Glenn, Gorden	4	08/29/2012	50	0.2	250,000	250,000
Source Exploration Corp.	Options	Huet, Paul AndrÚ	4	08/29/2012	50	0.2	140,000	40,000
Source Exploration Corp.	Options	Robertson, Brian Ernest	4, 5	08/29/2012	50	0.2	1,170,000	100,000
South American Silver Corp.	Common Shares	Brodie-Hall, Phillip	5	08/24/2012	36		284,400	12,000
South American Silver Corp.	Rights Performance Share Units	Brodie-Hall, Phillip	5	08/24/2012	36		0	-12,000
South American Silver Corp.	Common Shares	Doran, Richard	5	08/24/2012	36		959,625	9,450
South American Silver Corp.	Rights Performance Share Units	Doran, Richard	5	08/24/2012	36		0	-9,450
South American Silver Corp.	Common Shares	Fitch, Ralph Gordon	4, 5	08/24/2012	36		3,207,066	14,500
South American Silver Corp.	Rights Performance Share Units	Fitch, Ralph Gordon	4, 5	08/24/2012	36		0	-14,500
South American Silver Corp.	Common Shares	MALBRAN, Felipe Bernardo	5	08/24/2012	36		1,652,219	24,000
South American Silver Corp.	Rights Performance Share Units	MALBRAN, Felipe Bernardo	5	08/24/2012	36		0	-24,000

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South American Silver Corp.	Common Shares	Mallory, William James	5	08/24/2012	36		40,000	18,000
South American Silver Corp.	Rights Performance Share Units	Mallory, William James	5	08/24/2012	36		0	-18,000
Sparrow Ventures Corp.	Common Shares	levy, marc evan	4	08/28/2012	10	0.08	1,355,000	5,000
Sparton Resources Inc.	Common Shares	Williams, Richard David	4	08/28/2012	10	0.04	1,123,500	50,000
Spot Coffee (Canada) Ltd.	Common Shares	AYOUB, ANTON MICHEL	4, 5	08/24/2012	10	0.16	3,342,931	1,000
Spot Coffee (Canada) Ltd.	Common Shares	AYOUB, ANTON MICHEL	4, 5	08/28/2012	10	0.15	3,288,431	-54,500
Spot Coffee (Canada) Ltd.	Common Shares	AYOUB, ANTON MICHEL	4, 5	08/30/2012	10	0.15	3,263,431	-25,000
Spot Coffee (Canada) Ltd.	Common Shares	Lorenzo, John Michael	4	08/29/2012	10	0.16	5,934,963	26,000
Spot Coffee (Canada) Ltd.	Common Shares	Lorenzo, John Michael	4	08/30/2012	10	0.16	5,954,963	20,000
Sprott Resource Lending Corp.	Common Shares	Sinclair, Alistair Murray	4, 5	08/10/2010	99	1.61	0	-3,000,000
Spruce Ridge Resources Ltd.	Common Shares	Cameron, Hugh	4	01/08/2009	00			
Spruce Ridge Resources Ltd.	Common Shares	Cameron, Hugh	4	01/08/2009	00			
Spruce Ridge Resources Ltd.	Common Shares	Cameron, Hugh	4	01/08/2009	00			
Spruce Ridge Resources Ltd.	Common Shares	Cameron, Hugh	4	01/08/2009	00			
Spruce Ridge Resources Ltd.	Common Shares	Cameron, Hugh	4	01/08/2009	11	0.1		500,000
Spruce Ridge Resources Ltd.	Common Shares	Cameron, Hugh	4	01/08/2009	11	0.1	691,000	500,000
Spruce Ridge Resources Ltd.	Common Shares	Cameron, Hugh	4	01/08/2009	00		191,000	
Spruce Ridge Resources Ltd.	Warrants	Cameron, Hugh	4	01/08/2009	11	0.2		500,000
Spruce Ridge Resources Ltd.	Warrants	Cameron, Hugh	4	01/08/2009	11	0.2	500,000	500,000
Spruce Ridge Resources Ltd.	Warrants	Cameron, Hugh	4	01/08/2009	00			
Stella-Jones Inc.	Common Shares	Poirier, Martin	5	08/22/2012	10	57.61	214	-200
Stellar Pharmaceuticals Inc.	Common Shares	Goldman, Steven Harold	4	08/27/2012	10	0.38	793,206	40,000
Stellar Pharmaceuticals Inc.	Common Shares	Goldman, Steven Harold	4	08/31/2012	10	0.46	808,206	15,000
Stellar Pharmaceuticals Inc.	Common Shares	Goldman, Steven Harold	4	08/31/2012	10	0.489	813,206	5,000
Stellar Pharmaceuticals Inc.	Common Shares	Goldman, Steven Harold	4	08/31/2012	10	0.49	828,206	15,000
Stellar Pharmaceuticals Inc.	Common Shares	Gregory, John Maness	4, 6	02/26/2007	00			
Stellar Pharmaceuticals Inc.	Common Shares	Gregory, John Maness	4, 6	02/26/2007	00			
Stellar Pharmaceuticals Inc.	Common Shares	Gregory, John Maness	4, 6	02/26/2007	00			
Stellar Pharmaceuticals Inc.	Common Shares	Gregory, John Maness	4, 6	08/27/2012	10	0.4935	400,000	400,000
Stellar Pharmaceuticals Inc.	Common Shares	Tenney, Arnold	4	08/27/2012	10	0.38	692,700	20,000
Sterling Resources Ltd.	Common Shares	Ingalls & Snyder, LLC	3	08/27/2012	10	1.3104	27,693,843	-1,000
Strad Energy Services Ltd.	Options Stock Options	Giovanetto, Barry Richard	4	08/24/2012	52	5.75	56,500	-15,000
Strad Energy Services Ltd.	Common Shares	Grandfield, Robert James	4, 5	08/24/2012	10	4.4782	227,470	-50,000
Strad Energy Services Ltd.	Options Stock Options	Grandfield, Robert James	4, 5	08/24/2012	52	5.75	246,500	-90,000
Strad Energy Services Ltd.	Options Stock Options	Hagg, John Andrew	4	08/24/2012	52	5.75	62,500	-20,000
Strad Energy Services Ltd.	Options Stock Options	Nodwell, John Howard	4	08/24/2012	52	5.75	56,500	-15,000
Strad Energy Services Ltd.	Options Stock Options	Oancia, Doran Neal	5	07/01/2012	52	5	261,500	-30,000
Strad Energy Services Ltd.	Options Stock Options	Pernal, Andrew Robert	4, 5	07/01/2012	52	5	331,500	-30,000
Strad Energy Services Ltd.	Options Stock Options	Pernal, Andrew Robert	4, 5	08/24/2012	52	5.75	301,500	-30,000
Strad Energy Services Ltd.	Options Stock Options	RFG GP No 1 Limited	3	08/24/2012	52	5.75	27,783	-1,616
Strad Energy Services Ltd.	Options Stock Options	RFG GP No 1 Limited	3	08/24/2012	52	5.75	28,717	-13,384
Strad Energy Services Ltd.	Options	van der Sloot, Henry Gerard	4, 5	08/24/2012	52	5.75	246,500	-90,000
Strateco Resources Inc.	Common Shares	Hebert, Guy	4, 5	08/30/2012	10	0.225	5,645,114	15,000
Strateco Resources Inc.	Common Shares	Hebert, Guy	4, 5	08/31/2012	10	0.235	5,647,114	2,000
Stream Oil & Gas Ltd.	Common Shares	Tognetti, John	3	08/24/2012	10	0.71	9,562,100	2,500
Stream Oil & Gas Ltd.	Common Shares	Tognetti, John	3	08/24/2012	10	0.72	9,567,100	5,000
Stream Oil & Gas Ltd.	Common Shares	Tognetti, John	3	08/24/2012	10	0.73	9,569,100	2,000
Stream Oil & Gas Ltd.	Common Shares	Tognetti, John	3	08/27/2012	10	0.7153	9,590,600	21,500
Stream Oil & Gas Ltd.	Common Shares	Tognetti, John	3	08/28/2012	10	0.7	9,620,600	30,000
Strike Minerals Inc.	Common Shares	Harrington, Michael S	4	08/28/2012	10	0.073	917,692	-30,000
Strongco Corporation	Common Shares	Beutel, Robert Jonathan	4, 6	08/30/2012	10	4.76	2,909,109	600
Student Transportation Inc. (formerly, Student Transportation of America Ltd.)	Common Shares	SNCF Participations, S.A.	3	08/15/2012	30	6.13	11,777,456	88,431
Superior Plus Corp.	Convertible Debentures 6.0%, with a maturity date of June 30, 2018	Billing, Grant Donald	4	08/24/2012	10	96.436	$1,332,000	$82,000
Superior Plus Corp.	Convertible Debentures 6.0%, with a maturity date of June 30, 2018	Billing, Grant Donald	4	08/29/2012	10	96.05	$1,462,000	$130,000
Supremex Inc.	Common Shares	Supremex Inc	1	08/24/2012	38	1.62	10,400	10,400
Supremex Inc.	Common Shares	Supremex Inc	1	08/29/2012	38		0	-10,400
Surge Energy Inc.	Common Shares	Colborne, Paul	4	05/19/2011	90	9.04		-60,674
Surge Energy Inc.	Common Shares	Colborne, Paul	4	05/19/2011	90	9.04	573,720	-50,674
Surge Energy Inc.	Common Shares	Colborne, Paul	4	06/20/2012	10	7.425	42,652	5,000

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Surge Energy Inc.	Common Shares	Colborne, Paul	4	08/10/2012	10	7.73	101,152	3,500
Surge Energy Inc.	Common Shares	Colborne, Paul	4	08/29/2012	90	9.73	82,500	52,848
Surge Energy Inc.	Common Shares	Colborne, Paul	4	08/29/2012	90	9.73	73,000	-52,848
Surge Energy Inc.	Common Shares	Colborne, Paul	4	06/20/2012	10	7.425		5,000
Surge Energy Inc.	Common Shares	Colborne, Paul	4	08/10/2012	10	7.73		3,500
Synex International Inc.	Common Shares	Stephens, Alan William	4, 5	08/29/2012	10	0.51	460,055	-10,000
Synex International Inc.	Common Shares	sunell, gregory jack	3, 4, 7, 1	08/27/2012	10	0.52	3,730,089	15,000
Synodon Inc.	Common Shares Class "A"	Lack, Ervin Roy Walter	4	08/27/2012	16	0.1	431,000	100,000
Synodon Inc.	Warrants Share Purchase	Lack, Ervin Roy Walter	4	08/27/2012	16		246,750	100,000
Synodon Inc.	Common Shares Class "A"	Rodrigo, Nimal Rohith	5	08/27/2012	16	0.1	550,000	200,000
Synodon Inc.	Warrants Share Purchase	Rodrigo, Nimal Rohith	5	03/03/2008	00		200,000	
Synodon Inc.	Common Shares Class "A"	Tolton, Boyd	5	08/27/2012	16	0.1	1,100,000	100,000
Synodon Inc.	Warrants Share Purchase	Tolton, Boyd	5	09/29/2006	00		100,000	
Synodon Inc.	Common Shares Class "A"	van Eeden, Paul Pieter	3, 4	08/27/2012	16	0.1	13,466,262	5,000,000
Synodon Inc.	Warrants Share Purchase	van Eeden, Paul Pieter	3, 4	08/27/2012	16	0.15	12,630,048	5,000,000
TAG Oil Ltd	Common Shares	TAG Oil Ltd.	1	08/23/2012	00			
TAG Oil Ltd	Common Shares	TAG Oil Ltd.	1	08/23/2012	38	6	10,000	10,000
TAG Oil Ltd	Common Shares	TAG Oil Ltd.	1	08/23/2012	38	5.95	20,000	10,000
TAG Oil Ltd	Common Shares	TAG Oil Ltd.	1	08/23/2012	38	5.9	32,000	12,000
TAG Oil Ltd	Common Shares	TAG Oil Ltd.	1	08/24/2012	38	5.8	38,500	6,500
TAG Oil Ltd	Common Shares	TAG Oil Ltd.	1	08/27/2012	38	5.8	48,500	10,000
TAG Oil Ltd	Common Shares	TAG Oil Ltd.	1	08/31/2012	38		0	-48,500
Taiga Building Products Ltd.	Common Shares	Flagel, Brian	4	11/17/2010	00			
Taiga Building Products Ltd.	Common Shares	Flagel, Brian	4	08/22/2012	10		10,000	10,000
Taiga Building Products Ltd.	Notes 14% unsecured subordinated note	Stefan, Tom	5	08/29/2012	10		$530,186	$35,000
Talison Lithium Limited	Ordinary Shares	The Goldman Sachs Group, Inc.	3	08/28/2012	10	6.4733	11,004,781	-1,500,000
Talison Lithium Limited	Ordinary Shares	The Goldman Sachs Group, Inc.	3	08/29/2012	10	6.465	9,004,781	-2,000,000
Tamarack Valley Energy Ltd.	Options	Cruikshank, Ken	5	08/20/2012	50	1.98	233,334	100,000
Tamarack Valley Energy Ltd.	Options	Gundesen, Niels	5	08/20/2012	50	1.98	312,750	94,000
Tamarack Valley Energy Ltd.	Options	Hozjan, Ronald Steve	5	08/20/2012	50	1.98	425,834	155,000
Tamarack Valley Energy Ltd.	Options	Schmidt, Brian Leslie	5	08/20/2012	50	1.98		232,000
Tamarack Valley Energy Ltd.	Options	Schmidt, Brian Leslie	5	08/20/2012	50	1.98	634,666	232,000
Tamarack Valley Energy Ltd.	Options	Screen, Kevin	5	08/20/2012	50	1.98	342,500	155,000
Tamarack Valley Energy Ltd.	Options	Steeves, Sheldon Brooks	4	04/17/2012	00			
Tamarack Valley Energy Ltd.	Options	Steeves, Sheldon Brooks	4	08/20/2012	50	1.98	65,416	65,416
Tarsis Resources Ltd.	Common Shares	Brown, Mark Thomas	5	08/24/2012	10	0.13	170,000	3,000
Tarsis Resources Ltd.	Common Shares	Brown, Mark Thomas	5	08/27/2012	10	0.15	182,000	12,000
Taseko Mines Limited	Common Shares	Taseko Mines Limited	1	08/15/2012	10	101900		101,900
Taseko Mines Limited	Common Shares	Taseko Mines Limited	1	08/15/2012	10	2.548	6,272,380	101,900
Taseko Mines Limited	Common Shares	Taseko Mines Limited	1	08/28/2012	10	2.9	6,399,780	34,000
Taseko Mines Limited	Common Shares	Taseko Mines Limited	1	08/29/2012	10	2.9	6,435,580	35,800
Taseko Mines Limited	Common Shares	Taseko Mines Limited	1	08/30/2012	10	2.918	6,521,780	86,200
Tembo Gold Corp. (formerly Lakota Resources Inc.)	Common Shares	MacNeill, Tom	3	05/02/2012	10	0.93		3,000
Tembo Gold Corp. (formerly Lakota Resources Inc.)	Common Shares	MacNeill, Tom	3	05/02/2012	10	0.93		3,000
Tembo Gold Corp. (formerly Lakota Resources Inc.)	Common Shares	MacNeill, Tom	3	05/02/2012	10	0.94		5,000
Tembo Gold Corp. (formerly Lakota Resources Inc.)	Common Shares	MacNeill, Tom	3	05/02/2012	10	0.94		5,000
Temple Real Estate Investment Trust	Deferred Units	Marlin, Rodney Frank	4	08/29/2012	56		40,979	688
Temple Real Estate Investment Trust	Convertible Debentures Series S	Thorsteinson, Arni Clayton	4	08/27/2012	10	174	$168,000	$1,000

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Temple Real Estate Investment Trust	Convertible Debentures Series S	Thorsteinson, Arni Clayton	4	08/28/2012	10	174	$267,000	$99,000
Teranga Gold Corporation	Common Shares	Young, Richard Scott	4, 5	08/22/2012	10	1.91		39,244
Teranga Gold Corporation	Common Shares	Young, Richard Scott	4, 5	08/22/2012	10	1.91	372,330	20,000
Teranga Gold Corporation	Common Shares	Young, Richard Scott	4, 5	08/23/2012	10	2.02		204,006
Teranga Gold Corporation	Common Shares	Young, Richard Scott	4, 5	08/23/2012	10	2.02	472,330	100,000
Teranga Gold Corporation	Common Shares	Young, Richard Scott	4, 5	08/24/2012	10	2.05	484,030	11,700
Terrace Energy Corp.	Common Shares	Carriere, Daniel Anthony	4	08/23/2012	10	1.26	10,883,676	11,100
Terrace Energy Corp.	Common Shares	Carriere, Daniel Anthony	4	08/27/2012	10	1.26	10,887,076	3,400
TerraVest Income Fund	Trust Units	Armoyan, George	3, 4	08/28/2012	38	2.75	0	-44,000
TerraVest Income Fund	Trust Units	Armoyan, George	3, 4	08/28/2012	38	2.75	2,000,000	-591,900
TerraVest Income Fund	Trust Units	Casey, Paul	5	08/28/2012	38	2.75	0	-6,300
TerraVest Income Fund	Trust Units	Casey, Paul	5	08/28/2012	38	2.75	0	-5,150
TerraVest Income Fund	Trust Units	TerraVest Income Fund	1	08/23/2012	38	2.75	7,191,063	7,191,063
TerraVest Income Fund	Trust Units	TerraVest Income Fund	1	08/23/2012	38		0	-7,191,063
TerraVest Income Fund	Trust Units	Vinet, Darryl Albert	8, 4	08/23/2012	38	2.75	0	-22,400
TerraVest Income Fund	Trust Units	Vinet, Darryl Albert	8, 4	08/23/2012	38	2.75	0	-2,700
TerraVest Income Fund	Trust Units	Vinet, Darryl Albert	8, 4	08/23/2012	38	2.75	0	-2,700
Tesla Exploration Ltd. (formerly Norex Exploration Services Inc.)	Common Shares	Tesla Exploration Ltd.	1	08/30/2012	38	2.65	1,000	1,000
The Brick Ltd.	Common Shares	Barbaro, Rinaldo D	4, 7	08/29/2012	54	1	128,985	50,000
The Brick Ltd.	Warrants	Barbaro, Rinaldo D	4, 7	06/29/2011	54			-50,000
The Brick Ltd.	Warrants	Barbaro, Rinaldo D	4, 7	06/29/2011	54		0	-50,000
The Brick Ltd.	Warrants	Barbaro, Rinaldo D	4, 7	06/29/2011	54			-20,000
The Brick Ltd.	Warrants	Barbaro, Rinaldo D	4, 7	06/29/2011	54		0	-20,000
The Brick Ltd.	Warrants	Barbaro, Rinaldo D	4, 7	06/29/2011	54			-188,000
The Brick Ltd.	Warrants	Barbaro, Rinaldo D	4, 7	06/29/2011	54		0	-188,000
The Brick Ltd.	Warrants	Barbaro, Rinaldo D	4, 7	08/29/2012	54			-50,000
The Brick Ltd.	Warrants	Barbaro, Rinaldo D	4, 7	08/29/2012	54		-50,000	-50,000
The Brick Ltd.	Common Shares	Geisbauer, John-Paul	4	08/23/2012	10	3.8815	7,000	5,000
The Brick Ltd.	Common Shares	Hodgson, Christopher Douglas	4	08/24/2012	54	1	12,200	8,200
The Brick Ltd.	Common Shares	Hodgson, Christopher Douglas	4	08/24/2012	54	1	7,200	5,200
The Brick Ltd.	Warrants	Hodgson, Christopher Douglas	4	08/24/2012	54		0	-8,200
The Brick Ltd.	Warrants	Hodgson, Christopher Douglas	4	12/30/2010	00		5,200	
The Brick Ltd.	Common Shares	Ieraci, Domenic	4, 7	08/22/2012	10	3.96	300	-3,700
The Brick Ltd.	Common Shares	Ieraci, Domenic	4, 7	08/24/2012	10	3.85	0	-300
The Brick Ltd.	Common Shares	Ieraci, Domenic	4, 7	08/21/2012	10	3.97	0	-4,000
The Brick Ltd.	Common Shares	Ieraci, Domenic	4, 7	12/30/2010	00			
The Brick Ltd.	Common Shares	Ieraci, Domenic	4, 7	08/21/2012	10	3.96	5,000	5,000
The Brick Ltd.	Common Shares	Ieraci, Domenic	4, 7	08/21/2012	10	3.97	8,300	3,300
The Brick Ltd.	Common Shares	Ieraci, Domenic	4, 7	08/21/2012	10	3.98	9,000	700
THE CALDWELL PARTNERS INTERNATIONAL INC.	Common Shares	JC CLARK LTD.	3	08/30/2012	10	0.7	2,287,600	-25,000
The Cash Store Financial Services Inc.	Common Shares	Dunn, William Carmichael	4	08/24/2012	51	4.2	675,000	25,000
The Cash Store Financial Services Inc.	Options	Dunn, William Carmichael	4	08/24/2012	51	4.2	175,000	-25,000
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	08/24/2012	51	16.27	21,500	300
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	08/24/2012	10	20.8	21,200	-300
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	08/28/2012	10	20.7	17,400	-3,800
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	08/28/2012	10	20.71	17,200	-200
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	08/28/2012	10	20.72	16,900	-300
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	08/28/2012	10	20.73	16,800	-100
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	08/28/2012	10	20.74	16,500	-300
Toromont Industries Ltd.	Common Shares	Wetherald, David	5	08/28/2012	51	16.27	21,200	4,700
Toromont Industries Ltd.	Options	Wetherald, David	5	08/24/2012	51	16.27	82,700	-300
Toromont Industries Ltd.	Options	Wetherald, David	5	08/28/2012	51	16.27	78,000	-4,700
Total Energy Services Inc.	Common Shares	Kwasnicia, Randy	4	08/27/2012	10	13.76	82,000	2,600
Total Energy Services Inc.	Common Shares	Total Energy Services Inc	1	08/23/2012	10	14.2295	42,300	3,700
Total Energy Services Inc.	Common Shares	Total Energy Services Inc	1	08/24/2012	10	14.2427	57,300	15,000
Total Energy Services Inc.	Common Shares	Total Energy Services Inc	1	08/27/2012	10	13.83	61,900	4,600
Total Energy Services Inc.	Common Shares	Total Energy Services Inc	1	08/28/2012	10	13.9385	72,100	10,200
Total Energy Services Inc.	Common Shares	Total Energy Services Inc	1	08/29/2012	10	14.0543	77,500	5,400
Total Energy Services Inc.	Common Shares	Total Energy Services Inc	1	08/30/2012	10	13.9164	91,200	13,700
Total Energy Services Inc.	Common Shares	Total Energy Services Inc	1	08/31/2012	38		19,100	-72,100
Total Energy Services Inc.	Common Shares	Total Energy Services Inc	1	08/31/2012	10	13.8393	34,100	15,000
Total Telcom Inc.	Common Shares	Allen, Scott Edward	4	08/27/2012	10	0.05	228,000	5,000
Total Telcom Inc.	Common Shares	Allen, Scott Edward	4	08/29/2012	10	0.05	232,000	4,000
Total Telcom Inc.	Common Shares	Allen, Scott Edward	4	08/30/2012	10	0.05	236,000	4,000
TransCanada Corporation	Common Shares	Schock, Steven	5	08/28/2012	51	35.23	9,500	4,000
TransCanada Corporation	Options Granted Feb. 27, 2006 @ $35.23 CDN Expiry Feb. 27, 2013	Schock, Steven	5	08/28/2012	51		0	-4,000

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Treasury Metals Inc.	Common Shares	Walter, Martin	4, 5	08/28/2012	10	0.8	1,435,500	48,000
Treasury Metals Inc.	Common Shares	Walter, Martin	4, 5	08/28/2012	10	0.81	1,472,500	37,000
Tree Island Wire Income Fund	Trust Units	Davies, Nancy Adriana	5	08/28/2012	00			
Tree Island Wire Income Fund	Trust Units	Davies, Nancy Adriana	5	08/28/2012	10	0.54	5,000	5,000
Tree Island Wire Income Fund	Trust Units	Doman, Amardeip Singh	3, 4	08/23/2012	10	0.49	4,505,400	10,000
Tree Island Wire Income Fund	Trust Units	Doman, Amardeip Singh	3, 4	08/24/2012	10	0.49	4,506,400	1,000
TriAusMin Limited	Options	Valliant, Robert Irwin	4	08/10/2012	52	1.54	2,000,000	-200,000
Tricon Capital Group Inc.	Common Shares	Berman, David	3, 4, 6, 5	08/28/2012	10	5.45	3,761,263	200
Tricon Capital Group Inc.	Common Shares	Berman, David	3, 4, 6, 5	08/28/2012	10	5.5	3,861,063	99,800
Tricon Capital Group Inc.	Common Shares	Berman, David	3, 4, 6, 5	08/30/2012	10	5.31	3,861,263	200
Tricon Capital Group Inc.	Common Shares	Berman, David	3, 4, 6, 5	08/30/2012	10	5.32	3,862,063	800
Tricon Capital Group Inc.	Common Shares	Berman, David	3, 4, 6, 5	08/30/2012	10	5.33	3,862,763	700
Tricon Capital Group Inc.	Common Shares	Berman, David	3, 4, 6, 5	08/30/2012	10	5.34	3,863,363	600
Tricon Capital Group Inc.	Common Shares	Berman, David	3, 4, 6, 5	08/30/2012	10	5.35	3,867,863	4,500
Tricon Capital Group Inc.	Common Shares	Berman, David	3, 4, 6, 5	08/31/2012	10	5.2	3,868,463	600
Tricon Capital Group Inc.	Common Shares	Berman, David	3, 4, 6, 5	08/31/2012	10	5.21	3,868,663	200
Tricon Capital Group Inc.	Common Shares	Berman, David	3, 4, 6, 5	08/31/2012	10	5.24	3,868,963	300
Tricon Capital Group Inc.	Common Shares	Berman, David	3, 4, 6, 5	08/31/2012	10	5.25	3,879,563	10,600
Tricon Capital Group Inc.	Common Shares	Berman, David	3, 4, 6, 5	08/31/2012	10	5.26	3,879,663	100
Tricon Capital Group Inc.	Common Shares	Berman, David	3, 4, 6, 5	08/31/2012	10	5.34	3,880,363	700
Tricon Capital Group Inc.	Common Shares	Berman, David	3, 4, 6, 5	08/31/2012	10	5.35	3,887,463	7,100
Tricon Capital Group Inc.	Convertible Debentures 6.375% Convertible Unsecured Subordinated	Scott, Eric Duff	4	05/20/2010	00			
Tricon Capital Group Inc.	Convertible Debentures 6.375% Convertible Unsecured Subordinated	Scott, Eric Duff	4	08/29/2012	10	103.75	$100,000	$100,000
Trillium North Minerals Ltd.	Common Shares	Pillersdorf, Solomon (Sam) Joseph	4	08/15/2012	00		93,500	
Trillium North Minerals Ltd.	Common Shares	Pillersdorf, Solomon (Sam) Joseph	4	08/15/2012	00		80,000	
Trillium North Minerals Ltd.	Common Shares	Pillersdorf, Solomon (Sam) Joseph	4	08/15/2012	00		698,000	
Trillium North Minerals Ltd.	Common Shares	Pillersdorf, Solomon (Sam) Joseph	4	08/15/2012	00		70,000	
Trillium North Minerals Ltd.	Common Shares	Pillersdorf, Solomon (Sam) Joseph	4	08/15/2012	00		1,007,066	
Trillium North Minerals Ltd.	Options	Pillersdorf, Solomon (Sam) Joseph	4	08/15/2012	00		100,000	
Trillium North Minerals Ltd.	Warrants	Pillersdorf, Solomon (Sam) Joseph	4	08/15/2012	00		250,000	
Trilogy Energy Corp.	Common Shares	Riddell, Clayton H.	3, 4, 6	08/28/2012	11	22.41	9,067,981	253,276
Trilogy Energy Corp.	Common Shares	Riddell, Clayton H.	3, 4, 6	08/28/2012	11	22.41	1	-253,276
Trimac Transportation Ltd.	Class B Convertible Voting Shares	McCaig, Jeffrey James	4	08/24/2012	36		43,479	-202,970
Trimac Transportation Ltd.	Common Shares Class A	McCaig, Jeffrey James	4	08/24/2012	36		3,659,926	202,970
TriOil Resources Ltd.	Options	Gretzky, Glen	4	08/28/2012	50	2.29	100,000	20,000
TriOil Resources Ltd.	Options	Haavardsrud, Craig Donald	5	08/28/2012	50	2.29	520,000	100,000
TriOil Resources Ltd.	Options	Herdman, Robert	4	08/28/2012	50	2.29	60,000	20,000
TriOil Resources Ltd.	Options	Hockley, Glenn Douglas	4	08/28/2012	50	2.29	100,000	20,000
TriOil Resources Ltd.	Options	Lowe, Cheryne	5	08/28/2012	50	2.29	520,000	100,000
TriOil Resources Ltd.	Options	Mah, Andy	4	08/28/2012	50	2.29	100,000	20,000
TriOil Resources Ltd.	Options	Mychaluk, Keith Allen	5	08/28/2012	50	2.29	467,000	100,000
TriOil Resources Ltd.	Options	Tripp, Russell J.	4, 5	08/28/2012	50	2.29	755,000	140,000
TriOil Resources Ltd.	Options	Wiacek, Andrew Zbigniew	5	08/28/2012	50	2.29	640,000	120,000
TriOil Resources Ltd.	Options	Wyzykoski, Shaun Larry	5	08/28/2012	50	2.29	520,000	100,000
TriOil Resources Ltd.	Options	Zimmerman, Korby D.	4	08/28/2012	50	2.29	100,000	20,000
Triox Limited	Common Shares	Koether, Philipp	6	06/07/2012	00		2,400,000	
Triox Limited	Common Shares	Varanese Lee, Nataliya	6	06/07/2012	00			
Triox Limited	Common Shares	Varanese Lee, Nataliya	6	06/07/2012	00			
TriStar Gold Inc.	Common Shares	Garrett, Diane Renee	4	07/30/2012	16	0.25	250,000	100,000
TriStar Gold Inc.	Options	Garrett, Diane Renee	4	08/22/2012	50	0.45	375,000	75,000
TriStar Gold Inc.	Warrants	Garrett, Diane Renee	4	07/30/2012	16		200,000	50,000
TTM Resources Inc.	Common Shares	Clarke, Warring Kennedy Crichton	4	08/22/2012	10	0.05	4,230,943	30,000
TTM Resources Inc.	Common Shares	Clarke, Warring Kennedy Crichton	4	08/23/2012	10	0.04	4,257,943	27,000
TTM Resources Inc.	Common Shares	Clarke, Warring Kennedy Crichton	4	08/23/2012	10	0.035	4,290,943	33,000
Tuscany International Drilling Inc.	Options	Echavarria, Sara	5	01/23/2012	00			
Tuscany International Drilling Inc.	Options	Echavarria, Sara	5	04/03/2012	50		100,000	100,000
U.S. Geothermal Inc.	Options	Dennis, Gilles	4	08/24/2012	50	0.31	200,000	100,000

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U.S. Geothermal Inc.	Options	Glaspey, Douglas J	4, 5	08/24/2012	50	0.31	855,000	190,000
U.S. Geothermal Inc.	Options	Hawkley, Kerry Don	5	08/24/2012	50	0.31	495,000	150,000
U.S. Geothermal Inc.	Options	Kunz, Daniel	3, 4, 5	08/24/2012	50	0.31	1,122,000	247,000
U.S. Geothermal Inc.	Options	Larkin, Paul Anthony	4, 5	08/24/2012	50	0.31	270,000	100,000
U.S. Geothermal Inc.	Options	Mink, Leland	4	08/24/2012	50	0.31	450,000	100,000
U.S. Geothermal Inc.	Options	Walker, John Howard	4	08/24/2012	50	0.31	360,000	100,000
U.S. Geothermal Inc.	Options	Zurkoff, Jonathan	5	08/24/2012	50	0.31	591,000	150,000
U.S. Silver & Gold Inc.	Options	Agro, Hugh	4	08/28/2012	50	2.23	212,199	125,000
U.S. Silver & Gold Inc.	Options	Atkinson, James	5	08/28/2012	50	2.23	118,599	75,000
U.S. Silver & Gold Inc.	Common Shares	Blasutti, Darren John	4, 5	08/30/2012	10	2.17	183,923	4,800
U.S. Silver & Gold Inc.	Common Shares	Blasutti, Darren John	4, 5	08/30/2012	10	2.18	189,923	6,000
U.S. Silver & Gold Inc.	Common Shares	Blasutti, Darren John	4, 5	08/30/2012	10	2.19	196,423	6,500
U.S. Silver & Gold Inc.	Options	Blasutti, Darren John	4, 5	08/28/2012	50	2.23	392,999	175,000
U.S. Silver & Gold Inc.	Options	Brock, John Sebastian	4	08/28/2012	50	2.23	366,200	125,000
U.S. Silver & Gold Inc.	Options	Davidson, Alexander John	4	08/28/2012	50	2.23	233,999	125,000
U.S. Silver & Gold Inc.	Options	Dionne, Louis	4	08/28/2012	50	2.23	233,999	125,000
U.S. Silver & Gold Inc.	Options	Edwards, Alan R.	4	08/28/2012	50	2.23	245,600	125,000
U.S. Silver & Gold Inc.	Options	McRae, Peter	5	08/28/2012	50	2.23	157,700	125,000
U.S. Silver & Gold Inc.	Options	Pridham, Gordon E.	4	08/28/2012	50	2.23	290,266	125,000
U.S. Silver & Gold Inc.	Options	Ryley, Thomas Lovett	4	08/28/2012	50	2.23	218,800	125,000
U.S. Silver & Gold Inc.	Options	Taylor, Robert Michael	5	08/28/2012	50	2.23	179,499	125,000
U.S. Silver & Gold Inc.	Options	Varga, Warren	5	08/28/2012	50	2.23	179,498	125,000
U.S. Silver & Gold Inc.	Options	Waisberg, Lorie	4	08/28/2012	50	2.23	212,199	125,000
Uni-SÚlect Inc.	Common Shares	Uni-SÚlect Inc.	1	08/27/2012	38	25.7249	2,961	2,961
Uni-SÚlect Inc.	Common Shares	Uni-SÚlect Inc.	1	08/27/2012	38	25.7249	0	-2,961
Uni-SÚlect Inc.	Common Shares	Uni-SÚlect Inc.	1	08/28/2012	38	25.5	2,961	2,961
Uni-SÚlect Inc.	Common Shares	Uni-SÚlect Inc.	1	08/28/2012	38	25.5	0	-2,961
Uni-SÚlect Inc.	Common Shares	Uni-SÚlect Inc.	1	08/29/2012	38	25.4	2,900	2,900
Uni-SÚlect Inc.	Common Shares	Uni-SÚlect Inc.	1	08/29/2012	38	25.4	0	-2,900
Uni-SÚlect Inc.	Common Shares	Uni-SÚlect Inc.	1	08/30/2012	38	25.3845	2,061	2,061
Uni-SÚlect Inc.	Common Shares	Uni-SÚlect Inc.	1	08/30/2012	38	25.3845	0	-2,061
Uni-SÚlect Inc.	Common Shares	Uni-SÚlect Inc.	1	08/31/2012	38	25.1131	2,961	2,961
Uni-SÚlect Inc.	Common Shares	Uni-SÚlect Inc.	1	08/31/2012	38	25.1131	0	-2,961
Unigold Inc.	Common Shares	Hamilton, Joseph Andrew	4	08/27/2012	10	0.45	1,576,667	110,000
United Corporations Limited	Common Shares	United-Connected Holdings Corp.	3	08/23/2012	10	48.4	2,889,742	3,800
United Corporations Limited	Common Shares	United-Connected Holdings Corp.	3	08/24/2012	10	47.92	2,889,842	100
United Corporations Limited	Common Shares	United-Connected Holdings Corp.	3	08/28/2012	10	47.92	2,890,642	800
Ur-Energy Inc.	Common Shares	Macdonell, Paul	4	08/24/2012	10	1.04	109,011	14,000
Ur-Energy Inc.	Common Shares	Smith, Roger L.	5	08/29/2012	10	1.02	47,982	2,292
Ur-Energy Inc.	Common Shares	Smith, Roger L.	5	08/30/2012	10	1	52,110	4,128
Uranium Focused Energy Fund	Trust Units	Uranium Focused Energy Fund	1	08/24/2012	38	2.35	18,438,985	400
Uranium Focused Energy Fund	Trust Units	Uranium Focused Energy Fund	1	08/27/2012	38	2.3	18,439,385	400
Uranium Focused Energy Fund	Trust Units	Uranium Focused Energy Fund	1	08/28/2012	38	2.27	18,440,185	800
Uranium Focused Energy Fund	Trust Units	Uranium Focused Energy Fund	1	08/28/2012	38	2.3	18,441,885	1,700
Uranium Focused Energy Fund	Trust Units	Uranium Focused Energy Fund	1	08/29/2012	38	2.28	18,443,885	2,000
Uranium One Inc.	Options	Nurumova, Ulbossyn Aldanyshevna	5	07/16/2012	00			
Uranium One Inc.	Options	Nurumova, Ulbossyn Aldanyshevna	5	08/30/2012	50		40,000	40,000
ValGold Resources Ltd.	Common Shares	Wilkinson, Stephen James	4, 5	08/24/2012	10	0.1	1,169,332	1,500
ValGold Resources Ltd.	Common Shares	Wilkinson, Stephen James	4, 5	08/27/2012	10	0.1	1,174,332	5,000
Valterra Resource Corporation	Common Shares	Sveinson, Frederick J.	5	08/24/2012	10	0.15	252,000	500
Valterra Resource Corporation	Common Shares	Sveinson, Frederick J.	5	08/27/2012	10	0.17	252,500	500
Valterra Resource Corporation	Common Shares	Sveinson, Frederick J.	5	08/28/2012	10	0.195	272,500	20,000
Vector Resources Inc.	Options	Molyneux, Richard	4	07/20/2012	38			-6,895
Vector Resources Inc.	Options	Molyneux, Richard	4	07/20/2012	38		70,040	-11,210
Vela Minerals Ltd.	Options	Tyro Industries Corp	4	06/05/2012	00			
Vela Minerals Ltd.	Options	Tyro Industries Corp	4	06/05/2012	00		150,000	
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	08/01/2012	38	11.7	2,300	1,000
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	08/07/2012	38	11.6	3,300	1,000
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	08/09/2012	38	11.6	4,300	1,000
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	08/10/2012	38	11.7	5,300	1,000
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	08/15/2012	38	11.5	5,700	400

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Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	08/16/2012	38	11.65	6,700	1,000
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	08/22/2012	38	11.6	7,700	1,000
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	08/23/2012	38	11.55	8,300	600
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	08/27/2012	38	11.55	9,300	1,000
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	08/28/2012	38	11.6	10,300	1,000
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	08/29/2012	38	11.5	11,300	1,000
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	08/31/2012	38	11.6	12,300	1,000
Verde Potash Plc	Options	de Mendonca, Rubens Jose	5	07/26/2012	00		30,000	
Verde Potash Plc	Options	Mundim Filho, Milson SebastiÒo de Souza	5	08/20/2012	00		130,000	
Verde Potash Plc	Options	Radino Rouse, Patricia	5	07/26/2012	00			
Verde Potash Plc	Options	Radino Rouse, Patricia	5	08/16/2012	50	3.4	30,000	30,000
Verde Potash Plc	Options	Veloso, Cristiano Botelho	4, 5	08/16/2012	50	3.4	1,105,723	500,000
Veresen Inc.	Common Shares	Hinrichs, James Melvyn	5	11/01/2009	00			
Veresen Inc.	Common Shares	Hinrichs, James Melvyn	5	07/16/2012	10	12.25	3,600	3,600
Veresen Inc.	Common Shares	King, Kevan Scott	5	08/24/2012	30	12.0894	2,819	19
Veresen Inc.	Common Shares	King, Kevan Scott	5	08/24/2012	30	12.0894	2,322	15
Veresen Inc.	Common Shares	White, Stephen	4, 5	08/23/2012	30	12.0894	34,949	239
Veresen Inc.	Common Shares	White, Stephen	4, 5	08/23/2012	30	12.0894	34,949	239
Victoria Gold Corp. (formerly Victoria Resource Corporation)	Common Shares	Hill, Christopher Thomas	4	08/28/2012	10	0.21	125,000	25,000
Victoria Gold Corp. (formerly Victoria Resource Corporation)	Common Shares	McConnell, John Charles	4, 5	08/28/2012	10	0.23	1,674,000	36,500
Victoria Gold Corp. (formerly Victoria Resource Corporation)	Common Shares	McConnell, John Charles	4, 5	08/28/2012	10	0.23	1,685,000	11,000
Victoria Gold Corp. (formerly Victoria Resource Corporation)	Common Shares	McConnell, John Charles	4, 5	08/28/2012	10	0.23	1,737,500	52,500
Victoria Gold Corp. (formerly Victoria Resource Corporation)	Warrants	Sun Valley Gold LLC	3	03/03/2012	55		0	-2,777,500
Village Farms International, Inc.	Common Shares	Mitchell, Bruce	3	08/30/2012	00		3,852,100	
Village Farms International, Inc.	Common Shares	Mitchell, Bruce	3	08/30/2012	10	0.6599	4,037,700	185,600
Village Farms International, Inc.	Common Shares	Mitchell, Bruce	3	08/31/2012	10	0.66	4,044,300	6,600
Village Farms International, Inc.	Common Shares	Ruffini, Stephen	5	08/30/2012	10	0.66	79,500	10,000
Vista Gold Corp.	RSU	Adshead-Bell, Nicole	4	08/27/2012	38		32,028	-17,972
Vista Gold Corp.	RSU	Clark, John	4	08/27/2012	38		32,028	-17,972
Vista Gold Corp.	RSU	Eppler, W. Durand	7	08/27/2012	38		32,028	-17,972
Vista Gold Corp.	RSU	Ogryzlo, Charles Thomas	4	08/27/2012	38		32,028	-17,972
Vista Gold Corp.	RSU	Richings, Michael	4	08/27/2012	38		82,028	-17,972
Vista Gold Corp.	RSU	Stevenson, Tracy	4	08/27/2012	38		32,028	-17,972
Waldron Energy Corporation	Common Shares	Orr, Pamela	5	08/27/2012	10	0.57	120,000	9,500
Waldron Energy Corporation	Common Shares	Orr, Pamela	5	08/27/2012	10	0.59	136,000	16,000
Waldron Energy Corporation	Common Shares	Orr, Pamela	5	08/27/2012	10	0.6	146,500	10,500
Waldron Energy Corporation	Common Shares	Orr, Pamela	5	08/29/2012	10	0.59	152,000	5,500
Waldron Energy Corporation	Common Shares	Orr, Pamela	5	08/29/2012	10	0.56	170,000	18,000
Wescan Goldfields Inc.	Options	Anderson, Darren Sean	5	08/07/2012	37	0.91	860,000	-90,000
Wescan Goldfields Inc.	Options	Anderson, Darren Sean	5	08/07/2012	37	0.16	635,000	-225,000
Wescan Goldfields Inc.	Options	Anderson, Darren Sean	5	08/07/2012	37	0.1	365,000	-270,000
Wescan Goldfields Inc.	Options	Anderson, Darren Sean	5	08/07/2012	37	0.1	95,000	-270,000
Wescan Goldfields Inc.	Common Shares	Bay, Harvey James	4, 6	08/07/2012	37		82,500	-742,500
Wescan Goldfields Inc.	Options	Bay, Harvey James	4, 6	08/07/2012	37	0.91	910,000	-90,000
Wescan Goldfields Inc.	Options	Bay, Harvey James	4, 6	08/07/2012	37	0.16	820,000	-90,000
Wescan Goldfields Inc.	Options	Bay, Harvey James	4, 6	08/07/2012	37	0.16		-90,000
Wescan Goldfields Inc.	Options	Bay, Harvey James	4, 6	08/07/2012	37	0.16		-90,000
Wescan Goldfields Inc.	Options	Bay, Harvey James	4, 6	08/07/2012	37	0.1	460,000	-360,000
Wescan Goldfields Inc.	Options	Bay, Harvey James	4, 6	08/07/2012	37	0.1	100,000	-360,000
Wescan Goldfields Inc.	Common Shares	Billingsley, Gary	4	08/07/2012	37		50,000	-450,000
Wescan Goldfields Inc.	Options	Billingsley, Gary	4	08/07/2012	37	0.91	510,000	-90,000
Wescan Goldfields Inc.	Options	Billingsley, Gary	4	08/07/2012	37	0.16	420,000	-90,000

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Wescan Goldfields Inc.	Options	Billingsley, Gary	4	08/07/2012	37	0.1		-200,000
Wescan Goldfields Inc.	Options	Billingsley, Gary	4	08/07/2012	37	0.1		-200,000
Wescan Goldfields Inc.	Options	Billingsley, Gary	4	08/07/2012	37	0.1		-200,000
Wescan Goldfields Inc.	Options	Billingsley, Gary	4	08/07/2012	37	0.1		-200,000
Wescan Goldfields Inc.	Options	Billingsley, Gary	4	08/07/2012	37	0.1	240,000	-180,000
Wescan Goldfields Inc.	Options	Billingsley, Gary	4	08/07/2012	37	0.1	60,000	-180,000
Wescan Goldfields Inc.	Common Shares	Hillier, Arnie E.	6	08/07/2012	37		40,900	-368,100
Wescan Goldfields Inc.	Options	Hillier, Arnie E.	6	08/07/2012	37	0.91	510,000	-90,000
Wescan Goldfields Inc.	Options	Hillier, Arnie E.	6	08/07/2012	37	0.16	420,000	-90,000
Wescan Goldfields Inc.	Options	Hillier, Arnie E.	6	08/07/2012	37	0.1	240,000	-180,000
Wescan Goldfields Inc.	Options	Hillier, Arnie E.	6	08/07/2012	37	0.1	60,000	-180,000
Wescan Goldfields Inc.	Common Shares	MacNeill, Kenneth Earl	4, 6	08/07/2012	37		41,541	-373,869
Wescan Goldfields Inc.	Common Shares	MacNeill, Kenneth Earl	4, 6	08/07/2012	37		532,711	-4,794,391
Wescan Goldfields Inc.	Options	MacNeill, Kenneth Earl	4, 6	08/07/2012	37	0.16	1,250,000	-450,000
Wescan Goldfields Inc.	Options	MacNeill, Kenneth Earl	4, 6	08/07/2012	37	0.1	710,000	-540,000
Wescan Goldfields Inc.	Options	MacNeill, Kenneth Earl	4, 6	08/07/2012	37	0.1	170,000	-540,000
Wescan Goldfields Inc.	Common Shares	Shore Gold Inc.	3	08/07/2012	37		1,295,159	-11,656,427
Westcore Energy Ltd.	Common Shares	MacNeill, Tom	3	08/29/2012	10	0.15	8,061,500	10,000
Western Forest Products Inc.	Options	St John, Bruce	5	07/31/2012	00			
Western Forest Products Inc.	Options	St John, Bruce	5	07/31/2012	00			
Western Forest Products Inc.	Options	St John, Bruce	5	07/31/2012	00		300,000	
Western Lithium USA Corporation	Options	Bertolli, Silvio	5	08/30/2012	50	0.16	1,000,000	200,000
Western Lithium USA Corporation	Options	Bryan, Dennis	5	08/30/2012	50	0.16	900,000	100,000
Western Lithium USA Corporation	Options	Chmelauskas, Jay	5	08/30/2012	50	0.16	2,500,000	350,000
Western Lithium USA Corporation	Options	Epshtein, Eduard	5	08/30/2012	50	0.16	650,000	200,000
Western Lithium USA Corporation	Options	Haldane, William	4	08/30/2012	50	0.16	1,050,000	150,000
Western Lithium USA Corporation	Options	Hansen, Tracy	5	08/30/2012	50	0.16	600,000	200,000
Western Lithium USA Corporation	Options	Krepiakevich, Terry	4	08/30/2012	50	0.16	550,000	250,000
Western Lithium USA Corporation	Options	Macken, John	4	08/30/2012	50	0.16	1,300,000	300,000
Western Lithium USA Corporation	Options	Sheriff, William Morris	4	08/30/2012	50	0.16	550,000	150,000
Western Wind Energy Corp.	Common Shares	Andrup, Claus Erik	4, 5	08/29/2012	10	2.1	125,200	200
Western Wind Energy Corp.	Common Shares	Andrup, Claus Erik	4, 5	08/31/2012	10	2.31	125,800	600
Western Wind Energy Corp.	Common Shares	Ciachurski, Jeffrey Joseph	4, 5	08/20/2012	51	1.54	823,056	100,000
Western Wind Energy Corp.	Common Shares	Ciachurski, Jeffrey Joseph	4, 5	08/20/2012	51	1.34	1,173,056	350,000
Western Wind Energy Corp.	Common Shares	Ciachurski, Jeffrey Joseph	4, 5	08/20/2012	51	1.53	1,473,056	300,000
Western Wind Energy Corp.	Common Shares	Ciachurski, Jeffrey Joseph	4, 5	08/20/2012	51	1.09	1,890,790	417,734
Western Wind Energy Corp.	Options Stock	Ciachurski, Jeffrey Joseph	4, 5	08/20/2012	51	1.54	1,291,311	-100,000
Western Wind Energy Corp.	Options Stock	Ciachurski, Jeffrey Joseph	4, 5	08/20/2012	51	1.34	941,311	-350,000
Western Wind Energy Corp.	Options Stock	Ciachurski, Jeffrey Joseph	4, 5	08/20/2012	51	1.53	641,311	-300,000
Western Wind Energy Corp.	Options Stock	Ciachurski, Jeffrey Joseph	4, 5	08/20/2012	51	1.09	223,577	-417,734
WesternOne Equity Income Fund	Units WEQ.UN	Gardner, Chris	7	08/28/2012	10	8.79	27,600	200
WesternOne Equity Income Fund	Units WEQ.UN	Gardner, Chris	7	08/28/2012	10	8.8	30,100	2,500
WesternOne Equity Income Fund	Units WEQ.UN	Gardner, Chris	7	08/28/2012	10	8.82	30,600	500
WesternOne Equity Income Fund	Units WEQ.UN	Gardner, Chris	7	08/28/2012	10	8.84	32,050	1,450
WesternOne Equity Income Fund	Units WEQ.UN	Gardner, Chris	7	08/30/2012	51	6.29	42,550	10,500
WesternOne Equity Income Fund	Units WEQ.UN	Hurdman, Frederick Fairbanks	3	08/22/2012	10	8.8474	2,886,533	4,750
WesternOne Equity Income Fund	Units WEQ.UN	Hurdman, Frederick Fairbanks	3	08/30/2012	10	8.4514	2,883,133	-3,400
WesternOne Equity Income Fund	Options	Latoski, Darren Todd	4, 5	08/30/2012	51	4.9	230,000	-5,000
WesternOne Equity Income Fund	Units WEQ.UN	Latoski, Darren Todd	4, 5	08/30/2012	51	4.9	63,571	5,000

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
WesternOne Equity Income Fund	Units WEQ.UN	Ridley, Mike	7	08/24/2012	10	8.79	34,500	3,000
WesternOne Equity Income Fund	Units WEQ.UN	Ridley, Mike	7	08/24/2012	10	8.8	36,100	1,600
WGI Heavy Minerals, Incorporated	Common Shares	Emerson, Greg S.	4, 5	08/29/2012	22	0.6	0	-405,250
WGI Heavy Minerals, Incorporated	Common Shares	Emerson, Greg S.	4, 5	08/29/2012	10	0.6		-150,000
WGI Heavy Minerals, Incorporated	Common Shares	Emerson, Greg S.	4, 5	08/29/2012	22	0.6	0	-150,000
WGI Heavy Minerals, Incorporated	Common Shares	Hodgson, Patrick William Egerton	3	08/29/2012	10	0.6	0	-1,912,600
WGI Heavy Minerals, Incorporated	Common Shares	Hodgson, Patrick William Egerton	3	08/29/2012	10	0.6	0	-5,080,500
WGI Heavy Minerals, Incorporated	Common Shares	Hodgson, Patrick William Egerton	3	08/29/2012	10	0.6	0	-22,000
WGI Heavy Minerals, Incorporated	Common Shares	Opta Minerals Inc.	3	08/29/2012	00			
WGI Heavy Minerals, Incorporated	Common Shares	Opta Minerals Inc.	3	08/29/2012	22	0.6	22,281,816	22,281,816
White Tiger Gold Ltd.	Warrants	Yanchukov, Sergey	3	08/22/2012	11	0.1	204,010,013	204,010,013
Williams Creek Gold Limited	Common Shares	Interinvest Corporation	3	08/23/2012	10	0.27	11,376,879	12,000
Williams Creek Gold Limited	Common Shares	Interinvest Corporation	3	08/24/2012	10	0.28	11,386,879	10,000
Williams Creek Gold Limited	Common Shares	Interinvest Corporation	3	08/27/2012	10	0.31	11,394,879	8,000
Williams Creek Gold Limited	Common Shares	Interinvest Corporation	3	08/28/2012	10	0.31	11,396,879	2,000
WPC Resources Inc.	Common Shares	Clarke, Warring Kennedy Crichton	4, 5	08/27/2012	10	0.065	1,404,141	25,000
WPC Resources Inc.	Common Shares	Clarke, Warring Kennedy Crichton	4, 5	08/27/2012	10	0.06	1,504,141	100,000
WPC Resources Inc.	Common Shares	Consolidated International Investment Holdings Inc.	3	08/27/2012	10	0.06	1,400,000	-535,484
Xtra-Gold Resources Corp.	Common Shares	Xtra-Gold Resources Corp.	1	08/31/2012	38	0.8	5,500	5,500
Xtra-Gold Resources Corp.	Common Shares	Xtra-Gold Resources Corp.	1	08/31/2012	38		0	-5,500
Yamana Gold Inc.	Common Shares	Costa, Ludovico Sebastiao	5	08/22/2012	10	15.9	69,983	-11,800
Yangarra Resources Ltd.	Common Shares	Charlton, William W.	4	08/28/2012	00		999,700	
Yangarra Resources Ltd.	Common Shares	Charlton, William W.	4	08/28/2012	00		402,909	
Yangarra Resources Ltd.	Options	Charlton, William W.	4	08/28/2012	00		75,000	
Yangarra Resources Ltd.	Options	Charlton, William W.	4	08/28/2012	00		350,000	
Yorbeau Resources Inc.	Common Shares Class A	Anglo Pacific Group Plc	3	08/27/2012	10	0.121	22,639,357	50,000
Yorbeau Resources Inc.	Common Shares Class A	Anglo Pacific Group Plc	3	08/29/2012	10	0.115	22,656,857	17,500

Chapter 8

Notice of Exempt Financings

REPORTS OF TRADES SUBMITTED ON FORMS 45-106F1 AND 45-501F1

Transaction Date	No of Purchasers	Issuer/Security	Total Purchase Price ($)	No of Securities Distributed
08/07/2012	2	Ally Financial Inc. - Notes	2,050,093.00	2.00
07/23/2012	4	American Capital Agency Corp. - Common Shares	72,363,340.00	2,087,000.00
08/09/2012	5	Automated Benefits Corp. - Common Shares	58,294.13	149,856.00
08/10/2012	1	Azimuth Resources Limited - Common Shares	366,765.00	1,000,000.00
03/02/2012	18	Bison Capital Partners IV, L.P. - Limited Partnership Interest	94,317,869.00	N/A
08/10/2012	39	Boxxer Gold Corp. - Units	620,410.00	8,863,000.00
08/02/2012	1	Boyd Acquisition Sub, LLC and Boyd Acquisition Finance Corp. - Notes	1,006,200.00	1,000.00
07/31/2012	21	BTS Realty Limited Partnership - Limited Partnership Units	189,120,996.00	99.99
07/31/2012	18	B.E.S.T. Active Fund 16 LP - Limited Partnership Units	2,555,000.00	N/A
08/24/2012	1	Canadian Orebodies Inc. - Flow-Through Units	1,000,000.00	5,000,000.00
08/09/2012	8	Canagco Mining Corp. - Common Shares	155,000.00	2,600,500.00
08/17/2012	4	CHS/Community Health Systems, Inc. - Notes	13,860,000.00	4.00
08/03/2012	8	CIT Group Inc. - Notes	82,384,665.00	N/A
08/13/2012	2	Continental Resources, Inc. - Notes	59,598,000.00	58,608.11
06/19/2012	1	Credit Suisse International - Notes	2,646,280.00	N/A
08/03/2012	1	Cymat Technologies Ltd. - Common Shares	250,000.00	5,000,000.00
07/01/2010 to 06/30/2011	4158	Dynamic Alpha Performance Fund (formerly, Goodman & Company, Investment Counsel Ltd.) - Units	270,949,271.98	N/A
07/01/2010 to 06/30/2011	233	Dynamic Contrarian Fund (formerly, Goodman & Company, Investment Counsel Ltd.) - Units	8,170,679.31	N/A
07/01/2010 to 06/30/2011	50	Dynamic Income Opportunities Fund (formerly, Goodman & Company, Investment Counsel Ltd.) - Units	1,933,129.15	N/A
07/01/2010 to 06/30/2011	45	Dynamic Power Emerging Markets Fund (formerly, Goodman & Company, Investment Counsel Ltd.) - Units	1,086,804.97	N/A

Transaction Date	No of Purchasers	Issuer/Security	Total Purchase Price ($)	No of Securities Distributed
07/01/2010 to 06/30/2011	466	Dynamic Power Hedge Fund (formerly, Goodman & Company, Investment Counsel Ltd.) - Units	75,241,566.02	N/A
07/01/2010 to 06/30/2011	588	Dynamic Real Estate & Infrastructure Income Fund (formerly, Goodman & Company, Investment Counsel Ltd.) - Units	114,673,135.91	N/A
08/01/2012	2	Equimor Income Fund Inc. - Special Shares	300,000.00	300,000.00
08/10/2012	1	ES Investments Ltd. - Common Shares	5,250,000.00	3,500,000.00
08/14/2012	1	Exelixis, Inc. - Note	247,850.00	1.00
07/25/2012	2	Fallbrook Technologies Inc. - Preferred Shares	40,992.37	12,136,001.00
07/06/2012	27	FountainVest China Growth Fund II, L.P. - Limited Partnership Interest	1,053,386,767.00	N/A
07/24/2012	69	Great Canadian Gaming Corporation - Notes	450,000,000.00	450,000.00
08/07/2012	22	Greystone Real Estate Fund Inc. - Common Shares	40,247,000.00	N/A
07/19/2012	132	Harbour First Mortgage Investment Trust - Trust Units	5,770,000.00	57,700.00
08/09/2012	1	Homestake Resource Corporation - Flow-Through Units	15,000.00	75,000.00
08/07/2012	3	Hornbeck Offshore Services, Inc. - Notes	2,989,200.00	3,000.00
07/30/2012	1	IGW Diversified Redevelopment Fund Limited Partnership - Units	30,000.00	30,000.00
08/06/2012	3	Interline Brands, Inc. - Notes	2,119,603.20	3.00
08/10/2012	10	Iron Mountain Incorporation - Notes	17,817,743.09	10.00
08/13/2012	1	Isis Pharmaceuticals, Inc. - Note	1,738,275.00	1.00
08/02/2012	1	LivClean Corp. - Debenture	2,000,000.00	1.00
05/17/2012	1	Merrill Lynch International & Co. C.V. - Warrants	1,147,631.34	335.00
07/30/2012	1	Morgan Stanley of America Merrill Lynch Trust 2012-C5 - Trust certificates	30,789,724.68	N/A
07/31/2012	36	Morrison Laurier Mortgage Corporation - Preferred Shares	1,846,140.00	N/A
07/30/2012 to 08/02/2012	2	MOVE Trust, BNY Trust Company of Canada as Trustee - Notes	11,348,151.19	2.00
01/27/2011	3	Namex Explorations Inc. - Common Shares	171,392.00	3,365,840.00
07/13/2012 to 07/23/2012	4	New Haven Mortgage Income Fund (1) Inc. - Special Shares	624,288.79	N/A
07/31/2012	1	Newcastle Investment Corp. - Common Shares	2,013,000.00	300,000.00
07/31/2012	8	Newstart Financial Inc. - Notes	295,000.00	8.00

Transaction Date	No of Purchasers	Issuer/Security	Total Purchase Price ($)	No of Securities Distributed
07/25/2012	8	Northern Gold Mining Inc. - Common Shares	5,000,000.00	20,000,000.00
07/25/2012	5	Northquest Ltd. - Flow-Through Units	590,000.00	2,360,000.00
07/25/2012	3	Northquest Ltd. - Units	528,500.00	2,642,000.00
08/09/2012	9	Nuance Communications, Inc. - Notes	20,741,160.00	20,900.00
07/27/2012	1	Oremex Silver Inc. - Units	30,000.00	600,000.00
08/01/2012	33	Pembroke Heritage Fund Limited - Common Shares	33,752,281.50	3,369,500.00
07/30/2012	32	PowerStream Inc. - Debentures	200,000,000.00	200,000.00
08/02/2012	1	Providence Equity Partners VII-A L.P. - Limited Partnership Interest	10,062,000.00	N/A
08/09/2012	3	Prudential Financial, Inc. - Notes	6,296,788.00	3.00
08/09/2012	10	Pulis Registered Capital I Inc. - Bonds	458,900.00	4,589.00
08/07/2012	2	Radiant Energy Corporation - Debentures	150,000.00	2.00
07/27/2012	1	Rex Opportunity Corp. - Common Shares	750,000.00	7,500,000.00
07/26/2012	4	Royal Bank of Canada - Notes	5,000,000.00	50,000.00
08/06/2012	1	SandRidge Energy, Inc. - Notes	6,974,800.00	1.00
07/16/2012	4	Santa Fe Metals Corporation - Units	35,000.00	1,000,000.00
07/06/2012	1	SecureCare Investments Inc. - Bonds	180,000.00	N/A
08/08/2012	6	Sirius XM Radio Inc. - Notes	21,386,050.00	6.00
08/14/2012	2	Souche Holding Inc. - Common Shares	0.00	50,000.00
07/17/2012	5	Spot Coffee (Canada) Ltd. - Units	280,000.00	280,000.00
08/13/2012 to 08/17/2012	17	UBS AG, Jersey Branch - Certificates	4,941,099.64	17.00
08/06/2012 to 08/10/2012	16	UBS AG, Jersey Branch - Certificates	72,766,947.00	16.00
07/30/2012 to 08/03/2012	31	UBS AG, Jersey Branch - Certificates	16,138,698.28	31.00
08/08/2012 to 08/10/2012	3	UBS AG, Zurich - Certificates	1,167,418.16	3.00
08/01/2012 to 08/03/2012	10	UBS AG, Zurich - Certificates	2,626,618.28	10.00
07/23/2012	2	U.S. Bancorp - Notes	10,680,600.00	N/A
08/08/2012	1	Vantiv, Inc. - Common Shares	762,300.00	35,000.00
07/27/2012	9	VVC Exploration Corporation - Units	147,506.00	2,950,120.00

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Chapter 11

IPOs, New Issues and Secondary Financings

Issuer Name:
Altamira Preferred Equity Fund
Westwood Global Dividend Fund
Westwood Global Equity Fund
Principal Regulator - Quebec
Type and Date:
Preliminary Simplified Prospectuses dated August 27, 2012
NP 11-202 Receipt dated August 28, 2012
Offering Price and Description:
Units of the Advisor, F and O Series
Underwriter(s) or Distributor(s):
National Bank Securities Inc.
Promoter(s):
National Bank Securities Inc
Project #1950791

Issuer Name:
Bonavista Energy Corporation
Principal Regulator - Alberta
Type and Date:
Preliminary Short Form Prospectus dated August 31, 2012
NP 11-202 Receipt dated August 31, 2012
Offering Price and Description:
$300,300,000.00 - 18,200,000 Common Shares Price: $16.50 per Common Share
Underwriter(s) or Distributor(s):
TD SECURITIES INC.
CIBC WORLD MARKETS INC.
RBC DOMINION SECURITIES INC.
BMO NESBITT BURNS INC.
SCOTIA CAPITAL INC.
NATIONAL BANK FINANCIAL INC.
PETERS & CO. LIMITED
FIRSTENERGY CAPITAL CORP.
MACQUARIE CAPITAL MARKETS CANADA LTD.
HSBC SECURITIES (CANADA) INC.
Promoter(s):
-
Project #1957545

Issuer Name:
GLG Income Opportunities Fund
Principal Regulator - Ontario
Type and Date:
Preliminary Long Form Prospectus dated August 30, 2012
NP 11-202 Receipt dated August 31, 2012
Offering Price and Description:
$* - * Class L Units and Class M Units Price: $10.00 per Unit
Underwriter(s) or Distributor(s):
GMP Securities L.P.
Promoter(s):
Man Investments Canada Corp.
Project #1957338

Issuer Name:
Legg Mason BW Investment Grade Focus Fund
Principal Regulator - Ontario
Type and Date:
Preliminary Long Form Prospectus dated August 28, 2012
NP 11-202 Receipt dated August 29, 2012
Offering Price and Description:
Maximum $ * - (* Units) Price: $10.00 per Unit Minimum Purchase: 100 Units
Underwriter(s) or Distributor(s):
RBC Dominion Securities Inc.
CIBC World Markets Inc.
BMO Nesbitt Burns Inc.
Scotia Capital Inc.
Canaccord Genuity Corp.
GMP Securities L.P.
Macquarie Private Wealth Inc.
Raymond James Ltd.
Desjardins Securities Inc.
Manulife Securities Incorporated
Mackie Research Capital Corporation
Promoter(s):
Meadowbank Capital Inc.
Project #1952965

Issuer Name:
Mackenzie Universal American Growth Class
Principal Regulator - Ontario
Type and Date:
Preliminary Simplified Prospectus dated August 28, 2012
NP 11-202 Receipt dated August 29, 2012
Offering Price and Description:
Quadrus Series, H Series, L Series and N Series Securities
Underwriter(s) or Distributor(s):
Quadrus Investment Services Ltd.
Promoter(s):
Mackenzie Financial Corporation
Project #1952360

Issuer Name:
Mackenzie Universal American Growth Class
Principal Regulator - Ontario
Type and Date:
Preliminary Simplified Prospectus dated August 28, 2012
NP 11-202 Receipt dated August 29, 2012
Offering Price and Description:
Series LB
Underwriter(s) or Distributor(s):
LBC Financial Services Inc.
Promoter(s):
Mackenzie Financial Corporation
Project #1952370

Issuer Name:
Mackenzie Global Tactical Fund
Mackenzie Ivy Foreign Equity Class
Mackenzie Ivy Foreign Equity Currency Neutral Class
Mackenzie Maxxum Dividend Growth Fund
Mackenzie Universal American Growth Class
Mackenzie Universal American Growth Currency Neutral Class
Symmetry Absolute Return Bond Corporate Class
Symmetry Absolute Return Bond Fund
Symmetry Canadian Bond Corporate Class
Symmetry Canadian Bond Fund
Symmetry Canadian Equity Fund
Symmetry Corporate Bond Corporate Class
Symmetry Emerging Markets Equity Corporate Class
Symmetry Global Bond Corporate Class
Symmetry Global Bond Fund
Symmetry Global Equity Fund
Symmetry Low Volatility Fund
Symmetry One Registered Ultra Conservative Portfolio Fund
Symmetry One Ultra Conservative Portfolio Class
Symmetry Real Return Bond Corporate Class
Symmetry U.S. Small Cap Equity Fund
Principal Regulator - Ontario
Type and Date:
Preliminary Simplified Prospectuses dated August 28, 2012
NP 11-202 Receipt dated August 30, 2012
Offering Price and Description:
Series A, E, F, J and O Securities
Series T5 Units, Series A, F, F8, E, E6, J, J6, O, O6, T6, T8 Shares
Series A, AR, F, E, J, O and G Units
Underwriter(s) or Distributor(s):
Quadrus Investment Services Ltd.
LBC Financial Services Inc
Promoter(s):
Mackenzie Financial Corporation
Project #1952339

Issuer Name:
Maple Leaf 2012-II Energy Income Limited Partnership
Principal Regulator - British Columbia
Type and Date:
Preliminary Long Form Prospectus dated August 30, 2012
NP 11-202 Receipt dated August 31, 2012
Offering Price and Description:
Maximum Offering: *- * Units Minimum Offering: $5,000,000 (50,000 Units) Price: $100 per Unit Minimum Purchase: $5,000 (50 Units)
Underwriter(s) or Distributor(s):
SCOTIA CAPITAL INC.
NATIONAL BANK FINANCIAL INC.
BMO NESBITT BURNS INC.
GMP SECURITIES L.P.
CANACCORD GENUITY CORP.
MACQUARIE PRIVATE WEALTH INC.
MANULIFE SECURITIES INCORPORATED
RAYMOND JAMES LTD.
DESJARDINS SECURITIES INC.
DUNDEE SECURITIES LTD.
MACKIE RESEARCH CAPITAL CORPORATION
SPROTT PRIVATE WEALTH LP
UNION SECURITIES LTD.
Promoter(s):
MAPLE LEAF ENERGY INCOME HOLDINGS CORP.
CADO BANCORP LTD.
TOSCANA ENERGY CORPORATION
Project #1957608

Issuer Name:
Morguard North American Residential Real Estate Investment Trust
Principal Regulator - Ontario
Type and Date:
Preliminary Short Form Prospectus dated August 28, 2012
NP 11-202 Receipt dated August 28, 2012
Offering Price and Description:
$150,732,000.00 - 12,720,000 Units Price: $11.85 per Offered Unit
Underwriter(s) or Distributor(s):
RBC Dominion Securities Inc.
TD Securities Inc.
CIBC World Markets Inc.
BMO Nesbitt Burns Inc.
Scotia Capital Inc.
HSBC Securities (Canada) Inc.
Dundee Securities Ltd.
National Bank Financial Inc.
Promoter(s):
-
Project #1951680

Issuer Name:
Naturally Advanced Technologies Inc.
Principal Regulator - British Columbia
Type and Date:
Preliminary Short Form Prospectus dated August 29, 2012
NP 11-202 Receipt dated August 29, 2012
Offering Price and Description:
$10,000,000.00 - 10% Convertible Secured Debentures PRICE: $1,000 PER DEBENTURE
Underwriter(s) or Distributor(s):
Cormark Securities Inc.
Promoter(s):
-
Project #1955221

Issuer Name:
Orefinders Resources Inc.
Principal Regulator - British Columbia
Type and Date:
Preliminary Long Form Prospectus dated August 31, 2012
NP 11-202 Receipt dated August 31, 2012
Offering Price and Description:
$5,000,000.00 - * Common Shares Price: $ * per Common Share
Underwriter(s) or Distributor(s):
Macquarie Capital Markets Canada Ltd.
Promoter(s):
William Yeomans
Alexander Stewart
Project #1957597

Issuer Name:
Pure Industrial Real Estate Trust
Principal Regulator - British Columbia
Type and Date:
Preliminary Short Form Prospectus dated August 28, 2012
NP 11-202 Receipt dated August 28, 2012
Offering Price and Description:
$30,195,000.00 - 6,100,000 Units Price: $4.95 Per Unit
Underwriter(s) or Distributor(s):
Canaccord Genuity Corp.
Dundee Securities Ltd.
RBC Dominion Securities Inc.
National Bank Financial Inc.
CIBC World Markets Inc.
Raymond James Ltd.
Scotia Capital Inc.
HSBC Securities (Canada) Inc.
GMP Securities L.P.
Macquarie Capital Markets Canada Ltd.
Sora Group Wealth Advisors Inc.
Union Securities Ltd.
Promoter(s):
Sunstone Industrial Advisors Inc.
Project #1952197

Issuer Name:
Saputo Inc.
Principal Regulator - Quebec
Type and Date:
Preliminary Short Form Prospectus dated August 31, 2012
NP 11-202 Receipt dated August 31, 2012
Offering Price and Description:
$129,270,000.00 - 3,100,000 Common Shares Price: $41.70 per Common Share
Underwriter(s) or Distributor(s):
National Bank Financial Inc.
BMO Nesbitt Burns Inc.
Scotia Capital Inc.
Desjardins Securities Inc.
RBC Dominion Securities Inc.
CIBC World Markets Inc.
Merrill Lynch Canada Inc.
TD Securities Inc.
Promoter(s):
-
Project #1957426

Issuer Name:
Timbercreek U.S. Multi-Residential Opportunity Fund #1
Principal Regulator - Ontario
Type and Date:
Preliminary Long Form Prospectus dated August 30, 2012
NP 11-202 Receipt dated August 31, 2012
Offering Price and Description:
Minimum: C$25,000,000.00 - (Minimum 2,500,000 Class A Units and/or Class B Units) Maximum: C$75,000,000.00 - (Maximum 7,500,000 Class A Units and/or Class B Units) Price: C$10.00 per Class A Unit and C$10.00 per Class B Unit Minimum Purchase: 1,500 Class A Units or 500,000 Class B Units
Underwriter(s) or Distributor(s):
RAYMOND JAMES LTD.
GMP SECURITIES L.P.
Promoter(s):
TIMBERCREEK ASSET MANAGEMENT INC.
Project #1957444

Issuer Name:
U.S. Agency Mortgage-Backed REIT Advantaged Fund
Principal Regulator - Ontario
Type and Date:
Preliminary Long Form Prospectus dated August 29, 2012
NP 11-202 Receipt dated August 30, 2012
Offering Price and Description:
Maximum $* (* Class A and/or Class F Units) Price: $10.00 per Unit Minimum purchase: 100 Units
Underwriter(s) or Distributor(s):
BMO Nesbitt Burns Inc.
CIBC World Markets Inc.
Scotia Capital Inc.
Raymond James Ltd.
Canaccord Genuity Corp.
GMP Securities L.P.
Macquarie Private Wealth Inc.
Mackie Research Capital Corporation
Promoter(s):
Connor, Clark & Lunn Capital Markets Inc.
Project #1956966

Issuer Name:
U.S. Housing Recovery Fund
Principal Regulator - Ontario
Type and Date:
Preliminary Long Form Prospectus dated August 29, 2012
NP 11-202 Receipt dated August 30, 2012
Offering Price and Description:
Maximum $* (* Class A and/or Class F Units) Price: $10.00 per Unit Minimum Purchase: 100 Units
Underwriter(s) or Distributor(s):
BMO Nesbitt Burns Inc.
CIBC World Markets Inc.
National Bank Financial Inc.
Scotia Capital Inc.
TD Securities Inc.
Canaccord Genuity Corp.
GMP Securities L.P.
Macquarie Private Wealth Inc.
Raymond James Ltd.
Desjardins Securities Inc.
Mackie Research Capital Corporation
Promoter(s):
BMO Nesbitt Burns Inc.
Project #1955752

Issuer Name:
Algae Biosciences Corporation
Principal Regulator - Alberta
Type and Date:
Final Short Form Prospectus dated August 28, 2012
NP 11-202 Receipt dated August 30, 2012
Offering Price and Description:
$3,000,000.00 (Minimum Offering); $5,000,000.00 (Maximum Offering): Minimum of 15,789,474 Units Maximum of 26,315,789 Units Each Unit consisting of one Common Share and one-half of one Warrant Price: $0.19 per Unit
Underwriter(s) or Distributor(s):
Macquarie Private Wealth Inc.
Beacon Securities Limited
Promoter(s):
Andrew D. Ayers
Kevin Blanchette
Jody Stachiw
Project #1930165

Issuer Name:
ATRIUM MORTGAGE INVESTMENT CORPORATION
Principal Regulator - Ontario
Type and Date:
Final Long Form Non-Offering Prospectus dated August 24, 2012
NP 11-202 Receipt dated August 29, 2012
Offering Price and Description:
-
Underwriter(s) or Distributor(s):
-
Promoter(s):
-
Project #1930903

Issuer Name:
Avigilon Corporation
Principal Regulator - British Columbia
Type and Date:
Final Short Form Prospectus dated August 29, 2012
NP 11-202 Receipt dated August 29, 2012
Offering Price and Description:
$23,000,000.00 - 3,593,750 Common Shares PRICE: $6.40 PER COMMON SHARE
Underwriter(s) or Distributor(s):
GMP Securities L.P.
PI Financial Corp.
Clarus Securities Inc.
Versant Partners Inc.
Promoter(s):
-
Project #1946337

Issuer Name:
BMO Dow Jones Canada Titans 60 Index ETF
BMO US Equity Hedged to CAD Index ETF
BMO Global Infrastructure Index ETF
BMO China Equity Hedged to CAD Index ETF
BMO India Equity Hedged to CAD Index ETF
(Units)
Principal Regulator - Ontario
Type and Date:
NP 11-202 Receipt dated August 29, 2012
Offering Price and Description:
-
Underwriter(s) or Distributor(s):
-
Promoter(s):
BMO ASSET MANAGEMENT INC.
Project #1842929

Issuer Name:
BMO Canadian Equity ETF Fund
(Series A and I)
BMO U.S. Equity ETF Fund
(Series A and I)
BMO Global Dividend Class
(Series A and I)
Principal Regulator - Ontario
Type and Date:
Amendment No. 3 dated August 21, 2012 the Amended and Restated Simplified Prospectuses and Annual Information Form dated April 11, 2012, amending and restating the Simplified Prospectuses and Annual Information Form dated March 26, 2012.
NP 11-202 Receipt dated August 29, 2012
Offering Price and Description:
Series A and I @ Net Asset Value
Underwriter(s) or Distributor(s):
BMO Investments Inc.
BMO Investments Inc.
Promoter(s):
BMO Investments Inc.
Project #1862292

Issuer Name:
Capital International - Canadian Core Plus Fixed Income
Capital International - Global Equity
Principal Regulator - Ontario
Type and Date:
Amendment #1 dated August 29, 2012 to the Annual Information Form dated June 13, 2012
NP 11-202 Receipt dated August 31, 2012
Offering Price and Description:
Series A, B, D, F, H and I Units @ Net Asset Value
Underwriter(s) or Distributor(s):
-
Promoter(s):
-
Project #1905938

Issuer Name:
Coxe Global Agribusiness Income Fund
Principal Regulator - Ontario
Type and Date:
Final Short Form Prospectus dated August 30, 2012
NP 11-202 Receipt dated August 31, 2012
Offering Price and Description:
$7,168,750.00 - 775,000 Units @ $9.25 per Unit
Underwriter(s) or Distributor(s):
BMO NESBITT BURNS INC.
NATIONAL BANK FINANCIAL INC.
SCOTIA CAPITAL INC.
TD SECURITIES INC.
MACQUARIE PRIVATE WEALTH INC.
RAYMOND JAMES LTD.
CANACCORD GENUITY CORP.
GMP SECURITIES L.P.
DESJARDINS SECURITIES INC.
MACKIE RESEARCH CAPITAL CORPORATION
MANULIFE SECURITIES INCORPORATED
Promoter(s):
BMO Nesbitt Burns Inc.
Project #1948330

Issuer Name:
Deveron Resources Ltd.
Principal Regulator - Ontario
Type and Date:
Final Long Form Prospectus dated August 28, 2012
NP 11-202 Receipt dated August 29, 2012
Offering Price and Description:
$750,000.00 - 3,000,000 Common Shares Price: $0.25 Per Common Share
Underwriter(s) or Distributor(s):
Leede Financial Markets Inc.
Promoter(s):
Greencastle Resources Ltd.
Project #1882899

Issuer Name:
Discovery 2012 Flow-Through Limited Partnership
Principal Regulator - Alberta
Type and Date:
Final Long Form Prospectus dated August 27, 2012
NP 11-202 Receipt dated August 28, 2012
Offering Price and Description:
$50,000,000.00 (maximum) (maximum – 2,000,000 Units)
$5,000,000.00 (minimum) (minimum – 200,000 Units)
PRICE: $25.00 PER UNIT MINIMUM SUBSCRIPTION: $2,500 (100 Units)
Underwriter(s) or Distributor(s):
RBC Dominion Securities Inc.
CIBC World Markets Inc.
Scotia Capital Inc.
BMO Nesbitt Burns Inc.
National Bank Financial Inc.
TD Securities Inc.
Manulife Securities Incorporated
GMP Securities L.P.
Macquarie Private Wealth Inc.
Canaccord Genuity Corp.
Middlefield Capital Corporation
Raymond James Ltd.
Dundee Securities Ltd.
Promoter(s):
Middlefield Limited
Project #1934825

Issuer Name:
Horizons Gartman ETF
Horizons Seasonal Rotation ETF
Principal Regulator - Ontario
Type and Date:
Final Long Form Prospectus dated August 22, 2012
NP 11-202 Receipt dated August 29, 2012
Offering Price and Description:
Class E Units and Advisor Class Units
Underwriter(s) or Distributor(s):
-
Promoter(s):
ALPHAPRO MANAGEMENT INC.
Project #1934302

Issuer Name:
Lake Shore Gold Corp.
Principal Regulator - Ontario
Type and Date:
Final Short Form Prospectus dated August 30, 2012
NP 11-202 Receipt dated August 30, 2012
Offering Price and Description:
$90,000,000.00 - 6.25% Convertible Senior Unsecured Debentures Due September 30, 2017
Underwriter(s) or Distributor(s):
BMO NESBITT BURNS INC.
CIBC WORLD MARKETS INC.
NATIONAL BANK FINANCIAL INC.
RBC DOMINION SECURITIES INC.
TD SECURITIES INC.
Promoter(s):
-
Project #1947969

Issuer Name:
Mackenzie Ivy Foreign Equity Class (Series A, E, F, J, O, T6 and T8 securities (Hedged Class)
and Series A, E, F, J, O, T6, T8, F8 and O6 securities (Unhedged Class))
Mackenzie Universal American Growth Class (Series A, E, F, J, I, O, T6 and T8 securities (Hedged
Class and Unhedged Class))
Mackenzie Universal U.S. Blue Chip Class (Series A, E, F, J, I, O, T6 and T8 securities)
Mackenzie Universal U.S. Dividend Income Fund (Series A, E, F, J, O, T5 and U
securities (Hedged Class) and Series A, F, J, O and T5 securities (Unhedged Class))
Mackenzie Universal U.S. Growth Leaders Class (Series A, F, J, O
and T8 securities (Hedged Class and Unhedged Class))
Principal Regulator - Ontario
Type and Date:
Amendment #7 dated August 27, 2012 to the Simplified Prospectuses and Annual Information Form dated September 30, 2011
NP 11-202 Receipt dated August 30, 2012
Offering Price and Description:
Series A, E, F, F8, I, J, O, O6, T5, T6, T8 and U Securities @ Net Asset Value
Underwriter(s) or Distributor(s):
Quadrus Investment Services Ltd.
Promoter(s):
MACKENZIE FINANCIAL CORPORATION
Project #1789999

Issuer Name:
Mackenzie Universal American Growth Class (Unhedged Class)*
(Series LB Securities)
*Class of Mackenzie Financial Capital Corporation
Principal Regulator - Ontario
Type and Date:
Amendment #3 dated August 27, 2012 to the Simplified Prospectus and Annual Information Form dated December 30, 2011
NP 11-202 Receipt dated August 30, 2012
Offering Price and Description:
Series LB Securities
Underwriter(s) or Distributor(s):
LBC Financial Services Inc.
Promoter(s):
Mackenzie Financial Corporation
Project #1825561

Issuer Name:
MBAC Fertilizer Corp.
Principal Regulator - Ontario
Type and Date:
Final Short Form Prospectus dated August 29, 2012
NP 11-202 Receipt dated August 31, 2012
Offering Price and Description:
$17,550,000.00 - 6,500,000 Common Shares Price: $2.70 per Offered Share
Underwriter(s) or Distributor(s):
CANACCORD GENUITY CORP.
BMO NESBITT BURNS INC.
NATIONAL BANK FINANCIAL INC.
GMP SECURITIES L.P.
PARADIGM CAPITAL INC.
RAYMOND JAMES LTD.
Promoter(s):
-
Project #1946779

Issuer Name:
Partners Real Estate Investment Trust
Principal Regulator - British Columbia
Type and Date:
Final Short Form Prospectus dated August 28, 2012
NP 11-202 Receipt dated August 28, 2012
Offering Price and Description:
$30,000,000.00 - 6.0% Convertible Unsecured Subordinated Debentures Price: $1,000 per Debenture
Underwriter(s) or Distributor(s):
NATIONAL BANK FINANCIAL INC.
SCOTIA CAPITAL INC.
CANACCORD GENUITY CORP.
CIBCWORLDMARKETS INC.
RBC DOMINION SECURITIES INC.
TD SECURITIES INC.
MACQUARIE CAPITALMARKETS CANADA LTD.
RAYMOND JAMES LTD.
MPARTNERS INC.
Promoter(s):
-
Project #1947464

Issuer Name:
Primaris Retail Real Estate Investment Trust
Principal Regulator - Ontario
Type and Date:
Final Base Shelf Prospectus dated August 28, 2012
NP 11-202 Receipt dated August 29, 2012
Offering Price and Description:
$900,000,000.00:
Units
Subscription Receipts
Warrants
Debt Securities
Underwriter(s) or Distributor(s):
-
Promoter(s):
-
Project #1947407

Issuer Name:
SANDSTORM GOLD LTD.
Principal Regulator - British Columbia
Type and Date:
Final Short Form Prospectus dated August 30, 2012
NP 11-202 Receipt dated August 30, 2012
Offering Price and Description:
$130,500,000.00 - 13,050,000 Units Price: $10.00 per Unit
Underwriter(s) or Distributor(s):
CORMARK SECURITIES INC.
NATIONAL BANK FINANCIAL INC.
PARADIGM CAPITAL INC.
BMO NESBITT BURNS INC.
CASIMIR CAPITAL LTD.
CANACCORD GENUITY CORP.
TD SECURITIES INC.
Promoter(s):
-
Project #1950238

Issuer Name:
Series A, Series F and Series I securities of
Sentry Bond Plus Fund
Sentry Enhanced Corporate Bond Capital Yield Class*
Sentry Enhanced Corporate Bond Fund
(* A class of shares of Sentry Corporate Class Ltd.)
Principal Regulator - Ontario
Type and Date:
Final Simplified Prospectuses dated August 30, 2012
NP 11-202 Receipt dated August 31, 2012
Offering Price and Description:
Series A, Series F and Series I Securities @ Net Asset Value
Underwriter(s) or Distributor(s):
Sentry Investments Inc.
Promoter(s):
Sentry Investments Inc.
Project #1939197

Issuer Name:
Sherritt International Corporation
Principal Regulator - Ontario
Type and Date:
Final Base Shelf Prospectus dated August 24, 2012
NP 11-202 Receipt dated August 28, 2012
Offering Price and Description:
$500,000,000.00:
Debt Securities
Common Shares
Subscription Receipts Warrants
Underwriter(s) or Distributor(s):
-
Promoter(s):
-
Project #1946640

Issuer Name:
Trez Capital Mortgage Investment Corporation
Principal Regulator - British Columbia
Type and Date:
Final Long Form Prospectus dated August 29, 2012
NP 11-202 Receipt dated August 30, 2012
Offering Price and Description:
$100,000,000.00 (10,000,000 Class A Shares) Maximum Price: $10.00 per Class A Share
Underwriter(s) or Distributor(s):
RBC Dominion Securities Inc.
CIBC World Markets Inc.
Canaccord Genuity Corp.
BMO Nesbitt Burns Inc.
Raymond James Ltd.
Scotia Capital Inc.
GMP Securities L.P.
MacQuarie Private Wealth Inc.
Manulife Securities Incorporated
National Bank Financial Inc.
TD Securities Inc.
DesJardins Securities Inc.
Mackie Research Capital Corporation
Promoter(s):
Trez Capital Limited Partnership
Project #1939795

Issuer Name:
True North Apartment Real Estate Investment Trust
Principal Regulator - Ontario
Type and Date:
Final Short Form Prospectus dated August 31, 2012
NP 11-202 Receipt dated August 31, 2012
Offering Price and Description:
$50,001,700.00 - 11,710,000 Subscription Receipts each representing the right to receive one Unit Price: $4.27 per Subscription Receipt
Underwriter(s) or Distributor(s):
CIBC WORLD MARKETS INC.
RAYMOND JAMES LTD.
TD SECURITIES INC.
NATIONAL BANK FINANCIAL INC.
BMO NESBITT BURNS INC.
CANACCORD GENUITY CORP.
DESJARDINS SECURITIES INC.
DUNDEE SECURITIES LTD.
GMP SECURITIES L.P.
Promoter(s):
STARLIGHT INVESTMENTS LTD.
Project #1946540

Issuer Name:
Vertex Enhanced Income Fund
Vertex Growth Fund
Vertex Value Fund
Principal Regulator - British Columbia
Type and Date:
Final Simplified Prospectuses dated August 27, 2012
NP 11-202 Receipt dated August 28, 2012
Offering Price and Description:
Class B and Class F Units
Underwriter(s) or Distributor(s):
-
Promoter(s):
Vertex One Asset Management Inc.
Project #1935042

Issuer Name:
Class A, F and O Units (unless otherwise noted) of:
Renaissance Money Market Fund (Class A, Premium, and O Units only)
Renaissance Canadian T-Bill Fund (Class A and O Units only)
Renaissance U.S. Money Market Fund (Class A and O Units only)
Renaissance Short-Term Income Fund (also offers Premium Class and Class F-Premium Units)
Renaissance Canadian Bond Fund (also offers Premium Class and Class F-Premium Units)
Renaissance Real Return Bond Fund
Renaissance Corporate Bond Fund (Class O Units only)
Renaissance Corporate Bond Capital Yield Fund (also offers Premium Class and Class F-Premium
Units)
Renaissance High-Yield Bond Fund
Renaissance Global Bond Fund
Renaissance Canadian Balanced Fund
Renaissance Optimal Income Portfolio (also offers Class T6, T8, Select, Select-T6, Select-T8, Elite,
Elite-T6 and Elite-T8 Units)
Renaissance Canadian Dividend Fund
Renaissance Canadian Monthly Income Fund
Renaissance Diversified Income Fund
Renaissance Millennium High Income Fund
Renaissance Canadian Core Value Fund
Renaissance Canadian Growth Fund
Renaissance Canadian All-Cap Equity Fund
Renaissance Canadian Small-Cap Fund
Renaissance U.S. Equity Value Fund
Renaissance U.S. Equity Growth Fund
Renaissance U.S. Equity Growth Currency Neutral Fund
Renaissance U.S. Equity Fund
Renaissance International Dividend Fund
Renaissance International Equity Fund
Renaissance International Equity Currency Neutral Fund
Renaissance Global Markets Fund
Renaissance Optimal Global Equity Portfolio (also offers Class T4, T6, T8, Select, Select-T4,
Select-T6, Select-T8, Elite, Elite-T4, Elite-T6 and Elite-T8 Units)
Renaissance Optimal Global Equity Currency Neutral Portfolio (also offers Class T4, T6, T8,

Select, Select-T4, Select-T6, Select-T8, Elite, Elite-T4, Elite-T6 and Elite-T8 Units)
Renaissance Global Value Fund
Renaissance Global Growth Fund
Renaissance Global Growth Currency Neutral Fund
Renaissance Global Focus Fund
Renaissance Global Focus Currency Neutral Fund
Renaissance Global Small-Cap Fund
Renaissance European Fund
Renaissance Asian Fund
Renaissance China Plus Fund
Renaissance Emerging Markets Fund
Renaissance Optimal Inflation Opportunities Portfolio (also offers Select and Elite Class Units)
Renaissance Global Infrastructure Fund
Renaissance Global Infrastructure Currency Neutral Fund
Renaissance Global Real Estate Fund
Renaissance Global Real Estate Currency Neutral Fund
Renaissance Global Health Care Fund
Renaissance Global Resource Fund
Renaissance Global Science & Technology Fund
Class A, T6, T8, Select, Select-T6, Select-T8, Elite, Elite-T6, Elite-T8, F, and O Units (unless
otherwise noted) of:
Axiom Balanced Income Portfolio (also offers Class T4, Select-T4 and Elite-T4 Units)
Axiom Diversified Monthly Income Portfolio
Axiom Balanced Growth Portfolio (also offers Class T4, Select-T4 and Elite-T4 Units)
Axiom Long-Term Growth Portfolio (also offers Class T4, Select-T4 and Elite-T4 Units)
Axiom Canadian Growth Portfolio (also offers Class T4, Select-T4 and Elite-T4 Units)
Axiom Global Growth Portfolio (also offers Class T4, Select-T4 and Elite-T4 Units)
Axiom Foreign Growth Portfolio (also offers Class T4, Select-T4 and Elite-T4 Units)
Axiom All Equity Portfolio (also offers Class T4, Select-T4 and Elite-T4 Units)
Principal Regulator - Ontario

Type and Date:
Final Simplified Prospectuses dated August 28, 2012
NP 11-202 Receipt dated August 30, 2012

Offering Price and Description:
Premium Class, Class A, T4, T6, T8, Select, Select-T4, Select-T6, Select-T8, Elite, Elite-T4, Elite-T6, Elite-T8, F, Class F-Premium and O Units

Underwriter(s) or Distributor(s):
CIBC Asset Management Inc.

Promoter(s):
CIBC Asset Management Inc.

Project #1934293

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Chapter 12

Registrations

12.1.1 Registrants

Type	Company	Category of Registration	Effective Date
Voluntary Surrender of Registration	Trez Capital Corporation	Exempt Market Dealer	August 28, 2012
Voluntary Surrender of Registration	Trez Capital Limited Partnership	Exempt Market Dealer	August 29, 2012
Consent to Suspension (Pending Surrender)	Creststreet Securities Limited	Exempt Market Dealer	August 31, 2012
Amalgamation	Return on Innovation Advisors Ltd., Return on Innovation Capital Ltd. and Return on Innovation Management Ltd. To form: Return on Innovation Advisors Ltd.	Investment Fund Manager, Exempt Market Dealer, Portfolio Manager and Commodity Trading Manager	September 1, 2012
New Registration	Keira Capital Partners Inc.	Exempt Market Dealer	September 4, 2012
New Registration	MCF Securities Inc.	Exempt Market Dealer	September 4, 2012
Change in Registration Category	Interglobe Financial Services Corp.	From: Mutual Fund Dealer, Exempt Market Dealer and Scholarship Plan Dealer To: Mutual Fund Dealer and Exempt Market Dealer	September 4, 2012

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Chapter 13

SROs, Marketplaces and Clearing Agencies

13.1 SROs

13.1.1 Withdrawal of Proposed Limitation on IIROC Enforcement Proceedings

12-0266
September 6, 2012

WITHDRAWAL OF PROPOSED LIMITATION ON IIROC ENFORCEMENT PROCEEDINGS

On November 26, 2010, the Ontario Securities Commission (the "OSC") published proposed amendments to Investment Industry Regulatory Organization of Canada ("IIROC") Dealer Member Rules 19 and 20, relating to limitations on enforcement investigations and proceedings. See *IIROC Rules Notice – Request for Comments – Limitation on IIROC Enforcement Proceedings*, (2010) 33 OSCB 11109 (Nov. 26, 2010), available online at: http://www.osc.gov.on.ca/documents/en/Marketplaces/srr-iiroc_20101126_rn-rfc-enforcement.pdf.

On March 23, 2012, the OSC published proposed amendments to IIROC's Dealer Member Rules and Universal Market Integrity Rules intended to enhance and consolidate these two sets of rules with regard to enforcement, procedural, examination and approval matters. See *IIROC Rules Notice – Request for Comment – Proposed Amendments to Dealer Member Rules and Universal Market Integrity Rules (UMIR) – Consolidation of IIROC Enforcement, Procedural, Examination and Approval*, (2012) OSCB 2983 (Mar. 23, 2012), available online at: http://www.osc.gov.on.ca/documents/en/Securities/oscb_20120323_3512.pdf; see also http://www.osc.gov.on.ca/en/Marketplaces_srr-iiroc_20120323_rfc-enf-procedural-exam.htm (the "Consolidated Enforcement and Related Rules Proposal").

As part of the Consolidated Enforcement and Related Rules Proposal, IIROC has proposed a number of changes to its procedural rules, including, in particular, certain limitation periods for IIROC enforcement investigations and proceedings. These proposed limitation periods are, in substance, identical to those set forth in the proposed amendments relating to enforcement limitations published on November 26, 2010. Consequently, the latter proposed amendments are no longer required.

Accordingly, IIROC has informed the Canadian Securities Administrators that it has withdrawn the proposed amendments relating to enforcement limitations.

Questions may be referred to:

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416 943-5891
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13.3 Clearing Agencies

13.3.1 LCH.Clearnet Limited – Notice of Commission Order – Application for Variation of LCH's Restated Interim Order

LCH.CLEARNET LIMITED (LCH)

APPLICATION FOR VARIATION OF LCH's RESTATED INTERIM ORDER

NOTICE OF COMMISSION ORDER

On August 28, 2012, the Commission issued an order under section 144 of the *Securities Act (*Ontario) (Act) varying the restated interim order exempting LCH under section 147 of the Act from the requirement in subsection 21.2(0.1) of the Act to be recognized as a clearing agency (Order). The Order extends LCH's interim exemption.

LCH continues to be exempted from the recognition requirement until the earlier of (i) March 1, 2013, and (ii) the effective date of the Subsequent Order (as defined in the Order).

A copy of the Order is published in Chapter 2 of this Bulletin.

13.3.2 CME – Notice of Commission Order – Application for Variation of CME's Interim Order

CHICAGO MERCANTILE EXCHANGE INC. (CME)

APPLICATION FOR VARIATION OF CME's INTERIM ORDER

NOTICE OF COMMISSION ORDER

On August 31, 2012, the Commission issued an order under section 144 of the *Securities Act* (Ontario) (Act) varying the interim order exempting CME under section 147 of the Act from the requirement in subsection 21.2(0.1) of the Act to be recognized as a clearing agency (Order).

The deadline in Term and Condition 7 to file a complete application for the Subsequent Order is extended from August 31, 2012 to September 10, 2012.

A copy of the Order is published in Chapter 2 of this Bulletin.

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